
Quarterly Benefit Statistics

U.S. Railroad Retirement Board
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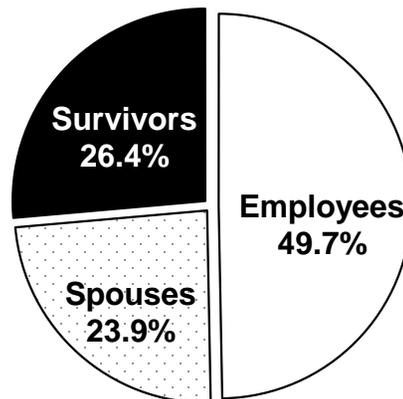
Railroad Retirement and Unemployment Insurance Programs

Selected Current Statistics for **October - December 2009**

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**Railroad Retirement and
Survivor Beneficiaries
on the Rolls, by Type,
December 2009**
(553,000 beneficiaries)



NOTE.—About 4,900 employees also received spouse annuities and some 2,600 employees also received survivor annuities.

**Table 1: Retirement and Survivor Programs, Benefit Statistics
October - December 2009**

Period	Total ¹		Employee annuities			Supplemental ³	Spouse annuities	Divorced spouse annuities
	Monthly benefits	Monthly beneficiaries	Age	Disability ² Under full retirement age	Full retirement age and over			
Number in current-payment status at end of period								
December 2009	681,373	552,581	190,527	48,819	35,079	121,082	133,273	3,831
November 2009	680,760	552,111	190,481	48,870	34,919	121,025	132,971	3,789
October 2009	680,574	552,119	190,368	48,999	34,822	120,899	132,820	3,771
Average amount in current-payment status at end of period								
December 2009	\$2,137.14	\$2,403.97	\$1,919.33	\$41.64	\$808.31	\$494.48
November 2009	2,134.86	2,403.63	1,915.74	41.64	807.15	494.46
October 2009	2,130.40	2,401.42	1,912.60	41.65	805.44	493.71
Number awarded during period								
December 2009	2,391	2,048	463	253	343	743	47
November 2009	3,280	2,658	896	211	622	870	55
October 2009	3,389	2,729	913	265	660	920	62
10/09 - 12/09	9,060	7,435	2,272	729	1,625	2,533	164
10/08 - 12/08	8,804	7,225	2,138	744	1,579	2,393	127
Average amount awarded during period⁴								
December 2009	\$2,773.22	\$2,249.85	\$40.69	\$1,001.06	\$515.34
November 2009	2,689.26	2,545.65	41.39	956.30	513.31
October 2009	2,778.63	2,473.07	41.40	980.60	506.82
Benefit payments during period (thousands)⁵								
December 2009	\$910,652	\$415,356	\$123,558	\$68,080	\$4,687	\$109,436	\$1,914
November 2009	893,827	407,062	120,515	66,473	5,053	108,604	1,919
October 2009	889,729	405,081	121,915	65,795	5,047	107,200	1,874
10/09 - 12/09	2,694,208	1,227,499	365,988	200,348	14,786	325,240	5,707
10/08 - 12/08	2,537,258	1,142,744	348,323	184,255	15,066	302,824	5,120

¹Includes dependent parents' annuities. Except for benefit payment data, excludes insurance lump-sum and residual payment figures. Benefit payments also include hospital insurance benefits for services in Canada. ²Full retirement age, also known as normal retirement age, is gradually increasing from age 65 to age 67 over a 22 year period. For those born 1/2/1943-1/1/1955, the normal retirement age is 66.

³Excludes supplemental benefits paid to partitioned spouses and partitioned divorced spouses where the employee is deceased. Averages are after court-ordered partitions. ⁴Regular employee and spouse annuity averages are preliminary estimates.

NOTE--MONTHLY BENEFITS in CURRENT-PAYMENT STATUS at the end of month include all benefits awarded to date and payable for the month.

BENEFITS AWARDED consist of those certified for the first time on either a partial or final basis.

BENEFIT PAYMENTS for a month, shown in both the benefit and financial statistics, consist of recurrent monthly checks dated the first of the month, plus retroactive and lump-sum payments made during the month, less returned checks (excluding those not yet distributed by Account), refunds of benefits paid previously, etc. Data are on a cash basis (unaudited) and are partly estimated.

