
Quarterly Benefit Statistics

U.S. Railroad Retirement Board
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Railroad Retirement and Unemployment Insurance Programs

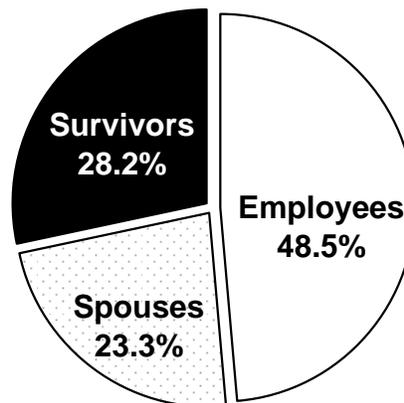
Selected Current Statistics for **October - December 2006**

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Railroad Retirement and Survivor Beneficiaries on the Rolls, by Type, December 2006

(576,000 beneficiaries)



NOTE.--Some 4,300 employees also received spouse annuities and about 2,800 employees also received survivor annuities.

**Table 1: Retirement and Survivor Programs, Benefit Statistics
October - December 2006**

Period	Total ¹		Employee annuities				Spouse annuities	Divorced spouse annuities
	Monthly benefits	Monthly beneficiaries	Age	Disability ²		Supplemental		
				Under full retirement age	Full retirement age and over			
Number in current-payment status at end of period								
December 2006	704,935	576,234	195,063	50,251	34,188	121,603	134,959	3,541
November 2006	704,845	576,213	195,118	50,186	34,114	121,528	134,840	3,526
October 2006	705,726	577,094	195,326	50,286	34,068	121,521	134,967	3,517
Average amount in current-payment status at end of period								
December 2006	\$1,846.70	\$2,182.37	\$1,681.98	\$41.72	\$699.52	\$432.14
November 2006	1,798.35	2,122.64	1,636.06	41.72	681.86	418.01
October 2006	1,793.62	2,119.61	1,630.59	41.72	679.86	417.80
Number awarded during period								
December 2006	2,492	2,074	471	309	418	653	38
November 2006	3,109	2,500	773	249	609	793	42
October 2006	3,288	2,614	824	321	674	831	33
10/06 - 12/06	8,889	7,188	2,068	879	1,701	2,277	113
10/05 - 12/05	8,305	6,963	1,768	947	1,342	2,154	121
Average amount awarded during period³								
December 2006	\$2,550.99	\$2,152.20	\$41.09	\$929.50	\$451.31
November 2006	2,429.71	2,396.39	41.23	877.28	441.09
October 2006	2,513.35	2,299.36	41.47	915.16	485.66
Benefit payments during period (thousands)⁴ Revised								
December 2006	\$792,764	\$351,080	\$108,801	\$55,727	\$5,090	\$92,383	\$1,503
November 2006	834,728	368,819	115,438	66,746	5,122	98,843	1,539
October 2006	793,400	350,877	109,941	55,403	5,043	92,361	1,503
10/06 - 12/06	2,420,892	1,070,776	334,180	177,876	15,255	283,587	4,545
10/05 - 12/05	2,309,660	1,017,063	312,649	160,233	15,466	268,839	4,275

¹Includes dependent parents' annuities. Except for benefit payment data, excludes insurance lump-sum and residual payment figures. Benefit payments also include hospital insurance benefits for services in Canada

²Full retirement age, also known as normal retirement age, is gradually increasing from age 65 to age 67 over a 22 year period. For those born 1/2/1941-1/1/1942, the normal retirement age is 65 and 8 months

³Regular employee and spouse annuity averages are preliminary estimates.⁴ In November 2006, an annuity adjustment was made to approximately 31,000 employee annuitants to include additional service and earnings credits, resulting in higher than normal benefit payments to employees and spouses.

NOTE --MONTHLY BENEFITS in CURRENT-PAYMENT STATUS at the end of month include all benefits awarded to date and payable for the month.

BENEFITS AWARDED consist of those certified for the first time on either a partial or final basis.

BENEFIT PAYMENTS for a month, shown in both the benefit and financial statistics, consist of recurrent monthly checks dated the first of the month, plus retroactive and lump-sum payments made during the month, less returned checks (excluding those not yet distributed by Account), refunds of benefits paid previously, etc. Data are on a cash basis (unaudited) and are partly estimated.

