

JUSTIFICATION OF ESTIMATES

The Administration's Proposed Appropriation Language

LIMITATION ON ADMINISTRATION

For necessary expenses for the Railroad Retirement Board (“Board”) for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, \$112,415,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund.

(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012.)

Explanation of Proposed Appropriation Language

<u>Appropriation language</u>	<u>Explanation</u>
<i>For necessary expenses for the Railroad Retirement Board (“Board”) for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, \$112,415,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund.</i>	This budget request is for funds to administer the railroad retirement/survivor and unemployment/sickness insurance benefit programs under a single administrative account. The Limitation on Administration Account draws on the following trust fund accounts for funding: Railroad Retirement Account, Social Security Equivalent Benefit Account, and the Railroad Unemployment Insurance Administration Fund.

Authorizing Legislation

Legislation	2012		2013	
	Authorized	Current estimate	Authorized	Appropriation request
Authorizing legislation -- Railroad Retirement Act: Section 15(a), first two sentences of section, and Section 15A(c)(1)	Indefinite	\$108,649,264 <u>a/</u>	Indefinite	\$112,415,000

Authorizing legislation -- Railroad
Unemployment Insurance Act:
Section 11(a)

a/ This amount reflects the level of funding provided by the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012 (P.L. 112-74), less a rescission of \$205,736.

Section 15(a) of the Railroad Retirement Act:

"The Railroad Retirement Account established by section 15(a) of the Railroad Retirement Act of 1937 shall continue to be maintained in the Treasury of the United States. There is hereby appropriated to such Account for each fiscal year, beginning with the fiscal year ending June 30, 1975, to provide for the payment of benefits to be made from such Account in accordance with the provisions of section 7(c)(1) of this Act, and to provide for expenses necessary for the Board in the administration of all provisions of this Act, an amount equal to amounts covered into the Treasury (minus refunds) during each fiscal year under the Railroad Retirement Tax Act."

Section 15 A(c)(1) of the Railroad Retirement Act:

"Except as otherwise provided in this section, amounts in the Social Security Equivalent Benefit Account shall be available only for purposes of paying social security equivalent benefits under this Act and to provide for the administrative expenses of the Board allocable to social security equivalent benefits."

Section 11(a) of the Railroad Unemployment Insurance Act:

"The Secretary of the Treasury shall maintain in the unemployment trust fund established pursuant to section 904 of the Social Security Act an account to be known as the railroad unemployment insurance administration fund. This unemployment insurance administration fund shall consist of (i) such part of all contributions collected pursuant to section 8 of this Act as equals 0.65 per centum of the total compensation on which such contributions are based; (ii) all amounts advanced to the fund by the Secretary of the Treasury pursuant to this section; (iii) all amounts appropriated by subsection (b) of this section; and (iv) such additional amounts as Congress may appropriate for expenses necessary or incidental to administering this Act. Such additional amounts are hereby authorized to be appropriated."

ADMINISTRATIVE EXPENSES ^{a/}
Budget Authority By Object Classification

<u>Limitation on Administration</u>	Fiscal year 2011		Fiscal year 2012		Fiscal year 2013	Change (increases	
<u>Direct obligations by object class</u>	<u>actual amounts(\$)</u>	<u>b/c/</u>	<u>estimated amounts(\$)</u>	<u>c/d/</u>	<u>estimated amounts(\$)</u>	<u>c/</u>	<u>and decreases)</u>
Personnel compensation:							
Full-time permanent	67,020,000		66,018,000		66,676,000		658,000
Positions other than permanent	627,000		636,000		624,000		-12,000
Other personnel compensation	<u>1,683,000</u>		<u>1,641,000</u>		<u>1,193,000</u>		<u>-448,000</u>
Total personnel compensation	69,330,000		68,295,000		68,493,000		198,000
Personnel benefits: civilian	17,192,000		17,447,000		17,830,000		383,000
Benefits for former personnel	245,000		220,000		220,000		0
Travel and transportation of persons	515,000		694,000		778,000		84,000
Transportation of things	18,000		54,000		53,000		-1,000
Rental payments to GSA	3,500,000 ^{e/}		3,700,000 ^{e/}		3,800,000 ^{e/}		100,000
Communications, utilities, and miscellaneous charges	4,549,000		4,916,000		5,453,000		537,000
Printing and reproduction	282,000		304,000		323,000		19,000
Consulting services	498,000		524,000		925,000		401,000
Other services	10,298,000		11,226,000		13,285,000 ^{f/}		2,059,000
Supplies and materials	668,000		684,000		734,000		50,000
Equipment	<u>1,617,000</u>		<u>585,000</u>		<u>521,000</u>		<u>-64,000</u>
Total, direct obligations	108,712,000		108,649,000		112,415,000 ^{g/}		3,766,000
Unobligated balance	<u>143,000</u>		<u>--</u>		<u>--</u>		<u>--</u>
Total budget authority	<u>108,855,000</u>		<u>108,649,000</u>		<u>112,415,000</u>		<u>3,766,000</u>

