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# Quarterly Benefit Statistics

U.S. Railroad Retirement Board  
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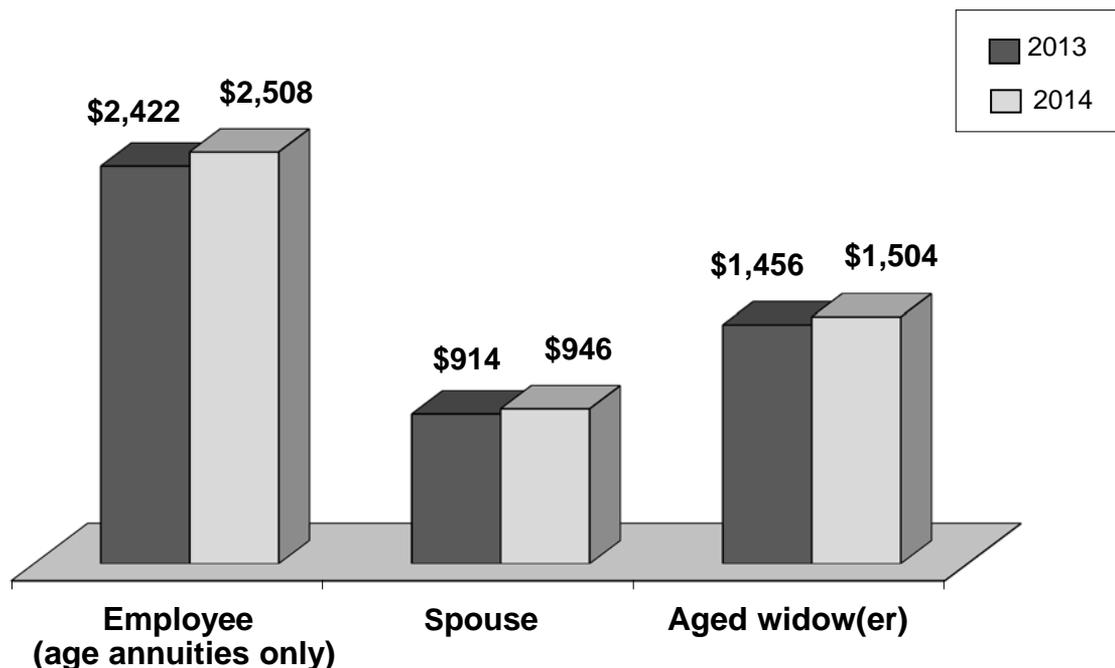
## Railroad Retirement and Unemployment Insurance Programs

Selected Current Statistics for **January - March 2014**

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**Average annuity amounts being paid,  
March 2013 and March 2014**



**Table 1: Retirement and Survivor Programs, Benefit Statistics  
January - March 2014**

Period	Total <sup>1</sup>		Employee annuities				Spouse annuities	Divorced spouse annuities
	Monthly benefits	Monthly beneficiaries	Age	Disability <sup>2</sup>		Supplemental <sup>3</sup>		
				Under full retirement age	Full retirement age and over			
<b>Number in current-payment status at end of period</b>								
March 2014	660,673	530,367	189,511	39,363	40,561	121,542	137,008	4,435
February 2014	661,084	530,823	189,565	39,787	40,347	121,504	136,924	4,430
January 2014	660,596	530,466	189,273	40,045	40,181	121,412	136,604	4,410
<b>Average amount in current-payment status at end of period</b>								
March 2014	.....	.....	\$2,507.72	\$2,601.11	\$2,230.59	\$41.58	\$945.51	\$583.26
February 2014	.....	.....	2,502.23	2,602.30	2,225.88	41.58	943.92	579.78
January 2014	.....	.....	2,497.89	2,602.31	2,221.38	41.58	942.64	579.07
<b>Number awarded during period</b>								
March 2014	3,248	2,621	856	175	.....	627	902	60
February 2014	3,620	3,043	1,039	160	.....	577	1,049	64
January 2014	2,989	2,447	778	137	.....	542	856	79
10/13 - 3/14	18,306	15,062	4,968	909	.....	3,244	5,283	345
10/12 - 3/13	18,907	15,795	5,003	1,176	.....	3,112	5,318	350
<b>Average amount awarded during period<sup>4</sup></b>								
March 2014	.....	.....	\$3,046.98	\$2,642.65	.....	\$40.94	\$1,030.12	\$615.16
February 2014	.....	.....	2,945.49	2,449.91	.....	41.50	974.12	641.34
January 2014	.....	.....	3,019.08	2,703.97	.....	41.26	1,039.14	661.76
<b>Benefit payments during period (thousands)</b>								
March 2014	\$995,957	.....	\$477,583	\$108,598	\$89,606	\$5,086	\$131,198	\$2,692
February 2014	989,847	.....	475,137	107,140	89,044	5,089	130,168	2,647
January 2014	994,254	.....	475,677	109,294	88,746	5,077	130,558	2,715
10/13 - 3/14	5,910,616	.....	2,828,341	649,078	526,991	30,444	774,444	15,626
10/12 - 3/13	5,784,883	.....	2,729,099	696,610	481,761	30,349	742,908	14,234

