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# Quarterly Benefit Statistics

U.S. Railroad Retirement Board  
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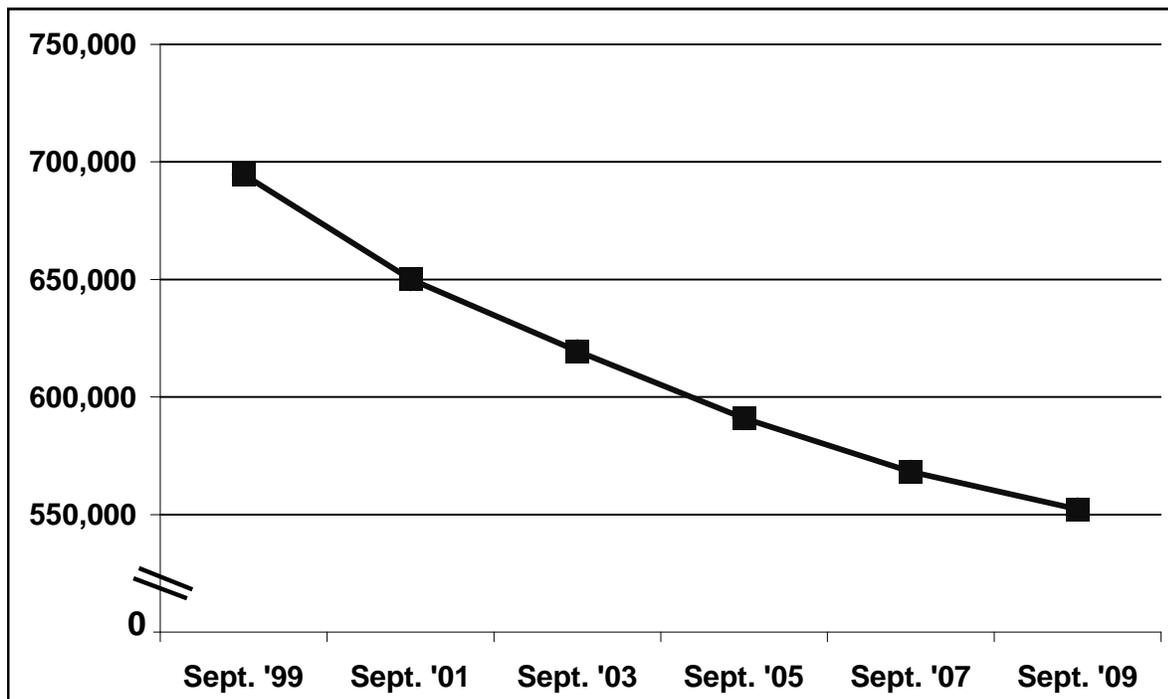
## Railroad Retirement and Unemployment Insurance Programs Selected Current Statistics for July - September 2009

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**List of Tables:** Table 1 - Retirement and Survivor Programs, Benefit Statistics  
Table 2 - Retirement and Survivor Programs, Financial Statistics  
Table 3 - Unemployment and Sickness Programs, Benefit Statistics  
Table 4 - Unemployment and Sickness Programs, Financial Statistics  
Table 5 - Benefits and Beneficiaries

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### Total Monthly Railroad Retirement Beneficiaries, September 1999 through September 2009



