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# Quarterly Benefit Statistics

U.S. Railroad Retirement Board  
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## Railroad Retirement and Unemployment Insurance Programs

Selected Current Statistics for April - June 2011

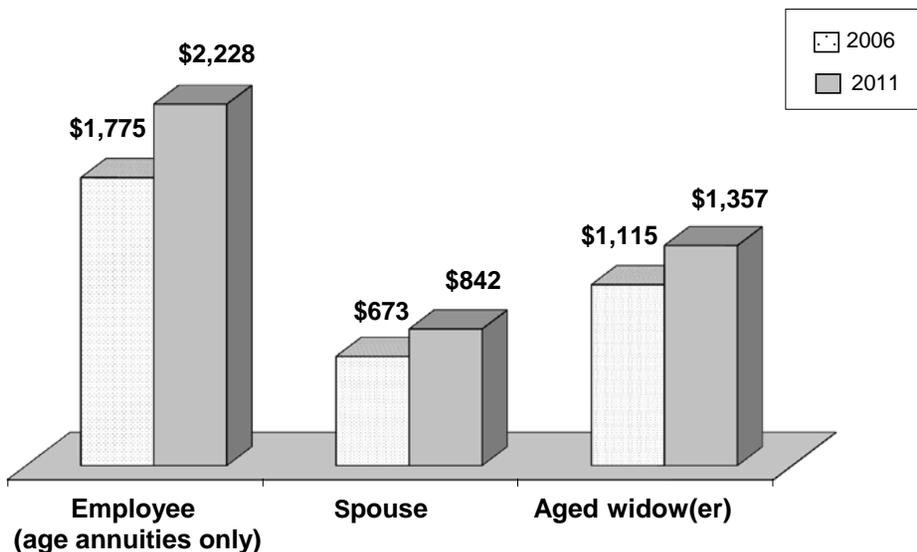
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**Average annuity amounts being paid,  
June 2006 and June 2011**



**Table 1: Retirement and Survivor Programs, Benefit Statistics  
April - June 2011**

Period	Total <sup>1</sup>		Employee annuities				Spouse annuities	Divorced spouse annuities
	Monthly benefits	Monthly beneficiaries	Age	Disability <sup>2</sup>		Supplemental <sup>3</sup>		
				Under full retirement age	Full retirement age and over			
<b>Number in current-payment status at end of period</b>								
June 2011	671,718	542,710	189,504	47,134	36,057	120,859	133,496	3,951
May 2011	672,142	543,198	189,562	47,207	36,003	120,828	133,484	3,935
April 2011	672,901	543,807	189,801	47,260	35,943	120,994	133,537	3,926
<b>Average amount in current-payment status at end of period</b>								
June 2011	.....	.....	\$2,228.03	\$2,432.76	\$1,986.07	\$41.63	\$841.86	\$517.10
May 2011	.....	.....	2,220.03	2,429.81	1,982.21	41.62	838.89	515.98
April 2011	.....	.....	2,216.36	2,428.56	1,978.65	41.62	837.40	514.46
<b>Number awarded during period</b>								
June 2011	3,307	2,684	888	254	.....	623	881	53
May 2011	2,770	2,390	617	302	.....	380	765	47
April 2011	3,046	2,589	700	277	.....	457	862	62
10/10 - 6/11	28,769	23,810	7,457	2,288	.....	4,959	7,788	432
10/09 - 6/10	28,778	23,741	7,396	2,387	.....	5,037	7,650	502
<b>Average amount awarded during period<sup>4</sup></b>								
June 2011	.....	.....	\$2,873.00	\$2,719.10	.....	\$41.74	\$973.89	\$558.98
May 2011	.....	.....	2,698.49	2,583.24	.....	40.55	970.83	582.17
April 2011	.....	.....	2,631.50	2,492.35	.....	41.03	963.12	599.67
<b>Benefit payments during period (thousands)</b>								
June 2011	\$916,880	.....	\$425,925	\$118,581	\$71,214	\$5,048	\$113,727	\$2,076
May 2011	913,127	.....	421,570	119,574	70,964	5,055	113,355	2,080
April 2011	912,835	.....	421,743	119,801	70,740	5,064	112,718	2,061
10/10 - 6/11	8,191,833	.....	3,784,975	1,070,995	632,634	45,552	1,010,999	18,332
10/09 - 6/10	8,068,506	.....	3,683,154	1,090,211	604,047	45,231	982,730	17,531

<sup>1</sup>Includes dependent parents' annuities. Except for benefit payment data, excludes insurance lump-sum and residual payment figures. Benefit payments also include hospital insurance benefits for services in Canada. <sup>2</sup>Full retirement age, also known as normal retirement age, is gradually increasing from age 65 to age 67 over a 22 year period. For those born 1/2/1943-1/1/1955, the normal retirement age is 66. <sup>3</sup>Excludes partition payments to spouses and divorced spouses where the employee is deceased. Averages are after court-ordered partitions. <sup>4</sup>Regular employee and spouse annuity averages are preliminary estimates.