<sup>1</sup>Includes dependent parents' annuities. Except for benefit payment data, excludes insurance lump-sum and residual payment figures. Benefit payments also include hospital insurance benefits for services in Canada. <sup>2</sup>Full retirement age, also known as normal retirement age, is gradually increasing from age 65 to age 67 over a 22 year period. For those born 1/2/1943-1/1/1955, the normal retirement age is 66. <sup>3</sup>Excludes partition payments to spouses and divorced spouses where the employee is deceased. Averages are after court-ordered partitions. <sup>4</sup>Regular employee and spouse annuity averages are preliminary estimates.

**NOTE**--MONTHLY BENEFITS in CURRENT-PAYMENT STATUS at the end of month include all benefits awarded to date and payable for the month. BENEFITS AWARDED consist of those certified for the first time on either a partial or final basis. BENEFIT PAYMENTS for a month, shown in both the benefit and financial statistics, consist of recurrent monthly checks dated the first of the month, plus retroactive and lump-sum payments made during the month, less returned checks (excluding those not yet distributed by Account), refunds of benefits paid previously, etc. Data are on a cash basis (unaudited) and are partly estimated.

**Table 1: Retirement and Survivor Programs, Benefit Statistics  
January - March 2014 -- Continued**

**Survivor benefits**

Period	Annuities						Insurance lump sums	Residual payments	Partition payments <sup>5</sup>
	Aged widows and widowers	Disabled widows and widowers	Widowed mothers and fathers	Remarried widows and widowers	Divorced widows and widowers	Children			
<b>Number in current-payment status at end of period</b>									
March 2014	99,898	3,912	667	3,556	9,676	9,351	.....	.....	1,165
February 2014	100,187	3,920	659	3,576	9,663	9,347	.....	.....	1,147
January 2014	100,381	3,915	642	3,591	9,637	9,340	.....	.....	1,137
<b>Average amount in current-payment status at end of period</b>									
March 2014	\$1,504.26	\$1,236.61	\$1,762.48	\$1,001.82	\$996.68	\$1,028.75	.....	.....	\$301.33
February 2014	1,499.97	1,235.17	1,762.12	1,000.83	993.42	1,027.00	.....	.....	302.44
January 2014	1,495.00	1,234.24	1,752.87	1,000.65	990.89	1,025.37	.....	.....	300.18
<b>Number awarded during period</b>									
March 2014	481	14	13	13	73	34	296	1	.....
February 2014	555	14	13	11	78	60	298	1	.....
January 2014	481	16	10	17	47	26	240	----	.....
10/13 - 3/14	2,829	60	58	72	353	184	1,525	4	.....
10/12 - 3/13	3,128	73	62	84	366	235	1,651	3	.....
<b>Average amount awarded during period<sup>4</sup></b>									
March 2014	\$1,930.83	\$1,578.20	\$2,037.50	\$1,202.38	\$1,064.61	\$1,359.41	\$921	\$1,508	.....
February 2014	1,964.40	1,389.40	1,611.06	957.18	1,073.44	1,194.58	909	2,222	.....
January 2014	1,913.77	1,852.36	1,523.21	1,094.94	1,170.98	1,300.83	944	----	.....
<b>Benefit payments during period (thousands)</b>									
March 2014	\$150,719	\$5,096	\$1,290	\$3,629	\$9,784	\$10,020	\$282	\$2	\$347
February 2014	150,432	4,981	1,196	3,609	9,690	10,055	286	2	346
January 2014	151,634	5,192	1,341	3,684	9,757	9,980	236	----	338
10/13 - 3/14	905,300	29,819	7,769	21,796	57,848	59,516	1,445	8	2,044
10/12 - 3/13	910,709	29,912	7,730	22,112	55,554	60,354	1,573	14	1,796