^{a/} In a separate justification document, the Office of Inspector General is requesting \$8,820,000 for administrative expenses in fiscal year 2013.

^{b/} Amounts reflect an appropriation of \$108,854,854 to the Limitation on Administration under the Department of Defense and Full-Year Continuing Appropriations Act, 2011 (P.L. 112-10).

^{c/} The Limitation on Administration excludes \$10,107,300 in fiscal year 2011 that the RRB received in reimbursements from the Centers for Medicare and Medicaid Services (CMS) and others.

Amounts for fiscal years 2012 and 2013 exclude \$10,507,300 and \$10,607,300 respectively that the RRB expects to be reimbursed in each fiscal year from CMS and others.

^{d/} Reflects funding provided under P.L. 112-74, the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriation Act, 2012.

^{e/} Reflects the payment of actual costs to the General Services Administration (GSA) for the rental of space.

^{f/} This amount includes \$600,000 for security provided by the Federal Protective Service and an estimated \$2,400 for education and training of the acquisition workforce.

^{g/} The RRB expects to spend \$30,000 on energy conservation measures in fiscal year 2013 (OMB Circular A-11, Section 25.5).

Note: Amounts shown in the table are rounded to the nearest thousand.

SUMMARY OF CHANGES ^{a/}

	Appropriation total
FY 2013 estimate	\$112,415,000 ^{b/}
FY 2012 level	<u>108,649,000</u>
Net change	\$ 3,766,000

	<u>2012 Current (Base)</u>		<u>2013 Change from Base</u>	
	<u>Budget</u>		<u>Budget</u>	
	<u>FTEs</u>	<u>Authority</u>	<u>FTEs</u>	<u>Authority</u>
Increases:				
<i>Built-in:</i>				
1. Net change related to full-time and part-time salaries:				
Adjustments to average salary estimates		-\$187,000		
January 2013 pay raise		333,000		
Grade/step increases		500,000		
Other compensation (awards and overtime)		<u>-448,000</u>		
Subtotal, built-in salary and FTE increases	885	\$68,295,000	--	\$198,000
2. Net change related to personnel benefits:				
Adjustment to previous estimates		-\$49,000		
January 2013 pay raise		87,000		
Grade/step increases		131,000		
Other changes (health insurance, etc.)		<u>214,000</u>		
Subtotal, built-in changes to benefits	--	17,447,000	--	<u>383,000</u>
Subtotal, built-in compensation increases			--	<u>\$581,000</u>
<i>Program:</i>				
1. Travel and transportation	--	694,000	--	84,000
2. Rental payments to GSA	--	3,700,000	--	100,000
3. Communications, utilities, and miscellaneous charges	--	4,916,000	--	537,000
4. Printing and reproduction	--	304,000	--	19,000
5. Consulting services	--	524,000	--	401,000
6. Other Services	--	11,226,000	--	2,059,000
7. Supplies and materials	--	684,000	--	<u>50,000</u>
Subtotal, program increases				<u>\$3,250,000</u>
Decreases:				
<i>Program:</i>				
1. Transportation of things	--	54,000	--	-1,000
2. Equipment	--	585,000	--	<u>-64,000</u>
Total decreases				<u>-\$65,000</u>
Net change			--	<u>\$3,766,000</u>

^{a/} Corresponds to page 12 - Administrative Expenses.