**NOTE**--MONTHLY BENEFITS in CURRENT-PAYMENT STATUS at the end of month include all benefits awarded to date and payable for the month. BENEFITS AWARDED consist of those certified for the first time on either a partial or final basis. BENEFIT PAYMENTS for a month, shown in both the benefit and financial statistics, consist of recurrent monthly checks dated the first of the month, plus retroactive and lump-sum payments made during the month, less returned checks (excluding those not yet distributed by Account), refunds of benefits paid previously, etc. Data are on a cash basis (unaudited) and are partly estimated.

**Table 1: Retirement and Survivor Programs, Benefit Statistics  
April - June 2011 -- Continued**

Survivor benefits									
Period	Annuities					Children	Insurance lump sums	Residual payments	Partition payments <sup>5</sup>
	Aged widows and widowers	Disabled widows and widowers	Widowed mothers and fathers	Remarried widows and widowers	Divorced widows and widowers				
<b>Number in current-payment status at end of period</b>									
June 2011	111,408	4,170	733	4,024	9,550	9,993	.....	.....	808
May 2011	111,754	4,176	725	4,033	9,526	10,081	.....	.....	797
April 2011	112,041	4,185	727	4,050	9,534	10,083	.....	.....	789
<b>Average amount in current-payment status at end of period</b>									
June 2011	\$1,357.00	\$1,128.11	\$1,651.34	\$904.50	\$885.75	\$941.38	.....	.....	\$290.34
May 2011	1,353.59	1,125.26	1,647.88	901.57	883.76	942.71	.....	.....	289.35
April 2011	1,349.98	1,123.96	1,646.72	901.34	882.50	940.94	.....	.....	288.55
<b>Number awarded during period</b>									
June 2011	470	17	9	13	63	36	334	2	.....
May 2011	531	9	10	14	56	39	332	2	.....
April 2011	546	17	13	7	60	45	300	3	.....
10/10 - 6/11	4,659	132	92	111	537	313	2,698	18	.....
10/09 - 6/10	4,642	145	75	109	522	309	2,853	16	.....
<b>Average amount awarded during period<sup>4</sup></b>									
June 2011	\$1,773.18	\$1,464.68	\$1,866.92	\$1,003.92	\$937.25	\$1,360.36	\$932	\$1,143	.....
May 2011	1,764.19	1,981.95	1,029.53	1,115.07	971.91	1,188.10	900	1,294	.....
April 2011	1,754.30	1,711.67	1,234.76	1,006.71	981.02	1,067.41	878	4,371	.....
<b>Benefit payments during period (thousands)</b>									
June 2011	\$151,281	\$4,893	\$1,333	\$3,642	\$8,516	\$10,024	\$336	\$2	\$254
May 2011	151,638	4,865	1,275	3,671	8,516	9,948	341	3	244
April 2011	151,855	4,979	1,286	3,667	8,511	9,855	287	14	226
10/10 - 6/11	1,368,185	43,884	12,097	33,308	76,617	89,247	2,672	44	2,035
10/09 - 6/10	1,382,249	43,787	11,916	34,128	75,039	91,495	2,728	32	3,913

<sup>5</sup>Limited to partition payments to spouses and divorced spouses where the employee is deceased or not otherwise entitled to an annuity. Partition payments from employees on the rolls are included with the employees' annuities.

**NOTE.**--(Continued from previous page.)

FOR WIDOWS and WIDOWERS aged 60 and over and WIDOWED MOTHERS and FATHERS, the number of benefits being paid and benefit payments include benefits temporarily being continued at spouse annuity rates, pending award of survivor annuities.

INSURANCE LUMP SUMS and RESIDUAL PAYMENTS are each counted only once with respect to an employee's death even though divided among 2 or more persons. Award data for insurance lump sums exclude deferred benefits, i.e., those payable a year after the employee's death.

Data on benefit payments are for CALENDAR MONTHS; all other data are for ACCOUNTING MONTHS ending on approximately the 29th of each month.