**Table 1: Retirement and Survivor Programs, Benefit Statistics  
July - September 2009**

Period	Total <sup>1</sup>		Employee annuities				Spouse annuities	Divorced spouse annuities
	Monthly benefits	Monthly beneficiaries	Age	Disability <sup>2</sup>		Supplemental <sup>3</sup>		
				Under full retirement age	Full retirement age and over			
<b>Number in current-payment status at end of period</b>								
September 2009	680,534	552,261	190,302	49,116	34,688	120,778	132,718	3,749
August 2009	680,295	552,201	190,170	49,191	34,623	120,653	132,551	3,705
July 2009	680,001	552,159	189,975	49,280	34,513	120,433	132,372	3,671
<b>Average amount in current-payment status at end of period</b>								
September 2009	.....	.....	\$2,125.58	\$2,399.85	\$1,908.76	\$41.65	\$803.48	\$492.13
August 2009	.....	.....	2,119.67	2,398.23	1,905.11	41.65	801.57	491.94
July 2009	.....	.....	2,114.65	2,395.71	1,902.49	41.65	799.51	491.81
<b>Number awarded during period</b>								
September 2009	3,689	3,003	974	294	.....	686	994	71
August 2009	3,756	2,986	1,096	271	.....	770	950	53
July 2009	3,742	2,968	1,110	256	.....	774	937	47
10/08 - 9/09	39,309	32,286	10,324	3,192	.....	7,023	10,315	599
10/07 - 9/08	38,568	31,499	9,760	3,395	.....	7,069	9,629	499
<b>Average amount awarded during period<sup>4</sup></b>								
September 2009	.....	.....	\$2,705.52	\$2,542.30	.....	\$41.25	\$950.94	\$521.75
August 2009	.....	.....	2,729.14	2,519.28	.....	41.45	949.27	506.27
July 2009	.....	.....	2,716.97	2,545.37	.....	41.53	977.53	557.72
<b>Benefit payments during period (thousands)<sup>5</sup></b>								
September 2009	\$878,012	.....	\$399,818	\$120,997	\$64,588	\$5,047	\$105,326	\$1,823
August 2009	889,380	.....	403,687	121,852	65,531	5,016	107,542	1,858
July 2009	889,538	.....	403,246	122,922	65,190	5,023	107,180	1,901
10/08 - 9/09	10,504,017	.....	4,750,942	1,451,529	765,689	60,378	1,260,487	21,962
10/07 - 9/08	10,035,282	.....	4,490,894	1,400,438	716,282	60,550	1,188,695	20,189

<sup>1</sup>Includes dependent parents' annuities. Except for benefit payment data, excludes insurance lump-sum and residual payment figures. Benefit payments also include hospital insurance benefits for services in Canada.

<sup>2</sup>Full retirement age, also known as normal retirement age, is gradually increasing from age 65 to age 67 over a 22 year period. For those born 1/2/1943-1/1/1955, the normal retirement age is 66.<sup>3</sup>Excludes supplemental benefits paid to partitioned spouses and partitioned divorced spouses where the employee is deceased. Averages are after court-ordered partitions.<sup>4</sup>Regular employee and spouse annuity averages are preliminary estimates.

**NOTE** --MONTHLY BENEFITS in CURRENT-PAYMENT STATUS at the end of month include all benefits awarded to date and payable for the month.

BENEFITS AWARDED consist of those certified for the first time on either a partial or final basis.

BENEFIT PAYMENTS for a month, shown in both the benefit and financial statistics, consist of recurrent monthly checks dated the first of the month, plus retroactive and lump-sum payments made during the month, less returned checks (excluding those not yet distributed by Account), refunds of benefits paid previously, etc. Data are on a cash basis (unaudited) and are partly estimated.

**Table 1: Retirement and Survivor Programs, Benefit Statistics  
July - September 2009 -- Continued**

Period	Survivor benefits									
	Annuities						Children	Insurance lump sums	Residual payments	Partition payments <sup>6</sup>
	Aged widows and widowers	Disabled widows and widowers	Widowed mothers and fathers	Remarried widows and widowers	Divorced widows and widowers					
<b>Number in current-payment status at end of period</b>										
September 2009	119,459	4,323	814	4,361	9,520	10,417	.....	.....	253	
August 2009	119,717	4,316	810	4,372	9,511	10,461	.....	.....	178	
July 2009	120,046	4,346	814	4,391	9,496	10,476	.....	.....	150	
<b>Average amount in current-payment status at end of period</b>										
September 2009	\$1,293.51	\$1,084.34	\$1,597.35	\$878.55	\$867.01	\$934.60	.....	.....	\$288.90	
August 2009	1,290.29	1,081.36	1,587.24	877.14	864.69	934.67	.....	.....	316.81	
July 2009	1,287.46	1,080.11	1,576.68	876.58	864.16	932.77	.....	.....	318.35	
<b>Number awarded during period</b>										
September 2009	525	18	13	17	53	44	307	5	.....	
August 2009	493	13	9	9	58	34	320	2	.....	
July 2009	470	18	11	20	54	44	294	1	.....	
10/08 - 9/09	6,281	181	142	165	621	463	3,738	18	.....	
10/07 - 9/08	6,508	165	143	189	693	515	4,155	45	.....	
<b>Average amount awarded during period<sup>4</sup></b>										
September 2009	\$1,732.63	\$1,469.81	\$1,621.18	\$1,005.53	\$982.86	\$1,241.13	\$902	\$2,093	.....	
August 2009	1,685.28	1,311.94	1,748.79	1,241.89	968.28	1,224.05	866	1,495	.....	
July 2009	1,718.46	1,330.80	1,726.38	1,113.30	986.43	1,035.73	882	254	.....	
<b>Benefit payments during period (thousands)<sup>5</sup></b>										
September 2009	\$151,506	\$4,695	\$1,380	\$3,783	\$8,128	\$10,198	\$284	\$10	\$394	
August 2009	154,838	4,800	1,362	3,869	8,292	10,186	311	3	198	
July 2009	155,018	4,874	1,335	3,902	8,317	10,268	274	----	45	
10/08 - 9/09	1,848,142	57,650	15,860	46,157	97,718	122,521	3,505	38	948	
10/07 - 9/08	1,825,186	56,288	15,728	45,004	91,767	119,556	3,975	111	123	