^{b/} Amount shown reflects the level of funding provided by the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012 (P.L. 112-74).

Note: Dollar amounts are rounded to the nearest thousand.

ADMINISTRATIVE EXPENSES

Budget Authority by Strategic Goal

<u>Limitation on Administration</u>	Fiscal year 2011				Fiscal year 2012				Fiscal year 2013			
	Actual				Estimate				Estimate			
<u>Program by strategic goal</u>	<u>FTEs</u>	<u>%</u>	<u>Amounts (\$)</u>	<u>%</u>	<u>FTEs</u>	<u>%</u>	<u>Amounts (\$)</u>	<u>%</u>	<u>FTEs</u>	<u>%</u>	<u>Amounts (\$)</u>	<u>%</u>
Strategic goal:												
1. Customer service	726	80	85,192,747	78	712	80	85,094,042	78	712	80	86,240,350	77
2. Stewardship	177	20	23,518,822	22	173	20	23,555,222	22	173	20	26,174,650	23
Total, direct program obligations			108,711,569				108,649,264				112,415,000	
Unobligated balance			143,285				0				0	
Total budget authority	<u>903</u>		<u>108,854,854</u>		<u>885</u>		<u>108,649,264</u>		<u>885</u>		<u>112,415,000</u>	

The Railroad Retirement Board's Strategic Plan includes these strategic goals.

1. Provide excellent customer service.
2. Serve as responsible stewards for our customers' trust funds and agency resources.

STAFFING HISTORY

Actual Full-time Equivalent Employment

Fiscal year	<u>Total FTEs</u> <u>a/</u>	<u>Change from previous year</u>	<u>Percent change from previous year</u>	<u>Cumulative FTE Reduction</u>	<u>Cumulative percent change since fiscal year 1993</u>
1993	1,698 <u>b/</u>	---	---	---	---
1994	1,615 <u>b/</u>	-83	-4.9%	83	-4.9%
1995	1,483 <u>b/</u>	-132	-8.2%	215	-12.7%
1996	1,401 <u>b/</u>	-82	-5.5%	297	-17.5%
1997	1,297	-104	-7.4%	401	-23.6%
1998	1,227	-70	-5.4%	471	-27.7%
1999	1,196	-31	-2.5%	502	-29.6%
2000	1,136	-60	-5.0%	562	-33.1%
2001	1,101	-35	-3.1%	597	-35.2%
2002	1,099	-2	-0.2%	599	-35.3%
2003	1,079	-20	-1.8%	619	-36.5%
2004	1,048	-31	-2.9%	650	-38.3%
2005	957	-91	-8.7%	741	-43.6%
2006	940	-17	-1.8%	758	-44.6%
2007	927	-13	-1.4%	771	-45.4%
2008	918	-9	-1.0%	780	-45.9%
2009	897	-21	-2.3%	801	-47.2%
2010	908	11	1.2%	790	-46.5%
2011	903	-5	-0.6%	795	-46.8%
2012	885 <u>c/</u>	-18	-2.0%	813	-47.9%
2013	885 <u>d/</u>	0	0.0%	813	-47.9%

a/ Excludes staffing for the Office of the Inspector General

b/ Includes Special Management Improvement Fund FTEs for fiscal year 1993-1996 of 80, 58, 30, and 10, respectively.

c/ Reflects an adjusted projection as of mid-January 2012

d/ Reflects the estimated staffing level in the President's proposed budget for fiscal year 2013.

Funding for Major Contracts

This chart shows contracts of \$10,000 and over that are planned for fiscal year 2013 in the following categories: consulting services, government contracts, medical fees, maintenance of facilities, and contractual services.