<sup>5</sup>Limited to partition payments to spouses and divorced spouses where the employee is deceased or not otherwise entitled to an annuity. Partition payments from employees on the rolls are included with the employees' annuities.

**NOTE**--(Continued from previous page.)

FOR WIDOWS and WIDOWERS aged 60 and over and WIDOWED MOTHERS and FATHERS, the number of benefits being paid and benefit payments include benefits temporarily being continued at spouse annuity rates, pending award of survivor annuities.

INSURANCE LUMP SUMS and RESIDUAL PAYMENTS are each counted only once with respect to an employee's death even though divided among 2 or more persons. Award data for insurance lump sums exclude deferred benefits, i.e., those payable a year after the employee's death.

Data on benefit payments are for CALENDAR MONTHS; all other data are for ACCOUNTING MONTHS ending on approximately the 29th of each month.

**Table 2: Retirement and Survivor Programs, Financial Statistics  
January - March 2014 (In thousands)  
Cash Basis (Unaudited)**

Item	March 2014	February 2014	January 2014	October 2013 - March 2014	October 2012 - March 2013
<b>RAILROAD RETIREMENT ACCOUNT</b>					
<b>Balance at beginning of period<sup>1</sup></b>	\$815,571	\$743,639	\$468,701	\$811,676	\$720,228
<b>Income, total</b>	371,855	617,783	822,036	3,087,193	3,059,560
Payroll taxes <sup>2</sup>	248,354	340,788	269,553	1,475,275	1,393,029
Income tax transfers <sup>3</sup>	.....	.....	87,000	185,000	166,000
Reimbursements for payment of SSA benefits	122,421	122,041	122,438	725,852	714,380
Transfers from National RR Investment Trust <sup>4</sup>	.....	154,000	342,000	689,000	783,000
Transfer from SSEB Account <sup>4</sup>	.....	.....	.....	.....	.....
Undistributed recoveries of benefit payments <sup>5</sup>	750	-1,487	79	189	464
Uncashed check credits from U.S. Treasury <sup>6</sup>	57	26	19	156	220
Repayment of loans from DBP Account <sup>7</sup>	.....	.....	.....	4,529	.....
Interest on investments <sup>8</sup>	274	2,416	946	7,193	2,467
<b>Outgo, total</b>	550,450	545,850	547,099	3,261,893	3,180,672
Benefit payments-regular	417,769	412,901	416,794	2,471,572	2,403,873
Benefit payments-supplemental	5,092	5,095	5,083	30,479	30,379
Payments of SSA benefits	122,438	122,121	122,350	725,850	714,391
Loans to Dual Benefits Payments Account <sup>9</sup>	.....	.....	.....	4,500	.....
Administrative expenses <sup>10</sup>	4,680	5,733	2,340	27,107	29,637
Funding for Office of Inspector General	470	.....	532	2,384	2,392
<b>Balance at end of period<sup>1</sup></b>	636,976	815,571	743,639	636,976	599,117
<b>NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST</b>					
<b>Cash and investment balance at end of period<sup>11</sup></b>	\$26,106,327	\$25,968,386	\$25,240,485	\$26,106,327	\$24,427,808
<b>DUAL BENEFITS PAYMENTS ACCOUNT<sup>12</sup></b>					
<b>Balance at beginning of period</b>	\$800	-\$13,020	-\$9,816	.....	.....
Loans from Railroad Retirement Account	.....	.....	.....	\$4,500	.....
Congressional apportionments <sup>13</sup>	3,240	16,996	-1,000	17,237	-\$2,999
Income tax transfers <sup>3</sup>	.....	.....	1,000	3,000	3,000
Vested dual benefit payments	3,120	3,176	3,204	19,288	22,091
Repayment of loans from Railroad Retirement Account <sup>7</sup>	.....	.....	.....	4,529	.....
<b>Balance at end of period</b>	920	800	-13,020	920	-22,090