**Table 1: Retirement and Survivor Programs, Benefit Statistics
October - December 2009 -- Continued**

Survivor benefits

Period	Annuities					Children	Insurance lump sums	Residual payments	Partition payments ⁶
	Aged widows and widowers	Disabled widows and widowers	Widowed mothers and fathers	Remarried widows and widowers	Divorced widows and widowers				
Number in current-payment status at end of period									
December 2009	118,737	4,327	818	4,332	9,574	10,437	503
November 2009	118,824	4,326	812	4,327	9,543	10,422	417
October 2009	119,138	4,313	813	4,344	9,513	10,397	343
Average amount in current-payment status at end of period									
December 2009	\$1,301.05	\$1,091.27	\$1,620.84	\$879.80	\$867.95	\$937.03	\$278.34
November 2009	1,298.81	1,089.19	1,614.12	880.55	867.39	935.90	275.54
October 2009	1,296.12	1,086.94	1,608.20	880.04	867.04	935.95	279.37
Number awarded during period									
December 2009	409	15	11	11	59	37	307	3
November 2009	501	17	6	9	61	32	309	3
October 2009	465	16	4	10	52	22	271	1
10/09 - 12/09	1,375	48	21	30	172	91	887	7
10/08 - 12/08	1,473	39	29	35	139	107	928	3
Average amount awarded during period⁴									
December 2009	\$1,763.33	\$1,772.01	\$1,593.53	\$871.95	\$942.08	\$1,254.98	\$877	\$1,673
November 2009	1,738.87	1,645.09	1,610.91	756.00	809.77	1,066.43	920	1,261
October 2009	1,729.13	1,525.48	2,439.47	1,130.30	884.96	1,197.63	894	566
Benefit payments during period (thousands)⁵									
December 2009	\$157,372	\$4,925	\$1,426	\$3,894	\$8,513	\$10,415	\$279	\$5	\$753
November 2009	154,689	4,875	1,375	3,828	8,318	10,219	301	4	560
October 2009	153,669	4,756	1,369	3,819	8,254	10,078	263	1	574
10/09 - 12/09	465,730	14,556	4,170	11,541	25,085	30,712	843	10	1,887
10/08 - 12/08	455,634	14,109	4,012	11,215	23,464	29,395	854	8	86

⁵October 2009 benefits reflect reimbursements for prior period Part B Medicare premium refunds. December 2009 benefits reflect early transfer to CMS of Part B Medicare premiums for January 2010 and non-transmittal to Treasury of income tax withholding attributable to December benefits. ⁶Limited to payments to partitioned spouses and partitioned divorced spouses where the employee is deceased or not otherwise entitled to an annuity. Partitioned payments from employees on the rolls are included with the employees' annuities.

NOTE--(Continued from previous page.)

FOR WIDOWS and WIDOWERS aged 60 and over and WIDOWED MOTHERS and FATHERS, the number of benefits being paid and benefit payments include benefits temporarily being continued at spouse annuity rates, pending award of survivor annuities.

INSURANCE LUMP SUMS and RESIDUAL PAYMENTS are each counted only once with respect to an employee's death even though divided among 2 or more persons. Award data for insurance lump sums exclude deferred benefits, i.e., those payable a year after the employee's death.

Data on benefit payments are for CALENDAR MONTHS; all other data are for ACCOUNTING MONTHS ending on approximately the 29th of each month.

Table 2: Retirement and Survivor Programs, Financial Statistics
October - December 2009 (In thousands)
Cash Basis (Unaudited)