<u>Title and description</u>	<u>Estimated FY 2013 funding (\$)</u>
<u>Information technology</u>	
• <u>Federal financial system migration</u> . Funding provides for the migration of the RRB's core financial system to a shared service provider.	3,562,000
• <u>License agreement</u> . Funds are required to maintain the RRB's Microsoft Enterprise License Agreement.	325,000
• <u>Certification and accreditation</u> . Funding provides for contractual services to assist with certification and accreditation of systems.	100,000
• <u>IT operations support and memberships</u> . Requested funding includes contractual services for Pay.gov, IBM operations support services and Gartner membership.	176,900
• <u>Disaster recovery</u> . Funding will be used to provide for coverage of the data communications equipment, computer systems and various upgrades.	275,000
• <u>E-Government, employer reporting system</u> . Funding allows for contractor support to be used to provide technical programming expertise	100,000
• <u>IT tools and systems, web content management system</u> . Funding will allow the RRB to obtain and migrate to a web-hosted service to manage the agency's website.	250,000
• <u>IT tools and systems, electronic records content management system</u> . Funding provides for consulting services to be used to assist RRB staff in determining system requirements and creating a pilot program.	100,000
• <u>Electronic Official Personnel File</u> . Funding provides for consulting services related to the planning and implementation of an electronic official personnel folder system	180,000

Funding for Major Contracts

**Estimated
FY 2013
funding (\$)**

Title and description

- Network operations, desktop virtualization. Funding provides contractual services to assist the RRB in developing the requirements for a small proof of concept desktop virtualization pilot project. Such a pilot will provide valuable input in our evaluation of cloud computing alternatives. 50,000

Support of benefit program activities

- Medical fees. Funding is required for medical services and hospital transcripts used to make disability determinations. 1,363,600
- Consultative medical services. Funding will be used for medical evidence reviews concerning benefit and claim matters and preparation of advisory medical opinions. 545,100
- Field service training. Contract funding will be used for training claims representatives and supervisors. 61,000
- Customer satisfaction index. Contractor assistance will be used for administering the American Customer Satisfaction Index survey. 41,000
- Social Security death matches. Funding provides for costs associated with monthly Social Security death match processing. 13,000 a/

Financial management

- Payments to Treasury. Funding is required for fees by the Department of the Treasury for issuing checks and making electronic funds transfers on the RRB's behalf, as well as postage costs incurred for RRB mailings released by the Treasury. 400,000 a/

Administrative support

- File management services. Fees will be paid to the National Archives and Records Administration for services by the Federal Records Center (FRC) to maintain the RRB claim files. This cost includes \$24,000 for shuttle service to transport files between RRB headquarters and the FRC. 264,000 a/

Funding for Major Contracts

<u>Title and description</u>	<u>Estimated FY 2013 funding (\$)</u>
• <u>Photocopiers</u> . Funding is required to continue contractual services for photocopiers in the RRB's headquarters building, including high speed copiers that are used to prepare program related booklets, pamphlets, and other informational material.	515,000
• <u>E-Payroll and human resources services</u> . Funding is required for contracted E-Payroll and electronic human resources services provided by GSA.	340,000 <u>a/</u>
• <u>Field office support services</u> . Funding is required for field office alterations, miscellaneous and administrative support services, and health unit maintenance agreements.	54,000 <u>a/</u>
• <u>Personnel identification system</u> . Funding is required to obtain updated personnel identification cards that meet the Homeland Security Presidential Directive for secure identification for agency employees and contractors. The requested funding also includes leasing a credentialing station at the headquarters building.	50,000 <u>a/</u>
• <u>Shipping and receiving</u> . Funds are required to continue shipping and receiving services.	48,000
• <u>Employee assistance program</u> . The RRB provides professional assistance for employees with personal problems that adversely affect work performance.	37,800
• <u>Videoconferencing and transcription services</u> . Funding will provide for videoconferencing services needed for hearing appeals.	15,000
• <u>Recruitment and hiring services</u> . Funding will be used to pay OPM for recruitment and hiring services, as well as flexible spending accounts.	108,500 <u>a/</u>
• <u>Public Debt</u> . Payments will be made to the Bureau of Public Debt for three changes of station and monthly administrative fees.	40,000 <u>a/</u>