**Table 2: Retirement and Survivor Programs, Financial Statistics**  
**January - March 2014 (In thousands)**  
**Cash Basis (Unaudited) -- Continued**

Item	March 2014	February 2014	January 2014	October 2013 - March 2014	October 2012 - March 2013
<b>SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT</b>					
<b>Balance at beginning of period</b>	\$1,032,445	\$1,021,634	\$929,309	\$863,936	\$939,756
<b>Income, total</b>	549,503	582,222	662,868	3,548,175	3,513,290
Payroll taxes <sup>2</sup>	220,483	313,697	247,857	1,479,005	1,330,278
General revenue transfers under payroll tax holiday <sup>14</sup>	.....	.....	.....	.....	94,047
Income tax transfers <sup>3</sup>	.....	.....	62,000	132,000	127,000
Financial interchange advances <sup>7</sup>	328,778	266,506	351,232	1,928,368	1,954,578
RRB-SSA financial interchange transfer	.....	.....	.....	.....	.....
Interest on investments <sup>8</sup>	242	2,018	1,778	8,802	7,386
<b>Outgo, total</b>	572,433	571,411	570,543	3,402,597	3,343,007
Benefit payments	569,976	568,675	569,173	3,389,277	3,328,540
Repayment of financial interchange advances <sup>7</sup>	.....	.....	.....	.....	.....
RRB-CMS financial interchange transfer	.....	.....	.....	.....	.....
Transfer to Railroad Retirement Account <sup>4</sup>	.....	.....	.....	.....	.....
Administrative expenses <sup>10</sup>	2,233	2,736	1,117	12,250	13,387
Funding for Office of Inspector General	224	.....	254	1,070	1,080
<b>Balance at end of period</b>	1,009,514	1,032,445	1,021,634	1,009,514	1,110,038

<sup>1</sup>Balances include liabilities for uncashed checks. As of the end of March 2014 liabilities were \$13,301,000. <sup>2</sup>Net of U.S. Treasury adjustments for payroll tax refunds to certain carriers and their employees for prior periods. <sup>3</sup>Amounts include U.S. Treasury adjustments for prior period income tax reconciliations. <sup>4</sup>Under the Railroad Retirement and Survivors' Improvement Act of 2001, as amended, the portion of the RR Account not needed to pay current administrative expenses is to be transferred to the National Railroad Retirement Investment Trust (Trust). The Trust may transfer funds back to the RR Account for payment of benefits. The balance of the SSEB Account not needed to pay current benefits and administrative expenses is to be transferred to the Trust or to the RR Account. <sup>5</sup>Net of amounts distributed by account. <sup>6</sup>Net of returns of uncashed check credits. Includes undistributed canceled checks under 1-year limited payability. <sup>7</sup>Includes interest. <sup>8</sup>Net of adjustments for payroll tax refunds (see note 2). <sup>9</sup>Due to the delay in enactment of a continuing resolution, the Dual Benefits Payments Account borrowed \$4,500,000 from the Railroad Retirement Account in order to pay vested dual benefits. The loan was repaid with interest in December 2013. <sup>10</sup>Reflects adjustments for prior periods. <sup>11</sup>Source: National Railroad Retirement Investment Trust. <sup>12</sup>Total vested dual benefits paid during a fiscal year are limited to the amount appropriated to the Dual Benefits Payments Account for that year. Any amounts not spent are returned to the U.S. Treasury. Funds for October 2012 through March 2013 and October 2013 through January 2014 were provided under a partial year continuing resolution. The benefit appropriation for fiscal year 2014 was \$39.0 million, including income tax transfers. The appropriation for fiscal year 2013 was \$44.898 million, including income tax transfers. <sup>13</sup>Includes a small amount of interest on uncashed checks. <sup>14</sup>Under the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010, the 2011 tax rate for employees and the self-employed was reduced by 2 percentage points. The Middle Class Tax Relief and Job Creation Act of 2012 extended the payroll tax holiday through 2012. The SSEB Account was given general revenue equivalent to the lost revenue.