**Table 1: Retirement and Survivor Programs, Benefit Statistics
October - December 2006 -- Continued**

Survivor benefits

Period	Annuities					Children	Insurance lump sums	Residual payments
	Aged widows and widowers	Disabled widows and widowers	Widowed mothers and fathers	Remarried widows and widowers	Divorced widows and widowers			
Number in current-payment status at end of period								
December 2006	133,648	4,703	927	4,882	9,685	11,437
November 2006	133,879	4,706	924	4,876	9,663	11,437
October 2006	134,338	4,715	925	4,896	9,675	11,442
Average amount in current-payment status at end of period								
December 2006	\$1,147.85	\$967.22	\$1,447.31	\$773.93	\$766.38	\$850.29
November 2006	1,127.45	949.10	1,431.38	747.55	741.32	824.75
October 2006	1,124.97	947.11	1,428.25	747.50	740.43	823.51
Number awarded during period								
December 2006	478	15	14	16	46	34	318	3
November 2006	506	12	14	13	53	45	335	2
October 2006	467	12	6	16	56	48	330	1
10/06 - 12/06	1,451	39	34	45	155	127	983	6
10/05 - 12/05	1,614	33	23	37	136	129	1,009	6
Average amount awarded during period³								
December 2006	\$1,498.66	\$1,255.85	\$1,531.93	\$1,080.69	\$868.57	\$1,228.70	\$936	\$1,726
November 2006	1,481.82	1,572.26	1,466.06	828.38	833.77	1,062.30	906	4,137
October 2006	1,515.90	993.15	882.80	824.81	719.81	1,010.14	880	9,575
Benefit payments during period (thousands)								
December 2006	\$151,235	\$4,591	\$1,388	\$3,670	\$7,268	\$9,665	\$317	\$5
November 2006	151,124	4,585	1,407	3,664	7,276	9,804	315	8
October 2006	151,365	4,538	1,336	3,676	7,252	9,748	303	10
10/06 - 12/06	453,724	13,714	4,131	11,010	21,796	29,217	935	23
10/05 - 12/05	451,802	13,436	4,310	10,746	20,686	29,062	948	16

NOTE.--(Continued from previous page.)

FOR WIDOWS and WIDOWERS aged 60 and over and WIDOWED MOTHERS and FATHERS, the number of benefits being paid and benefit payments include benefits temporarily being continued at spouse annuity rates, pending award of survivor annuities.

INSURANCE LUMP SUMS and RESIDUAL PAYMENTS are each counted only once with respect to an employee's death even though divided among 2 or more persons. Award data for insurance lump sums exclude deferred benefits, i.e., those payable a year after the employee's death.

Data on benefit payments are for CALENDAR MONTHS; all other data are for ACCOUNTING MONTHS ending on approximately the 22nd of each month.

Table 2: Retirement and Survivor Programs, Financial Statistics
October - December 2006 (In thousands)
Cash Basis (Unaudited)

Item	December 2006	November 2006	October 2006	October 2006 - December 2006	October 2005 - December 2005
RAILROAD RETIREMENT ACCOUNT					
Balance at beginning of period¹	\$368,523	\$473,850	\$479,392	\$479,392	\$591,081
Income, total	417,172	365,821	429,404	1,212,397	1,016,328
Payroll taxes ²	146,121	154,889	168,536	469,546	468,153
Income tax transfers ³	78,000	78,000	78,000
Reimbursements for payment of SSA benefits	98,878	101,132	100,580	300,590	292,769
Transfers from National RR Investment Trust ⁴	170,000	108,000	81,000	359,000	173,000
Transfer from SSEB Account ⁴
Undistributed recoveries of benefit payments ⁵	887	69	-271	685	115
Uncashed check credits from U.S. Treasury ⁶	53	10	-3	60	79
Interest on investments ⁷	1,233	1,721	1,562	4,516	4,212
Outgo, total	427,652	471,148	434,946	1,333,746	1,245,328
Benefit payments-regular ⁸	319,437	360,663	319,387	999,487	915,255
Benefit payments-supplemental ⁸	5,090	5,122	5,043	15,255	15,466
Payments of SSA benefits	99,017	101,071	100,591	300,678	292,757
Administrative expenses ⁹	3,825	3,866	9,356	17,046	20,785
Funding for Office of Inspector General	285	427	569	1,281	1,065
Balance at end of period¹	358,043	368,523	473,850	358,043	362,081
NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST					
Cash and investment balance at end of period¹⁰	\$30,892,975	\$30,666,364	\$30,079,367	\$30,892,975	\$28,032,842
DUAL BENEFITS PAYMENTS ACCOUNT¹¹					
Balance at beginning of period	-\$15,068	-\$7,564
Congressional apportionments ¹²	(13)	(13)	-\$2,000	-\$1,999	-\$999
Income tax transfers ³	2,000	2,000	1,000
Vested dual benefit payments	7,439	7,504	7,564	22,507	24,952
Balance at end of period	-22,507	-15,068	-7,564	-22,507	-24,951