<sup>5</sup>September 2009 amounts reflect reimbursements for prior period Part B Medicare premium refunds. <sup>6</sup>Limited to payments to partitioned spouses and partitioned divorced spouses where the employee is deceased or not otherwise entitled to an annuity. Partitioned payments from employees on the rolls are included with the employees' annuities.

**NOTE.** --(Continued from previous page.)

FOR WIDOWS and WIDOWERS aged 60 and over and WIDOWED MOTHERS and FATHERS, the number of benefits being paid and benefit payments include benefits temporarily being continued at spouse annuity rates, pending award of survivor annuities.

INSURANCE LUMP SUMS and RESIDUAL PAYMENTS are each counted only once with respect to an employee's death even though divided among 2 or more persons. Award data for insurance lump sums exclude deferred benefits, i.e., those payable a year after the employee's death.

Data on benefit payments are for CALENDAR MONTHS; all other data are for ACCOUNTING MONTHS ending on approximately the 22nd of each month.

**Table 2: Retirement and Survivor Programs, Financial Statistics**  
**July - September 2009 (In thousands)**  
**Cash Basis (Unaudited)**

Item	September 2009	August 2009	July 2009	October 2008 - September 2009	October 2007 - September 2008
<b>RAILROAD RETIREMENT ACCOUNT</b>					
<b>Balance at beginning of period<sup>1</sup></b>	\$414,845	\$604,954	\$459,356	\$632,019	\$616,854
<b>Income, total</b>	488,978	290,887	521,359	5,377,210	5,342,922
Payroll taxes <sup>2</sup>	189,336	179,383	187,461	2,301,205	2,403,491
Income tax transfers <sup>3</sup>	.....	.....	75,000	200,000	233,000
Reimbursements for payment of SSA benefits	117,718	110,205	109,889	1,310,207	1,247,642
Transfers from National RR Investment Trust <sup>4</sup>	181,000	.....	148,000	1,553,000	1,298,000
Transfer from SSEB Account <sup>4</sup>	.....	.....	.....	.....	147,000
Undistributed recoveries of benefit payments <sup>5</sup>	-16	84	<sup>(6)</sup>	328	297
Uncashed check credits from U.S. Treasury <sup>7</sup>	50	34	53	486	571
Interest on investments <sup>8</sup>	890	1,182	956	11,984	12,920
<b>Outgo, total</b>	485,639	480,996	375,761	5,591,046	5,327,757
Benefit payments-regular <sup>9</sup>	361,391	360,782	360,455	4,266,553	4,061,268
Benefit payments-supplemental	5,054	5,020	5,023	60,389	60,550
Financial interchange adjustment	.....	.....	-104,562	-104,562	-100,028
Payments of SSA benefits <sup>9</sup>	112,771	109,926	110,121	1,305,291	1,247,537
Administrative expenses <sup>10</sup>	6,165	4,802	4,516	59,713	54,794
Funding for Office of Inspector General	259	466	207	3,662	3,636
<b>Balance at end of period<sup>1</sup></b>	418,184	414,845	604,954	418,184	632,019
<b>NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST</b>					
<b>Cash and investment balance at end of period<sup>11</sup></b>	\$23,330,764	\$22,659,585	\$22,043,447	\$23,330,764	\$25,271,540
<b>DUAL BENEFITS PAYMENTS ACCOUNT<sup>12</sup></b>					
<b>Balance at beginning of period</b>	\$2,373	\$2,234	\$2,073	.....	.....
Congressional apportionments <sup>13</sup>	5,653	5,698	5,747	\$72,001	\$78,002
Income tax transfers <sup>3</sup>	.....	.....	.....	.....	1,000
Vested dual benefit payments	5,488	5,559	5,586	69,464	77,660
Balance to be returned to U.S. Treasury	2,538	.....	.....	2,538	1,342
<b>Balance at end of period</b>	.....	2,373	2,234	.....	.....