**NOTE**--Data relate to CALENDAR month.

**Detail may not add to totals shown because of rounding.**

**Table 3: Unemployment and Sickness Programs, Benefit Statistics  
January - March 2014**

Period	Normal benefit accounts			Beneficiaries		
	Applications received	Opened	Exhausted	Total	Normal benefits	Extended benefits
<b>Unemployment<sup>1</sup></b>						
March 2014	600	487	264	3,336	2,911	495
February 2014	680	728	285	3,681	3,294	467
January 2014	1,068	883	832	4,223	4,096	437
7/13 - 3/14	10,240	7,538	1,624	8,748	8,382	1,552
7/12 - 3/13	11,040	8,015	1,707	9,346	8,955	2,297
<b>Sickness</b>						
March 2014	1,202	956	302	4,487	3,885	770
February 2014	1,166	910	413	4,343	3,925	644
January 2014	1,115	869	816	4,694	4,660	358
7/13 - 3/14	15,389	12,124	1,812	12,873	12,748	1,276
7/12 - 3/13	15,741	12,436	1,849	13,167	13,003	1,420
Period	Number of payments			Averages <sup>2</sup>		Benefit payments <sup>3</sup> (thousands)
	Total	Normal benefits	Extended benefits	Benefit days	Benefit per week <sup>3</sup>	
<b>Unemployment<sup>1</sup></b>						
March 2014	6,071	5,080	991	8.8	314.25	\$1,102
February 2014	6,498	5,629	869	8.8	312.25	5,146
January 2014	8,002	7,348	654	8.8	313.75	6,502
7/13 - 3/14	56,903	48,865	8,038	8.8	310.70	34,099
7/12 - 3/13	63,754	51,817	11,937	8.8	325.60	37,388
<b>Sickness</b>						
March 2014	8,391	6,808	1,583	8.8	314.80	\$4,004
February 2014	7,951	6,744	1,207	8.7	314.05	2,991
January 2014	9,284	8,829	455	8.8	314.15	4,372
7/13 - 3/14	77,034	72,033	5,001	8.9	313.85	35,417
7/12 - 3/13	79,793	74,139	5,654	8.9	327.80	35,850

<sup>1</sup> Starting in June 2009, includes temporary extended unemployment benefits authorized by the American Recovery and Reinvestment Act of 2009. Beginning in November 2009, temporary extended unemployment benefits are also being paid under the Worker, Homeownership, and Business Assistance Act of 2009, as amended.

<sup>2</sup> Benefit days--average benefit days per registration period. Benefit per week--equal to 5 times average daily benefit.

<sup>3</sup> In accordance with the Balanced Budget and Deficit Control Act of 1985, as amended by the Budget Control Act of 2011, amounts reflect a reduction of 9.2% under sequestration for days of unemployment and sickness after February 28, 2013 and a reduction of 7.2% for days after September 30, 2013.

**NOTE**--An unemployment claimant files only one APPLICATION for a benefit year. A sickness claimant files an APPLICATION at the beginning of each period of continuing sickness.

NORMAL BENEFIT ACCOUNTS are opened when the first payment is made.

The number of BENEFICIARIES is the count of persons receiving unemployment or sickness benefits in the period. Those receiving both normal and extended benefits for unemployment or sickness are counted only once in the total for each type.