Item	December 2009	November 2009	October 2009	October 2009 - December 2009	October 2008 - December 2008
RAILROAD RETIREMENT ACCOUNT					
Balance at beginning of period¹	\$360,709	\$472,759	\$418,184	\$418,184	\$632,019
Income, total	709,238	370,988	539,772	1,619,998	1,120,402
Payroll taxes ²	159,135	155,910	159,431	474,476	492,905
Income tax transfers ³	77,000	77,000	22,000
Reimbursements for payment of SSA benefits	108,282	110,953	109,922	329,157	311,062
Transfers from National RR Investment Trust ⁴	441,000	103,000	193,000	737,000	291,000
Transfer from SSEB Account ⁴
Undistributed recoveries of benefit payments ⁵	12	84	65	161	222
Uncashed check credits from U.S. Treasury ⁶	42	41	-28	55	95
Interest on investments ⁷	768	1,000	381	2,149	3,118
Outgo, total	481,541	483,038	485,197	1,449,776	1,381,268
Benefit payments-regular ⁸	355,042	363,806	359,507	1,078,354	1,036,142
Benefit payments-supplemental ⁸	4,702	5,062	5,055	14,819	15,066
Payments of SSA benefits	112,817	110,792	112,704	336,314	311,053
Loans to Railroad Unemployment Insurance Account	5,400	5,400
Administrative expenses ⁹	3,347	3,171	7,362	13,880	17,950
Funding for Office of Inspector General	233	207	570	1,010	1,058
Balance at end of period¹	588,406	360,709	472,759	588,406	371,152
NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST					
Cash and investment balance at end of period¹⁰	\$23,341,066	\$23,514,613	\$22,890,367	\$23,341,066	\$20,723,321
DUAL BENEFITS PAYMENTS ACCOUNT¹¹					
Balance at beginning of period	-\$10,849	-\$5,451
Congressional apportionments ¹²	16,994	(13)	-\$1,000	\$15,994	(13)
Income tax transfers ³	1,000	1,000
Vested dual benefit payments	5,344	5,398	5,451	16,194	\$18,135
Balance at end of period	800	-10,849	-5,451	800	-18,134

Table 2: Retirement and Survivor Programs, Financial Statistics
October - December 2009 (In thousands)
Cash Basis (Unaudited) -- Continued

Item	December 2009	November 2009	October 2009	October 2009 - December 2009	October 2008 - December 2008
SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT					
Balance at beginning of period	\$801,540	\$829,884	\$803,783	\$803,783	\$791,230
Income, total	542,976	492,973	549,939	1,585,889	1,530,983
Payroll taxes ²	202,847	185,894	181,706	570,447	613,757
Income tax transfers ³	33,000	33,000	18,000
Financial interchange advances ¹⁴	338,717	305,526	334,412	978,655	894,693
RRB-SSA financial interchange transfer
Interest on investments ⁷	1,412	1,553	822	3,787	4,533
Outgo, total	547,424	521,318	523,839	1,592,581	1,477,424
Benefit payments ⁸	545,563	519,561	519,717	1,584,841	1,467,915
Repayment of financial interchange advances ¹⁴
RRB-CMS financial interchange transfer
Transfer to Railroad Retirement Account ⁴
Administrative expenses ⁸	1,743	1,651	3,834	7,228	8,968
Funding for Office of Inspector General	118	105	288	511	541
Balance at end of period	797,092	801,540	829,884	797,092	844,788
ECONOMIC RECOVERY PAYMENTS, AMERICAN RECOVERY AND REINVESTMENT ACT¹⁵					
Balance at beginning of period	\$5,458	\$5,459	\$5,458	\$5,458
Congressional apportionments
Benefits	242	1	(16)	243
Balance at end of period	5,216	5,458	5,459	5,216

¹Balances include liabilities for uncashed checks. As of the end of December 2009, liabilities were \$11,609,000. ²Net of U.S. Treasury adjustments for payroll tax refunds to certain carriers and their employees for prior periods. ³Amounts include U.S. Treasury adjustments for prior period income tax reconciliations. ⁴Under the Railroad Retirement and Survivors' Improvement Act of 2001, as amended, the portion of the RR Account not needed to pay current administrative expenses is to be transferred to the National Railroad Retirement Investment Trust (Trust). The Trust may transfer funds back to the RR Account for payment of benefits. The balance of the SSEB Account not needed to pay current benefits and administrative expenses is to be transferred to the Trust or to the RR Account. ⁵Net of amounts distributed by account. ⁶Net of returns of uncashed check credits. Includes undistributed canceled checks under 1-year limited payability. ⁷Net of adjustments for payroll tax refunds (see note 2). ⁸October 2009 RR Account benefits reflect reimbursements for prior periods Part B Medicare premium refunds. December 2009: SSEB Account benefits reflect an early transfer to CMS of Part B Medicare premiums for January 2010. SSEB and RR Account benefits reflect the non-transmittal to Treasury of income tax withholding attributable to December benefits. ⁹Reflects adjustments for prior periods. ¹⁰Source: National Railroad Retirement Investment Trust. ¹¹Total vested dual benefits paid during a fiscal year are limited to the amount appropriated to the Dual Benefits Payments Account for that year. Any amounts not spent are returned to the U.S. Treasury. The benefit appropriation for fiscal year 2010 was \$64.0 million, including income tax transfers. The appropriation for fiscal year 2009 was \$72.0 million, including income tax transfers. Funds for October through December 2008 and October through November 2009 were provided under a partial year continuing resolution. ¹²Includes a small amount of interest on uncashed checks. ¹³Less than \$500. ¹⁴Includes interest. ¹⁵The American Recovery and Reinvestment Act of 2009, signed into law on February 17, 2009, provided for a one-time economic recovery payment of \$250 to be paid to most adults (including disabled adult children) who receive railroad retirement benefits. An appropriation of \$135,000,000 for these payments was received in March 2009. ¹⁶Between -\$500 and \$500 and not equal to zero.