**Table 2: Retirement and Survivor Programs, Financial Statistics**  
**April - June 2011 (In thousands)**  
**Cash Basis (Unaudited)**

Item	June 2011	May 2011	April 2011	October 2010 - June 2011	October 2009 - June 2010
<b>RAILROAD RETIREMENT ACCOUNT</b>					
<b>Balance at beginning of period<sup>1</sup></b>	\$465,514	\$550,174	\$548,907	\$519,012	\$418,184
<b>Income, total</b>	536,817	419,871	507,515	4,487,420	4,461,531
Payroll taxes <sup>2</sup>	209,428	210,355	189,453	1,807,825	1,701,634
Income tax transfers <sup>3</sup>	.....	.....	73,000	224,000	235,000
Reimbursements for payment of SSA benefits	114,148	114,558	114,609	1,026,263	993,327
Transfers from National RR Investment Trust <sup>4</sup>	189,000	76,000	129,000	1,379,000	1,524,000
Transfer from SSEB Account <sup>4</sup>	.....	.....	.....	.....	.....
Undistributed recoveries of benefit payments <sup>5</sup>	-252	240	104	51	-704
Uncashed check credits from U.S. Treasury <sup>6</sup>	35	33	32	294	268
Repayment of loans from RUI Account <sup>7</sup>	23,600	17,400	.....	41,000	.....
Interest on investments <sup>8</sup>	857	1,285	1,318	8,986	8,007
<b>Outgo, total</b>	509,907	504,531	506,248	4,514,007	4,436,394
Benefit payments-regular	383,163	379,833	379,136	3,391,063	3,298,525
Benefit payments-supplemental	5,053	5,059	5,068	45,589	45,319
Payments of SSA benefits	114,158	114,389	114,586	1,026,103	995,936
Loans to Railroad Unemployment Insurance Account	.....	.....	.....	.....	46,500
Administrative expenses <sup>9</sup>	7,073	4,816	6,855	47,571	46,654
Funding for Office of Inspector General	460	434	603	3,681	3,461
<b>Balance at end of period<sup>1</sup></b>	492,424	465,514	550,174	492,424	443,321
<b>NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST</b>					
<b>Cash and investment balance at end of period<sup>10</sup></b>	\$25,102,372	\$25,617,580	\$25,912,908	\$25,102,372	\$21,911,125
<b>DUAL BENEFITS PAYMENTS ACCOUNT<sup>11</sup></b>					
<b>Balance at beginning of period</b>	\$1,301	-\$33,045	-\$28,471	.....	.....
Congressional apportionments <sup>12</sup>	4,481	38,854	-1,000	\$40,336	\$45,763
Income tax transfers <sup>3</sup>	.....	.....	1,000	3,000	3,000
Vested dual benefit payments	4,469	4,508	4,575	42,023	47,280
<b>Balance at end of period</b>	1,313	1,301	-33,045	1,313	1,483

**Table 2: Retirement and Survivor Programs, Financial Statistics**  
**April - June 2011 (In thousands)**  
**Cash Basis (Unaudited) -- Continued**

Item	June 2011	May 2011	April 2011	October 2010 - June 2011	October 2009 - June 2010
<b>SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT</b>					
<b>Balance at beginning of period</b>	\$948,544	\$966,506	\$969,617	\$804,013	\$803,783
<b>Income, total</b>	5,115,604	507,874	524,549	9,469,792	9,159,723
Payroll taxes <sup>2</sup>	180,125	183,397	162,090	1,740,081	1,773,247
General revenue transfers under Tax Act <sup>13</sup>	26,880	27,433	24,177	169,482	.....
Income tax transfers <sup>3</sup>	.....	.....	37,000	113,000	120,000
Financial interchange advances <sup>7</sup>	332,409	295,115	299,369	2,857,843	2,861,282
RRB-SSA financial interchange transfer	4,574,278	.....	.....	4,574,278	4,391,604
Interest on investments <sup>8</sup>	1,912	1,929	1,914	15,108	13,589
<b>Outgo, total</b>	4,950,232	525,835	527,660	9,159,889	9,075,618
Benefit payments	524,196	523,727	524,057	4,713,158	4,677,382
Repayment of financial interchange advances <sup>7</sup>	3,946,595	.....	.....	3,946,595	3,837,640
RRB-CMS financial interchange transfer	477,204	.....	.....	477,204	535,082
Transfer to Railroad Retirement Account <sup>4</sup>	.....	.....	.....	.....	.....
Administrative expenses <sup>9</sup>	2,110	1,935	3,312	21,284	23,819
Funding for Office of Inspector General	127	174	291	1,647	1,695
<b>Balance at end of period</b>	1,113,916	948,544	966,506	1,113,916	887,888
<b>ECONOMIC RECOVERY PAYMENTS, AMERICAN RECOVERY AND REINVESTMENT ACT<sup>14</sup></b>					
<b>Balance at beginning of period</b>	\$5,030	\$5,030	\$5,030	\$5,071	5,458
Congressional apportionments	.....	.....	.....	.....	.....
Benefits	.....	.....	.....	41	350
<b>Balance at end of period</b>	5,030	5,030	5,030	5,030	5,109