**Table 2: Retirement and Survivor Programs, Financial Statistics  
July - September 2009 (In thousands)  
Cash Basis (Unaudited) -- Continued**

Item	September 2009	August 2009	July 2009	October 2008 - September 2009	October 2007 - September 2008
<b>SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT</b>					
<b>Balance at beginning of period</b>	\$785,922	\$830,009	\$896,634	\$791,230	\$744,247
<b>Income, total</b>	527,290	476,686	558,868	10,346,256	10,104,802
Payroll taxes <sup>2</sup>	195,203	179,786	187,810	2,407,877	2,523,349
Income tax transfers <sup>3</sup>	.....	.....	35,000	121,000	126,000
Financial interchange advances <sup>14</sup>	330,607	295,239	334,412	3,661,083	3,385,635
RRB-SSA financial interchange transfer	.....	.....	.....	4,137,305	4,049,877
Interest on investments <sup>8</sup>	1,480	1,661	1,646	18,992	19,941
<b>Outgo, total</b>	509,429	520,773	625,493	10,333,703	10,057,819
Benefit payments <sup>9</sup>	506,080	518,019	518,474	6,107,611	5,835,805
Financial interchange adjustment	.....	.....	104,562	104,562	100,028
Repayment of financial interchange advances <sup>14</sup>	.....	.....	.....	3,564,777	3,410,802
RRB-CMS financial interchange transfer	.....	.....	.....	524,272	525,692
Transfer to Railroad Retirement Account <sup>4</sup>	.....	.....	.....	.....	147,000
Administrative expenses <sup>10</sup>	3,218	2,518	2,352	30,616	36,632
Funding for Office of Inspector General	131	236	105	1,865	1,861
<b>Balance at end of period</b>	803,783	785,922	830,009	803,783	791,230
<b>ECONOMIC RECOVERY PAYMENTS, AMERICAN RECOVERY AND REINVESTMENT ACT<sup>15</sup></b>					
<b>Balance at beginning of period</b>	\$5,453	\$6,400	\$6,282	.....	.....
Congressional apportionments	.....	.....	.....	\$135,000	.....
Benefits	-5	947	-118	129,542	.....
<b>Balance at end of period</b>	5,458	5,453	6,400	5,458	.....

<sup>1</sup>Balances include liabilities for uncashed checks. As of the end of September 2009, liabilities were \$11,554,000. <sup>2</sup>Net of U.S. Treasury adjustments for payroll tax refunds to certain carriers and their employees for prior periods. <sup>3</sup>Amounts include U.S. Treasury adjustments for prior period income tax reconciliations. <sup>4</sup>Under the Railroad Retirement and Survivors' Improvement Act of 2001, as amended, the portion of the RR Account not needed to pay current administrative expenses is to be transferred to the National Railroad Retirement Investment Trust (Trust). The Trust may transfer funds back to the RR Account for payment of benefits. The balance of the SSEB Account not needed to pay current benefits and administrative expenses is to be transferred to the Trust or to the RR Account. <sup>5</sup>Net of amounts distributed by account. <sup>6</sup>Less than zero and greater than -\$500. <sup>7</sup>Net of returns of uncashed check credits. Includes undistributed canceled checks under 1-year limited payability. <sup>8</sup>Net of adjustments for payroll tax refunds (see note 2). <sup>9</sup>September 2009 amounts reflect reimbursements for prior period Part B Medicare premium refunds. <sup>10</sup>Reflects adjustments for prior periods. <sup>11</sup>Source: National Railroad Retirement Investment Trust. <sup>12</sup>Total vested dual benefits paid during a fiscal year are limited to the amount appropriated to the Dual Benefits Payments Account for that year. Any amounts not spent are returned to the U.S. Treasury. The benefit appropriation for fiscal year 2009 was \$72.0 million, including income tax transfers. The appropriation for fiscal year 2008 was \$79.0 million, including income tax transfers. <sup>13</sup>Includes a small amount of interest on uncashed checks. <sup>14</sup>Includes interest. <sup>15</sup>The American Recovery and Reinvestment Act of 2009, signed into law on February 17, 2009, provided for a one-time economic recovery payment of \$250 to be paid to most adults (including disabled adult children) who receive railroad retirement benefits. An appropriation of \$135,000,000 for these payments was received in March 2009.