Funding for Major Contracts

<u>Title and description</u>	<u>Estimated FY 2013 funding (\$)</u>
<u>Facility management services</u>	
• <u>Building maintenance and repairs</u> . Funding is required for facility maintenance, elevator maintenance, repairs to the RRB headquarters building, and related services.	1,006,700
• <u>Federal Protective Service costs</u> . Funding is required for protective services, billed by the Department of Homeland Security, Federal Protective Service.	600,000 <u>a/</u>
• <u>Janitorial services</u> . Funding is needed for janitorial services for the RRB headquarters building.	622,000
• <u>Building security</u> . Funding is required for guard service for the RRB headquarters building.	<u>493,000</u>
Agency total	<u>11,736,600</u>

a/ Contractual services provided by Federal Government agencies comprise \$1,870,100 of the agency program total.

BUDGET AUTHORITY BY FUND

	Fiscal year 2011 actual amounts	Fiscal year 2012	Fiscal year 2013 estimated	Change, FY 2012 to FY 2013
<u>Railroad Retirement Program</u>				
<u>Direct Program:</u>				
Railroad Retirement Account	\$67,544,565	\$65,462,116	\$68,429,302	\$2,967,186
Social Security Equivalent Benefit Account	27,352,187	29,380,000	29,700,000	320,000
Total, direct railroad retirement program obligations	\$94,896,752	\$94,842,116	\$98,129,302	\$3,287,186
<u>Railroad Unemployment and Sickness Insurance Program</u>				
<u>Direct Program:</u>				
Railroad Unemployment Insurance Administration Fund	\$13,815,102	\$13,807,148	\$14,285,698	\$478,550
Total, direct railroad retirement, unemployment and sickness insurance obligations	\$108,711,854	\$108,649,264	\$112,415,000	\$3,765,736
Unobligated balance	143,000	---	---	---
Total, direct railroad retirement, unemployment and sickness insurance budget authority	\$108,854,854	\$108,649,264	\$112,415,000	\$3,765,736

LIMITATION ON ADMINISTRATION

Financing

	<u>Fiscal year 2011 actual</u>	<u>Fiscal year 2012 estimate</u>	<u>Fiscal year 2013 estimate</u>
<u>Financing</u>			
Total, direct program obligations	\$108,711,854	\$108,649,264	\$112,415,000
Unobligated balance	<u>143,000</u>	<u>---</u>	<u>---</u>
Limitation	<u>\$108,854,854</u>	<u>\$108,649,264</u>	<u>\$112,415,000</u>

Relation of direct program obligations to outlays

Obligations incurred, net	\$108,711,854	\$108,649,264	\$112,415,000
Obligated balance, start of year	0	8,141,010	8,141,010
Obligated balance, end of year	<u>(8,141,010)</u>	<u>(8,141,010)</u>	<u>(8,141,010)</u>
Outlays from limitation	<u>\$100,570,844</u>	<u>\$108,649,264</u>	<u>\$112,415,000</u>

Note: Funds to administer the Railroad Retirement Act and the Railroad Unemployment Insurance Act are combined into a single administrative account. Funds to administer the Railroad Retirement Act are transferred from the Railroad Retirement Account and the Social Security Equivalent Benefit Account to the Limitation on Administration. Funds required to administer the Railroad Unemployment Insurance Act are transferred from the Railroad Unemployment Insurance Administration Fund to the Limitation on Administration.

The financial operations of the Limitation on Administration can also be expressed in a sources and uses of funds table as shown below.