<sup>1</sup>Balances include liabilities for uncashed checks. As of the end of June 2011, liabilities were \$12,217,000. <sup>2</sup>Net of U.S. Treasury adjustments for payroll tax refunds to certain carriers and their employees for prior periods. <sup>3</sup>Amounts include U.S. Treasury adjustments for prior period income tax reconciliations. <sup>4</sup>Under the Railroad Retirement and Survivors' Improvement Act of 2001, as amended, the portion of the RR Account not needed to pay current administrative expenses is to be transferred to the National Railroad Retirement Investment Trust (Trust). The Trust may transfer funds back to the RR Account for payment of benefits. The balance of the SSEB Account not needed to pay current benefits and administrative expenses is to be transferred to the Trust or to the RR Account. <sup>5</sup>Net of amounts distributed by account. <sup>6</sup>Net of returns of uncashed check credits. Includes undistributed canceled checks under 1-year limited payability. <sup>7</sup>Includes interest. <sup>8</sup>Net of adjustments for payroll tax refunds (see note 2). <sup>9</sup>Reflects adjustments for prior periods. <sup>10</sup>Source: National Railroad Retirement Investment Trust. <sup>11</sup>Total vested dual benefits paid during a fiscal year are limited to the amount appropriated to the Dual Benefits Payments Account for that year. Any amounts not spent are returned to the U.S. Treasury. The benefit appropriation for fiscal year 2011 was \$56.886 million, including income tax transfers. The appropriation for fiscal year 2010 was \$64.0 million, including income tax transfers. <sup>12</sup>Includes a small amount of interest on uncashed checks. <sup>13</sup>Under the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010, the 2011 tax rate for employees and the self-employed is reduced by 2 percentage points. The SSEB Account will be given general revenue equivalent to the lost revenue. <sup>14</sup>The American Recovery and Reinvestment Act of 2009, signed into law on February 17, 2009, provided for a one-time economic recovery payment of \$250 to be paid to most adults (including disabled adult children) who receive railroad retirement benefits. An appropriation of \$135,000,000 for these payments was received in March 2009.

**NOTE.**--Data relate to CALENDAR month.

**Detail may not add to totals shown because of rounding.**

**Table 3: Unemployment and Sickness Programs, Benefit Statistics**  
**April - June 2011**

Period	Normal benefit accounts			Beneficiaries		
	Applications received	Opened	Exhausted	Total	Normal benefits	Extended benefits
<b>Unemployment</b>						
June 2011	2,175	337	188	2,754	1,777	1,137
May 2011	453	324	226	2,999	1,913	1,279
April 2011	498	367	307	3,847	2,467	1,632
7/10 - 6/11	14,519	9,386	2,845	11,639	10,791	3,894
7/09 - 6/10	24,451	22,047	7,519	24,836	23,804	8,273
<b>Sickness</b>						
June 2011	1,711	1,017	296	4,556	4,066	652
May 2011	1,181	978	285	4,628	4,046	747
April 2011	1,259	1,025	327	4,949	4,173	969
7/10 - 6/11	21,212	16,630	3,113	17,362	17,230	2,198
7/09 - 6/10	21,481	17,071	3,224	17,785	17,642	2,202
Period	Number of payments			Averages <sup>1</sup>		
	Total	Normal benefits	Extended benefits	Benefit days	Benefit per week	Benefit payments (thousands)
<b>Unemployment</b>						
June 2011	5,613	3,212	2,401	8.6	\$326.20	\$2,897
May 2011	5,752	3,258	2,494	8.5	325.55	3,162
April 2011	7,362	4,060	3,302	8.3	324.65	4,129
7/10 - 6/11	89,275	64,783	24,492	8.7	326.15	51,424
7/09 - 6/10	241,170	184,828	56,342	8.7	317.10	138,175
<b>Sickness</b>						
June 2011	8,928	7,600	1,328	8.8	\$326.30	\$3,259
May 2011	8,466	7,050	1,416	8.7	327.60	3,205
April 2011	9,357	7,356	2,001	8.8	327.15	2,975
7/10 - 6/11	117,109	105,721	11,388	8.9	328.25	51,161
7/09 - 6/10	120,037	108,636	11,401	8.9	318.10	52,358