**NOTE.**--Data relate to CALENDAR month.

**Table 3: Unemployment and Sickness Programs, Benefit Statistics  
July - September 2009**

Period	Normal benefit accounts			Beneficiaries		
	Applications received	Opened	Exhausted	Total	Normal benefits	Extended benefits
<b>Unemployment<sup>1</sup></b>						
September 2009	1,675	1,743	4	10,710	9,471	1,416
August 2009	1,845	3,666	27	10,825	9,132	1,839
July 2009	7,897	6,295	818	13,027	10,938	3,015
7/09 - 9/09	11,417	11,704	849	15,717	14,163	3,178
7/08 - 9/08	4,525	3,237	123	3,790	3,696	207
<b>Sickness</b>						
September 2009	1,484	1,402	11	5,699	5,558	177
August 2009	2,136	2,968	18	5,552	5,288	326
July 2009	5,795	3,033	197	5,129	4,790	489
7/09 - 9/09	9,415	7,403	226	8,124	7,937	550
7/08 - 9/08	9,472	7,179	239	7,997	7,779	591
Period	Number of payments			Averages <sup>2</sup>		
	Total	Normal benefits	Extended benefits	Benefit days	Benefit per week	Benefit payments (thousands)
<b>Unemployment<sup>1</sup></b>						
September 2009	20,360	17,460	2,900	8.9	\$317.90	\$11,162
August 2009	20,524	16,604	3,920	8.8	317.25	12,540
July 2009	31,614	20,313	11,301	8.5	306.75	16,986
7/09 - 9/09	72,498	54,377	18,121	8.7	313.45	40,688
7/08 - 9/08	13,378	12,603	775	8.8	300.45	6,754
<b>Sickness</b>						
September 2009	10,907	10,529	378	9.0	\$316.95	\$5,272
August 2009	10,016	9,375	641	8.9	316.35	5,862
July 2009	9,709	8,623	1,086	8.8	306.80	3,551
7/09 - 9/09	30,632	28,527	2,105	8.9	314.60	14,685
7/08 - 9/08	29,078	26,823	2,255	8.9	300.80	11,778

<sup>1</sup>Starting in June 2009, includes temporary extended unemployment benefits authorized by the American Recovery and Reinvestment Act of 2009. <sup>2</sup>Benefit days--average benefit days per registration period. Benefit per week--equal to 5 times average daily benefit.

**NOTE** --An unemployment claimant files only one APPLICATION for a benefit year. A sickness claimant files an APPLICATION at the beginning of each period of continuing sickness. NORMAL BENEFIT ACCOUNTS are opened when the first payment is made.

The number of BENEFICIARIES is the count of persons receiving unemployment or sickness benefits in the period. Those receiving both normal and extended benefits for unemployment or sickness are counted only once in the total for each type.

PAYMENTS generally cover 14-day registration periods. Benefits are payable for days over 7 during an employee's first 14-day registration period. Sickness benefits are paid for days of sickness after the 4th consecutive day of sickness in the first claim in each period of continuing sickness; for subsequent registration periods in the same period of continuing sickness, payments are made for all days of sickness over 4 whether or not consecutive. Unemployment benefits are paid for days of unemployment over 4. However, in the case of unemployment benefits due to a legal authorized strike, unemployment benefits are not paid until after a 14-day waiting period. Non-strikers unemployed due to an illegal strike must also serve a 14-day waiting period.

(Continued on next page.)