**Table 3: Unemployment and Sickness Programs, Benefit Statistics
October - December 2009**

Period	Normal benefit accounts			Beneficiaries		
	Applications received	Opened	Exhausted	Total	Normal benefits	Extended benefits
Unemployment¹						
December 2009	2,020	1,829	154	11,995	11,842	300
November 2009	1,828	1,635	82	10,808	10,644	260
October 2009	1,678	1,999	35	10,957	10,434	944
7/09 - 12/09	16,943	17,167	1,120	20,265	19,172	3,290
7/08 - 12/08	9,254	5,688	240	6,911	6,835	243
Sickness						
December 2009	1,124	1,148	76	5,598	5,569	58
November 2009	1,259	1,038	40	5,586	5,568	43
October 2009	1,438	1,253	27	5,614	5,568	79
7/09 - 12/09	13,236	10,842	369	11,518	11,368	603
7/08 - 12/08	13,258	10,540	342	11,267	11,092	634

Period	Number of payments			Averages ²		
	Total	Normal benefits	Extended benefits	Benefit days	Benefit per week	Benefit payments (thousands)
Unemployment¹						
December 2009	23,948	23,369	579	9.0	\$317.90	\$14,799
November 2009	18,965	18,468	497	9.0	317.80	11,062
October 2009	20,872	19,268	1,604	8.8	317.80	12,306
7/09 - 12/09	136,283	115,482	20,801	8.8	315.80	78,855
7/08 - 12/08	31,314	30,360	954	8.8	301.75	17,353
Sickness						
December 2009	11,123	10,990	133	9.0	\$316.95	\$5,752
November 2009	9,803	9,721	82	9.1	317.55	3,868
October 2009	10,873	10,737	136	9.2	317.60	4,831
7/09 - 12/09	62,431	59,975	2,456	9.0	277.00	29,137
7/08 - 12/08	59,382	56,818	2,564	9.0	302.45	24,947

¹Starting in June 2009, includes temporary extended unemployment benefits authorized by the American Recovery and Reinvestment Act of 2009. Beginning in November 2009, temporary extended unemployment benefits are also being paid under the Worker, Homeownership, and Business Assistance Act of 2009. ²Benefit days--average benefit days per registration period. Benefit per week--equal to 5 times average daily benefit.

NOTE--An unemployment claimant files only one APPLICATION for a benefit year. A sickness claimant files an APPLICATION at the beginning of each period of continuing sickness. NORMAL BENEFIT ACCOUNTS are opened when the first payment is made.

The number of BENEFICIARIES is the count of persons receiving unemployment or sickness benefits in the period. Those receiving both normal and extended benefits for unemployment or sickness are counted only once in the total for each type.

PAYMENTS generally cover 14-day registration periods. Benefits are payable for days over 7 during an employee's first 14-day registration period. Sickness benefits are paid for days of sickness after the 4th consecutive day of sickness in the first claim in each period of continuing sickness; for subsequent registration periods in the same period of continuing sickness, payments are made for all days of sickness over 4 whether or not consecutive. Unemployment benefits are paid for days of unemployment over 4. However, in the case of unemployment benefits due to a legal authorized strike, unemployment benefits are not paid until after a 14-day waiting period. Non-strikers unemployed due to an illegal strike must also serve a 14-day waiting period.

(Continued on next page.)