<sup>1</sup> Starting in June 2009, includes temporary extended unemployment benefits authorized by the American Recovery and Reinvestment Act of 2009. Beginning in November 2009, temporary extended unemployment benefits are also being paid under the Worker, Homeownership, and Business Assistance Act of 2009, as amended by the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010. <sup>2</sup> Benefit days--average benefit days per registration period. Benefit per week=equal to 5 times average daily benefit.

**NOTE**--An unemployment claimant files only one APPLICATION for a benefit year. A sickness claimant files an APPLICATION at the beginning of each period of continuing sickness. NORMAL BENEFIT ACCOUNTS are opened when the first payment is made.

The number of BENEFICIARIES is the count of persons receiving unemployment or sickness benefits in the period. Those receiving both normal and extended benefits for unemployment or sickness are counted only once in the total for each type.

PAYMENTS generally cover 14-day registration periods. Benefits are payable for days over 7 during an employee's first 14-day registration period. Sickness benefits are paid for days of sickness after the 4th consecutive day of sickness in the first claim in each period of continuing sickness; for subsequent registration periods in the same period of continuing sickness, payments are made for all days of sickness over 4 whether or not consecutive. Unemployment benefits are paid for days of unemployment over 4. However, in the case of unemployment benefits due to a legal authorized strike, unemployment benefits are not paid until after a 14-day waiting period. Non-strikers unemployed due to an illegal strike must also serve a 14-day waiting period.

(Continued on next page.)

**Table 4: Unemployment and Sickness Programs, Financial Statistics**  
**April - June 2011 (In thousands)**  
**Cash Basis (Unaudited)**

Item	June 2011	May 2011	April 2011	October 2010 - June 2011	October 2009 - June 2010
<b>RAILROAD UNEMPLOYMENT INSURANCE ACCOUNT</b>					
<b>Balance at beginning of period</b>	\$51,247	\$50,101	\$27,728	\$29,269	\$38,123
<b>Income, total</b>	49	23,972	28,419	106,162	109,380
Contributions <sup>1</sup>	-317	23,874	28,282	96,701	53,011
Loans from RR Account	.....	.....	.....	.....	46,500
Interest on investments	215	35	638	1,474	965
Undistributed recoveries of benefit payments <sup>2</sup>	151	64	-501	69	599
Transfers from Administration Fund	.....	.....	.....	7,918	8,305
<b>Outgo, total</b>	29,018	22,827	6,045	113,152	118,661
Unemployment benefit payments	2,070	2,130	2,926	32,429	79,828
Sickness benefit payments	3,259	3,205	2,975	38,876	37,673
Repayment of RRA loan	23,542	15,592	.....	39,133	.....
Payment of interest on RRA loan	58	1,808	.....	1,867	.....
Funding for Office of Inspector General	89	92	144	847	1,160
<b>Balance at end of period</b>	22,279	51,247	50,101	22,279	28,842
<b>RAILROAD UNEMPLOYMENT INSURANCE ADMINISTRATION FUND</b>					
<b>Balance at beginning of period</b>	\$8,194	\$6,782	\$5,156	\$8,421	\$9,465
<b>Income, total</b>	437	2,362	3,289	17,737	17,130
Contributions <sup>1</sup>	369	2,362	3,217	17,491	16,992
Interest on investments	69	.....	72	246	138
<b>Outgo, total</b>	989	950	1,663	18,516	20,137
Administrative expenses	989	950	1,663	10,597	11,832
Transfers to RUI Account	.....	.....	.....	7,918	8,305
<b>Balance at end of period</b>	7,642	8,194	6,782	7,642	6,457

<sup>1</sup> Amounts for June reflect quarterly re-allocation of contributions between the RUI Account and the RUI Administration Fund.

<sup>2</sup> Net of distributed amounts.

**NOTE**--(Continued from previous page.)

BENEFIT PAYMENTS are on a cash basis (unaudited) and represent amounts paid during the period including retroactive payments, less recoveries distributed to beneficiary accounts, refunds, and cancellations of previous payments.