**Table 4: Unemployment and Sickness Programs, Financial Statistics**  
**July - September 2009 (In thousands)**  
**Cash Basis (Unaudited)**

Item	September 2009	August 2009	July 2009	October 2008 - September 2009	October 2007 - September 2008
<b>RAILROAD UNEMPLOYMENT INSURANCE ACCOUNT</b>					
<b>Balance at beginning of period</b>	\$53,715	\$68,859	\$66,784	\$105,287	\$104,316
<b>Income, total</b>	-581	1,382	17,108	83,927	82,589
Contributions	37	125	17,149	69,600	67,074
Interest on investments	-571	1,307	-58	4,688	6,838
Undistributed recoveries of benefit payments <sup>1</sup>	-47	-51	17	-169	-34
Transfers from Administration Fund	.....	.....	.....	9,808	8,711
<b>Outgo, total</b>	15,011	16,526	15,032	151,091	81,618
Unemployment benefit payments	9,638	10,466	11,393	101,490	35,129
Sickness benefit payments	5,272	5,862	3,551	48,065	44,940
Funding for Office of Inspector General	101	198	88	1,536	1,550
<b>Balance at end of period</b>	38,123	53,715	68,859	38,123	105,287
<b>RAILROAD UNEMPLOYMENT INSURANCE ADMINISTRATION FUND</b>					
<b>Balance at beginning of period</b>	\$10,895	\$12,055	\$7,480	\$10,427	\$9,907
<b>Income, total</b>	121	42	5,706	23,151	23,945
Contributions	10	42	5,706	23,076	23,391
Interest on investments	112	.....	.....	74	554
<b>Outgo, total</b>	1,551	1,202	1,132	24,113	23,425
Administrative expenses	1,551	1,202	1,132	14,305	14,713
Transfers to RUI Account	.....	.....	.....	9,808	8,711
<b>Balance at end of period</b>	9,465	10,895	12,055	9,465	10,427
<b>EXTENDED UNEMPLOYMENT BENEFITS, AMERICAN RECOVERY AND REINVESTMENT ACT<sup>2</sup></b>					
<b>Balance at beginning of period</b>	\$11,275	\$13,349	\$18,942	.....	.....
Congressional apportionments	.....	.....	.....	\$20,000	.....
Benefits	1,524	2,074	5,593	10,249	.....
<b>Balance at end of period</b>	9,751	11,275	13,349	9,751	.....

<sup>1</sup>Net of distributed amounts. <sup>2</sup>The American Recovery and Reinvestment Act of 2009, signed into law on February 17, 2009, contained an appropriation of \$20,000,000 to provide up to 13 additional weeks of unemployment benefits for certain railroad workers who exhaust their rights to the benefits normally provided under the Railroad Unemployment Insurance Act.

**NOTE**.--(Continued from previous page.)

BENEFIT PAYMENTS are on a cash basis (unaudited) and represent amounts paid during the period including retroactive payments, less recoveries distributed to beneficiary accounts, refunds, and cancellations of previous payments.

ADMINISTRATION FUND balances reflect current adjustments to income and disbursements for previous years.

All unemployment and sickness data relate to CALENDAR MONTHS.

**Detail may not add to totals shown because of rounding.**

## Table 5: Benefits and Beneficiaries -- September 2009

### RETIREMENT - SURVIVOR

<b>Total benefit payments - cash basis (unaudited)</b>	\$878,012,000
Regular benefits	867,471,000
Vested dual benefits	5,488,000
Supplemental annuities	5,054,000

	<b>Number</b>	<b>Average</b>
<b>Total benefits being paid at end of month</b>	681,000	.....
Retired employees <sup>1</sup> :		
Regular	274,000	\$2,147
Supplemental	121,000	42
Spouses' and divorced spouses'	136,000	795
Aged widows' and widowers'	119,000	1,294
Other benefits	30,000	939
<b>Total beneficiaries being paid at end of month</b>	552,000	.....

### UNEMPLOYMENT-SICKNESS

	<b>Unemployment<sup>1</sup></b>	<b>Sickness</b>
<b>Benefit payments - cash basis (unaudited)</b>	\$11,162,000	\$5,272,000
<b>Beneficiaries</b>	10,700	5,700
<b>Average payment per week</b>	\$318	\$317

<sup>1</sup> Starting in June 2009, includes temporary extended unemployment benefits authorized by the American Recovery and Reinvestment Act of 2009.