Table 4: Unemployment and Sickness Programs, Financial Statistics
October - December 2009 (In thousands)
Cash Basis (Unaudited)

Item	December 2009	November 2009	October 2009	October 2009 - December 2009	October 2008 - December 2008
RAILROAD UNEMPLOYMENT INSURANCE ACCOUNT					
Balance at beginning of period	\$22,666	\$34,000	\$38,123	\$38,123	\$105,287
Income, total	5,766	3,478	12,536	21,781	19,025
Contributions	180	3,613	12,202	15,994	17,478
Loans from RR Account	5,400	5,400
Interest on investments	190	9	3	202	1,322
Undistributed recoveries of benefit payments ¹	-3	-144	332	185	225
Transfers from Administration Fund
Outgo, total	20,533	14,812	16,659	52,005	24,219
Unemployment benefit payments	14,682	10,856	11,587	37,125	10,599
Sickness benefit payments	5,752	3,868	4,831	14,452	13,169
Funding for Office of Inspector General	99	88	242	429	451
Balance at end of period	7,899	22,666	34,000	7,899	100,093
RAILROAD UNEMPLOYMENT INSURANCE ADMINISTRATION FUND					
Balance at beginning of period	\$12,104	\$11,638	\$9,465	\$9,465	\$10,427
Income, total	188	1,243	3,978	5,409	5,960
Contributions	63	1,243	3,978	5,284	5,815
Interest on investments	124	124	145
Outgo, total	820	777	1,805	3,402	4,383
Administrative expenses	820	777	1,805	3,402	4,383
Transfers to RUI Account
Balance at end of period	11,471	12,104	11,638	11,471	12,004

¹ Net of distributed amounts.

NOTE--(Continued from previous page.)

BENEFIT PAYMENTS are on a cash basis (unaudited) and represent amounts paid during the period including retroactive payments, less recoveries distributed to beneficiary accounts, refunds, and cancellations of previous payments.

ADMINISTRATION FUND balances reflect current adjustments to income and disbursements for previous years.

All unemployment and sickness data relate to CALENDAR MONTHS.

Detail may not add to totals shown because of rounding.

Table 4: Unemployment and Sickness Programs, Financial Statistics
October - December 2009 (In thousands)
Cash Basis (Unaudited) -- Continued

Item	December 2009	November 2009	October 2009	October 2009 - December 2009	October 2008 - December 2008
LOANS DUE RAILROAD RETIREMENT ACCOUNT					
Balance at beginning of period
Loans from Railroad Retirement Account	\$5,400	\$5,400
Interest accrued	9	9
Repayments from UI Account, total
Principal
Interest
Balance at end of period	5,409	5,409
EXTENDED UNEMPLOYMENT BENEFITS, AMERICAN RECOVERY AND REINVESTMENT ACT²					
Balance at beginning of period	\$8,826	\$9,032	\$9,751	\$9,751
Congressional apportionments
Interest and other income	(3)	(3)
Benefits	118	206	719	1,043
Balance at end of period	8,709	8,826	9,032	8,709
EXTENDED UNEMPLOYMENT BENEFITS, WORKER, HOMEOWNERSHIP, AND BUSINESS ASSISTANCE ACT⁴					
Balance at beginning of period
Congressional apportionments	\$175,000	\$175,000
Benefits
Balance at end of period	175,000	175,000

² The American Recovery and Reinvestment Act of 2009, signed into law on February 17, 2009, contained an appropriation of \$20,000,000 to provide up to 13 additional weeks of unemployment benefits for certain railroad workers who exhaust their rights to the benefits normally provided under the Railroad Unemployment Insurance Act.

³ Less than \$500.

⁴ The Worker, Homeownership, and Business Assistance Act of 2009, signed into law on November 6, 2009, contained an appropriation of \$175,000,000 to extend the provisions of the Recovery Act by one year.

Detail may not add to totals shown because of rounding.

Table 5: Benefits and Beneficiaries -- December 2009

RETIREMENT - SURVIVOR

Total benefit payments - cash basis (unaudited)	\$910,652,000
Regular benefits	900,605,000
Vested dual benefits	5,344,000
Supplemental annuities	4,702,000

	Number	Average
Total benefits being paid at end of month	681,000
Retired employees':		
Regular	274,000	\$2,157
Supplemental	121,000	42
Spouses' and divorced spouses'	137,000	800
Aged widows' and widowers'	119,000	1,301
Other benefits	30,000	937
Total beneficiaries being paid at end of month	553,000

UNEMPLOYMENT-SICKNESS

	Unemployment¹	Sickness
Benefit payments - cash basis (unaudited)	\$14,799,000	\$5,752,000
Beneficiaries	12,000	5,600
Average payment per week	\$318	\$317

¹ Starting in June 2009, includes temporary extended unemployment benefits authorized by the American Recovery and Reinvestment Act of 2009. Beginning in November 2009, temporary extended unemployment benefits are also being paid under the Worker, Homeownership, and Business Assistance Act of 2009.