ADMINISTRATION FUND balances reflect current adjustments to income and disbursements for previous years.

All unemployment and sickness data relate to CALENDAR MONTHS.

**Detail may not add to totals shown because of rounding.**

**Table 4: Unemployment and Sickness Programs, Financial Statistics**  
**April - June 2011 (In thousands)**  
**Cash Basis (Unaudited) -- Continued**

Item	June 2011	May 2011	April 2011	October 2010 - June 2011	October 2009 - June 2010
<b>LOANS DUE RAILROAD RETIREMENT ACCOUNT</b>					
<b>Balance at beginning of period</b>	\$30,924	\$48,209	\$48,091	\$47,378	.....
Loans from Railroad Retirement Account	.....	.....	.....	.....	\$46,500
Interest accrued	51	114	119	997	521
<b>Repayments from UI Account, total</b>	23,600	17,400	.....	41,000	.....
Principal	23,542	15,592	.....	39,133	.....
Interest	58	1,808	.....	1,867	.....
<b>Balance at end of period</b>	7,375	30,924	48,209	7,375	47,021
<b>EXTENDED UNEMPLOYMENT BENEFITS, AMERICAN RECOVERY AND REINVESTMENT ACT<sup>3</sup></b>					
<b>Balance at beginning of period</b>	\$9,023	\$9,009	\$8,998	\$8,938	\$9,751
Congressional apportionments	.....	.....	.....	.....	.....
Interest and other income <sup>4</sup>	-60	(5)	1	-54	(5)
Benefits	-80	-14	-11	-159	1,068
<b>Balance at end of period</b>	9,043	9,023	9,009	9,043	8,683
<b>EXTENDED UNEMPLOYMENT BENEFITS, WORKER, HOMEOWNERSHIP, AND BUSINESS ASSISTANCE ACT<sup>6</sup></b>					
<b>Balance at beginning of period</b>	\$149,517	\$150,562	\$151,775	\$155,643	.....
Congressional apportionments	.....	.....	.....	.....	\$175,000
Interest and other income <sup>4</sup>	-30	(5)	1	-27	.....
Benefits	906	1,046	1,214	7,035	16,591
<b>Balance at end of period</b>	148,580	149,517	150,562	148,580	158,409

<sup>3</sup> The American Recovery and Reinvestment Act of 2009 (Recovery Act), signed into law on February 17, 2009, contained an appropriation of \$20,000,000 to provide up to 13 additional weeks of unemployment benefits for certain railroad workers who exhaust their rights to the benefits normally provided under the Railroad Unemployment Insurance Act.

<sup>4</sup> The negative amounts for June are attributable to accounting adjustments.

<sup>5</sup> Less than \$500.

<sup>6</sup> Enacted on November 6, 2009, the Worker, Homeownership and Business Assistance Act of 2009 contained an appropriation of \$175,000,000 to extend the provisions of the Recovery Act by one year. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010, enacted December 17, 2010, extended the special unemployment benefit provisions for an additional year.

**Detail may not add to totals shown because of rounding.**

**Table 5: Benefits and Beneficiaries -- June 2011**

**RETIREMENT - SURVIVOR**

<b>Total benefit payments - cash basis (unaudited)</b>	\$916,880,000
Regular benefits	907,359,000
Vested dual benefits	4,469,000
Supplemental annuities	5,053,000

	<b>Number</b>	<b>Average</b>
<b>Total benefits being paid at end of month</b>	672,000	.....
Retired employees':		
Regular	273,000	\$2,231
Supplemental	121,000	42
Spouses' and divorced spouses'	137,000	833
Aged widows' and widowers'	111,000	1,357
Other benefits	29,000	945
<b>Total beneficiaries being paid at end of month</b>	543,000	.....

**UNEMPLOYMENT-SICKNESS**

	<b>Unemployment<sup>1</sup></b>	<b>Sickness</b>
<b>Benefit payments - cash basis (unaudited)</b>	\$2,897,000	\$3,259,000
<b>Beneficiaries</b>	2,800	4,600
<b>Average payment per week</b>	\$326	\$326

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<sup>1</sup> Starting in June 2009, includes temporary extended unemployment benefits authorized by the American Recovery and Reinvestment Act of 2009. Beginning in November 2009, temporary extended unemployment benefits are also being paid under the Worker, Homeownership, and Business Assistance Act of 2009, as amended by the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010.