Table 2: Retirement and Survivor Programs, Financial Statistics
October - December 2006 (In thousands)
Cash Basis (Unaudited) -- Continued

Item	December 2006	November 2006	October 2006	October 2006 - December 2006	October 2005 - December 2005
SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT					
Balance at beginning of period	\$729,804	\$755,055	\$717,361	\$717,361	\$714,828
Income, total	469,911	437,777	502,774	1,410,462	1,422,998
Payroll taxes ²	185,866	184,026	188,222	558,114	574,062
Income tax transfers ³	30,000	30,000	28,000
Financial interchange advances ¹⁴	282,021	251,677	282,371	816,069	815,244
RRB-SSA financial interchange transfer
Interest on investments ⁷	2,024	2,074	2,181	6,279	5,692
Outgo, total	463,229	463,029	465,080	1,391,338	1,360,685
Benefit payments	460,798	461,439	461,405	1,383,643	1,353,987
Repayment of financial interchange advances ¹⁴
RRB-CMS financial interchange transfer
Transfer to Railroad Retirement Account ⁴
Administrative expenses ⁹	2,326	1,431	3,464	7,221	6,355
Funding for Office of Inspector General	105	158	211	474	343
Balance at end of period	736,485	729,804	755,055	736,485	777,141

¹Balances include liabilities for uncashed checks. As of the end of December 2006, liabilities were \$10,115,000. ²Net of U.S. Treasury adjustments for payroll tax refunds to certain carriers and their employees for prior periods. ³Amounts include U.S. Treasury adjustments for prior period income tax reconciliations. ⁴Under the Railroad Retirement and Survivors' Improvement Act of 2001, as amended, the portion of the RR Account not needed to pay current administrative expenses is to be transferred to the National Railroad Retirement Investment Trust (Trust). The Trust may transfer funds back to the RR Account for payment of benefits. The balance of the SSEB Account not needed to pay current benefits and administrative expenses is to be transferred to the Trust or to the RR Account. ⁵Net of amounts distributed by account. ⁶Net of returns of uncashed check credits. Includes undistributed canceled checks under 1-year limited payability. ⁷Net of adjustments for payroll tax refunds (see note 2). ⁸November regular and supplemental benefits include approximately \$42.6 million and \$47,000, respectively, in accrued payments related to wages and compensation previously excluded from benefit computations. ⁹Reflects adjustments for prior periods. December amount reflects adjustment to reallocate fiscal years 2002-2006 administrative expenses between the Railroad Retirement Act and Railroad Unemployment Insurance Act programs. RR Account: -\$710,000, SSEB Account: +\$624,000. ¹⁰Source: National Railroad Retirement Investment Trust. ¹¹Total vested dual benefits paid during a fiscal year are limited to the amount appropriated to the Dual Benefits Payments Account for that year. Any amounts not spent are returned to the U.S. Treasury. The benefit appropriation for fiscal year 2007 was \$88.0 million, including income tax transfers. The appropriation for fiscal year 2006 was \$97.0 million, including income tax transfers and \$1 million from the contingency reserve. Funds for October through December 2005 and 2006 were provided under a partial year continuing resolution. ¹²Includes a small amount of interest on uncashed checks. ¹³Less than \$500. ¹⁴Includes interest.

NOTE.--Data relate to CALENDAR month.

Detail may not add to totals shown because of rounding.

**Table 3: Unemployment and Sickness Programs, Benefit Statistics
October - December 2006**

Period	Normal benefit accounts			Beneficiaries		
	Applications received	Opened	Exhausted	Total	Normal benefits	Extended benefits
Unemployment						
December 2006	1,504	848	57	3,203	3,179	37
November 2006	941	505	47	2,471	2,459	36
October 2006	656	448	34	2,259	2,201	85
7/06 - 12/06	6,478	4,382	273	5,349	5,282	294
7/05 - 12/05	6,186	4,201	295	5,069	4,993	335
Sickness						
December 2006	1,355	1,075	77	5,942	5,901	78
November 2006	1,312	1,199	72	6,184	6,158	63
October 2006	1,535	1,405	29	6,259	6,196	118
7/06 - 12/06	14,555	11,480	446	12,414	12,205	761
7/05 - 12/05	15,392	12,090	447	12,963	12,773	767
Period	Number of payments			Averages ¹		
	Total	Normal benefits	Extended benefits	Benefit days	Benefit per week	Benefit payments (thousands)
Unemployment						
December 2006	5,017	4,944	73	8.9	\$281.75	\$3,458
November 2006	4,366	4,304	62	9.0	279.65	2,683
October 2006	4,123	3,979	144	9.2	281.75	3,449
7/06 - 12/06	24,289	23,013	1,276	9.0	280.35	15,273
7/05 - 12/05	24,479	23,096	1,383	9.0	276.65	12,609
Sickness						
December 2006	10,575	10,422	153	9.0	\$281.90	\$3,802
November 2006	11,248	11,131	117	9.1	282.90	5,048
October 2006	11,626	11,409	217	9.1	282.85	5,402
7/06 - 12/06	65,384	62,372	3,012	9.0	283.55	24,513
7/05 - 12/05	69,411	66,408	3,003	9.0	279.25	24,653

¹Benefit days--average benefit days per registration period. Benefit per week--equal to 5 times average daily benefit.