Sources and Uses of Funds

	<u>Fiscal year 2011 actual</u>	<u>Fiscal year 2012 estimate</u>	<u>Fiscal year 2013 estimate</u>
<u>Direct program sources</u>			
Available from benefit trust funds by Congressional appropriation	<u>\$108,854,854</u>	<u>\$108,649,264</u>	<u>\$112,415,000</u>
<u>Direct program uses</u>			
Administrative expenses	\$108,711,854	\$108,649,264	\$112,415,000
Unobligated balance	<u>143,000</u>	<u>---</u>	<u>---</u>
Total uses:	<u>\$108,854,854</u>	<u>\$108,649,264</u>	<u>\$112,415,000</u>

LIMITATION ON ADMINISTRATION

Amounts Available for Obligation

	<u>Fiscal year 2011 actual</u>	<u>Fiscal year 2012</u>	<u>Fiscal year 2013 estimate</u>
Appropriation	\$108,711,854	\$108,649,264	\$112,415,000
Unobligated balance	<u>143,000</u>	<u>0</u>	<u>0</u>
Total direct obligations <u>a/</u>	<u>\$108,854,854</u>	<u>\$108,649,264</u>	<u>\$112,415,000</u>

a/ The RRB also received reimbursements totaling \$10.1 million in fiscal year 2011 and expects to receive \$10.5 million in fiscal year 2012, and \$10.6 million fiscal year 2013 for reimbursable activities.

APPROPRIATIONS HISTORY TABLE

<u>Year</u>	<u>Budget estimate to the Congress</u>	<u>House allowance</u>	<u>Senate allowance</u>	<u>Appropriation/ continuing resolution</u>
2004	\$ 99,820,000	\$101,300,000	\$ 99,350,000	\$100,702,330 <u>a/</u>
2005	102,600,000	102,202,000	102,600,000	102,543,040 <u>b/</u>
2006	102,543,040	102,543,000	102,543,000	101,517,570 <u>c/</u>
2007	103,517,570	103,518,000	103,518,000	103,693,878 <u>d/</u>
2008	103,517,570	103,694,000	103,694,000	101,882,466 <u>e/</u>
2009	105,463,000	105,463,000	105,463,000	105,463,000 <u>f/</u>
2010	109,073,000	109,073,000	109,073,000	109,073,000 <u>g/</u>
2011	110,573,000	---	---	108,854,854 <u>h/</u>
2012	112,239,000	---	---	108,649,264 <u>i/</u>
2013	112,415,000			

- a/ This amount reflects the Consolidated Appropriations Act, 2004 (P.L. 108-199), which provided \$100,702,330 (an appropriation of \$101,300,000, less a rescission of \$597,670).
- b/ This amount reflects the Consolidated Appropriations Act, 2005 (P.L. 108-447), which provided \$102,543,040 (an appropriation of \$103,370,000, less a rescission of \$826,960).
- c/ Amount reflects the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2006 (P.L. 109-149), which provided \$102,543,000, less a rescission of 1 percent (\$1,025,430) in accordance with P.L. 109-148.
- d/ Reflects the appropriation of \$103,018,000 made available by P.L. 110-5, the full-year continuing resolution for fiscal year 2007, plus \$675,878 reimbursement for half the January 2007 pay raise provided by Section 111 of P.L. 110-5.
- e/ Reflects the appropriation received under the Consolidated Appropriations Act, 2008 (P.L. 110-161), less a rescission of \$1,811,534.
- f/ Amount reflects funding made available by the Omnibus Appropriations Act, 2009 (P.L. 111-8).
- g/ Reflects the appropriation made available by the Consolidated Appropriations Act, 2010 (P.L. 111-117).
- h/ Reflects the appropriation of \$109,073,000, less a rescission of \$218,146 under the Department of Defense and Full-Year Continuing Appropriations Act, 2011 (P.L. 112-10).
- i/ Reflects the appropriation of \$108,855,000, less a rescission of \$205,736 under the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2012 (P.L. 112-74).

Retirement/Survivor Benefit Program
Summary Processing Workload Table

<u>Fiscal years</u>	<u>Average number of annuitants</u>	<u>New applications</u>	<u>Supplemental annuity applications</u>	<u>Social Security initial awards</u>	<u>Reactivated applications</u>	<u>Number of payments</u>	<u>Medicare enrollments</u> ¹
2001	660,112	38,306	6,690	7,156	40,870	8,872,847	5,538
2002	641,063	42,225	12,258	5,845	41,721	8,200,488	5,124
2003	626,319	37,495	8,997	6,191	43,034	7,960,902	4,684

<u>Fiscal years</u> ²	<u>Average number of annuitants</u>	<u>New applications</u>	<u>Supplemental annuity applications</u> ³	<u>Social Security initial awards</u>	<u>Post-payment</u> ⁴	<u>Number of payments</u>	<u>Medicare enrollments</u> ¹
2004	610,020	36,707	7,871	6,126	90,302	7,766,557	5,426
2005	595,484	37,510	7,129	6,329	98,312	7,592,204	5,030
2006	582,995	35,617	7,794	6,065	94,108	7,426,518	4,838
2007 ⁵	571,271	37,134	9,345	5,965	113,489	7,349,904	5,188
2008	562,347	36,909	9,176	6,010	99,525	7,191,744	5,686
2009	554,124	37,248	9,725	8,128	110,534	7,104,066	5,235
2010	549,154	37,384	9,581	12,563	120,102	7,055,426	5,204
2011	544,256	37,231	9,743	9,275	93,425	7,011,155	5,803
2012 (est)	541,100	36,000	9,000	8,000	103,000	6,970,000	6,000
2013 (est)	537,600	36,000	9,000	8,000	97,000	6,910,000	6,000

1/ Excludes attainments.

2/ Beginning in fiscal year 2004, we revised our production reporting system to enhance the methodology used and improve the accuracy of the resulting workload counts.

3/ Beginning in fiscal year 2004, supplemental annuity post-activity is included in post-payment adjustments.

4/ Beginning in fiscal year 2004, includes award adjustments and payment-related corrections to supplemental annuities and social security benefits in addition to retirement and survivor award adjustments. Also, includes overpayment and underpayment calculations and other record maintenance activities that could affect the status of previous or future payments.

5/ Post-payment adjustments reflect 21,358 receipts due to the processing of special adjustments for annuity increases resulting from changes in retirement service and earnings credits reported by railroad employers over a period of several years.

Railroad Unemployment/Sickness Insurance Program
Summary Processing Workload Table

Fiscal Years	Railroad employment ^{1/}	Unemployment insurance			Sickness insurance		
		Applications	Claims	Payments	Applications	Claims	Payments
2001	238,000	22,229	120,994	96,603	28,850	191,715	165,706
2002	229,000	20,462	129,564	106,289	28,193	193,246	167,643
2003	225,000	17,843	113,172	93,422	27,333	183,594	159,088
2004	227,000	13,419	89,367	74,790	25,737	173,515	150,033
2005	232,000	11,701	71,654	60,057	24,795	161,062	138,610
2006	236,000	11,186	64,697	53,781	25,866	155,525	133,519
2007	237,000	13,002	71,156	58,385	22,996	148,607	127,372
2008	235,000	13,483	79,016	65,388	21,932	140,788	120,498
2009	223,000	39,509	230,476 ^{2/}	198,230 ^{2/}	21,466	138,993	118,770
2010	221,000	18,721	210,965 ^{3/}	190,152 ^{3/}	21,476	139,653	119,426
2011	230,000 (est)	12,756	93,598 ^{4/}	83,144 ^{4/}	20,797	136,014	115,705
2012 (est)	230,000	13,000	87,000 ^{5/}	77,000 ^{5/}	21,000	135,000	115,000
2013 (est)	228,000	14,000	84,000	75,000	21,000	141,000	120,000

^{1/} Average annual railroad employment is based on mid-month counts and presented on a calendar year basis.

^{2/} Includes unemployment insurance claims and payments under the American Recovery and Reinvestment Act of 2009 (P.L. 111-5).

^{3/} Includes unemployment insurance claims and payments under P.L. 111-5 and the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111-92).

^{4/} Includes unemployment insurance claims and payments under P.L. 111-5, P.L. 111-92, and the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (PL. 111-312).

^{5/} Includes unemployment insurance claims and payments under P.L. 111-92, P.L. 111-312. Current estimates indicate that the RRB will receive and process an additional 6,000 unemployment insurance claims and payments under the Temporary Payroll Tax Cut Continuation Act of 2011 (P.L. 112-78).