NOTE--An unemployment claimant files only one APPLICATION for a benefit year. A sickness claimant files an APPLICATION at the beginning of each period of continuing sickness.

NORMAL BENEFIT ACCOUNTS are opened when the first payment is made.

The number of BENEFICIARIES is the count of persons receiving unemployment or sickness benefits in the period. Those receiving both normal and extended benefits for unemployment or sickness are counted only once in the total for each type.

PAYMENTS generally cover 14-day registration periods. Benefits are payable for days over 7 during an employee's first 14-day registration period. Sickness benefits are paid for days of sickness after the 4th consecutive day of sickness in the first claim in each period of continuing sickness; for subsequent registration periods in the same period of continuing sickness, payments are made for all days of sickness over 4 whether or not consecutive. Unemployment benefits are paid for days of unemployment over 4. However, in the case of unemployment benefits due to a legal authorized strike, unemployment benefits are not paid until after a 14-day waiting period. Non-strikers unemployed due to an illegal strike must also serve a 14-day waiting period.

(Continued on next page.)

Table 4: Unemployment and Sickness Programs, Financial Statistics
October - December 2006 (In thousands)
Cash Basis (Unaudited)

Item	December 2006	November 2006	October 2006	October 2006 - December 2006	October 2005 - December 2005
RAILROAD UNEMPLOYMENT INSURANCE ACCOUNT					
Balance at beginning of period	\$98,955	\$106,136	\$99,606	\$99,606	\$97,183
Income, total	431	714	15,600	16,746	17,825
Contributions ¹	-73	739	15,396	16,062	16,418
Interest on investments	1,181	36	22	1,238	1,242
Undistributed recoveries of benefit payments ²	-677	-60	183	-555	165
Transfers from Administration Fund
Outgo, total	7,370	7,896	9,071	24,336	20,140
Unemployment benefit payments	3,458	2,683	3,449	9,590	7,093
Sickness benefit payments	3,802	5,048	5,402	14,251	12,648
Funding for Office of Inspector General	110	165	220	495	399
Balance at end of period	92,016	98,955	106,136	92,016	94,868
RAILROAD UNEMPLOYMENT INSURANCE ADMINISTRATION FUND					
Balance at beginning of period	\$12,619	\$13,319	\$10,163	\$10,163	\$5,457
Income, total	142	203	5,337	5,682	5,406
Contributions	7	203	5,337	5,547	5,320
Interest on investments	135	135	86
Outgo, total	1,150	903	2,181	4,233	1,329
Administrative expenses	1,150	903	2,181	4,233	1,329
Transfers to RUI Account
Balance at end of period	11,611	12,619	13,319	11,611	9,534

¹The negative amount for December is attributable to a refund of contributions. ²Net of distributed amounts.

NOTE.--(Continued from previous page.)

BENEFIT PAYMENTS are on a cash basis (unaudited) and represent amounts paid during the period including retroactive payments, less recoveries distributed to beneficiary accounts, refunds, and cancellations of previous payments.

ADMINISTRATION FUND balances reflect current adjustments to income and disbursements for previous years.

All unemployment and sickness data relate to CALENDAR MONTHS.

Detail may not add to totals shown because of rounding.

Table 5: Benefits and Beneficiaries -- December 2006

RETIREMENT - SURVIVOR

Total benefit payments - cash basis (unaudited)	\$792,764,000
Regular benefits	780,235,000
Vested dual benefits	7,439,000
Supplemental annuities	5,090,000

	Number	Average
Total benefits being paid at end of month	705,000
Retired employees':		
Regular	280,000	\$1,887
Supplemental	122,000	42
Spouses' and divorced spouses'	139,000	693
Aged widows' and widowers'	134,000	1,148
Other survivors'	32,000	848
Total beneficiaries being paid at end of month	576,000

UNEMPLOYMENT-SICKNESS

	Unemployment	Sickness
Benefit payments - cash basis (unaudited)	\$3,458,000	\$3,802,000
Beneficiaries	3,200	5,900
Average payment per week	\$282	\$282