PAYMENTS generally cover 14-day registration periods. Benefits are payable for days over 7 during an employee's first 14-day registration period. Sickness benefits are paid for days of sickness after the 4th consecutive day of sickness in the first claim in each period of continuing sickness; for subsequent registration periods in the same period of continuing sickness, payments are made for all days of sickness over 4 whether or not consecutive. Unemployment benefits are paid for days of unemployment over 4. However, in the case of unemployment benefits due to a legal authorized strike, unemployment benefits are not paid until after a 14-day waiting period. Non-strikers unemployed due to an illegal strike must also serve a 14-day waiting period.

(Continued on next page.)

**Table 4: Unemployment and Sickness Programs, Financial Statistics**  
**January - March 2014 (In thousands)**  
**Cash Basis (Unaudited)**

Item	March 2014	February 2014	January 2014	October 2013 - March 2014	October 2012 - March 2013
<b>RAILROAD UNEMPLOYMENT INSURANCE ACCOUNT</b>					
<b>Balance at beginning of period</b>	\$147,903	\$154,759	\$161,668	\$180,223	\$163,895
<b>Income, total</b>	-1,132	1,067	4,107	9,349	92,080
Contributions <sup>1</sup>	109	273	2,313	6,770	78,988
Interest on investments	987	97	54	2,336	2,915
Undistributed recoveries of benefit payments <sup>2</sup>	-2,227	698	1,739	243	-529
Transfers from Administration Fund	.....	.....	.....	.....	10,706
<b>Outgo, total</b>	5,153	7,923	11,016	47,954	49,894
Unemployment benefit payments <sup>3</sup>	1,044	4,933	6,525	23,370	25,415
Sickness benefit payments <sup>3</sup>	4,004	2,991	4,372	24,083	23,973
Funding for Office of Inspector General	105	.....	119	501	505
<b>Balance at end of period</b>	141,619	147,903	154,759	141,619	206,082
<b>RAILROAD UNEMPLOYMENT INSURANCE ADMINISTRATION FUND</b>					
<b>Balance at beginning of period</b>	\$19,263	\$19,512	\$14,699	\$12,324	\$11,419
<b>Income, total</b>	139	1,082	5,357	11,935	13,138
Contributions <sup>1</sup>	32	1,082	5,357	11,733	13,071
Interest on investments	107	.....	.....	201	66
<b>Outgo, total</b>	1,087	1,331	543	5,943	17,182
Administrative expenses	1,087	1,331	543	5,943	6,477
Transfers to RUI Account	.....	.....	.....	.....	10,706
<b>Balance at end of period</b>	18,315	19,263	19,512	18,315	7,374

<sup>1</sup> Amounts reflect quarterly re-allocation of contributions between the RUI Account and the RUI Administration Fund.

<sup>2</sup> Net of distributed amounts.

<sup>3</sup> In accordance with the Balanced Budget and Deficit Control Act of 1985, as amended by the Budget Control Act of 2011, amounts reflect a reduction of 9.2% under sequestration for days of unemployment and sickness after February 28, 2013 and a reduction of 7.2% for days after September 30, 2013.

**NOTE**--(Continued from previous page.)

BENEFIT PAYMENTS are on a cash basis (unaudited) and represent amounts paid during the period including retroactive payments, less recoveries distributed to beneficiary accounts, refunds, and cancellations of previous payments.

ADMINISTRATION FUND balances reflect current adjustments to income and disbursements for previous years.

All unemployment and sickness data relate to CALENDAR MONTHS.

Detail may not add to totals shown because of rounding.

**Table 4: Unemployment and Sickness Programs, Financial Statistics**  
**January - March 2014 (In thousands)**  
**Cash Basis (Unaudited) -- Continued**

Item	March 2014	February 2014	January 2014	October 2013 - March 2014	October 2012 - March 2013
<b>EXTENDED UNEMPLOYMENT BENEFITS, AMERICAN RECOVERY AND REINVESTMENT ACT<sup>4</sup></b>					
<b>Balance at beginning of period</b>	\$9,346	\$9,327	\$9,325	\$9,318	\$9,217
Interest and other income	5	2	1	8	4
Benefits <sup>5</sup>	-8	-17	-2	-33	-50
<b>Balance at end of period</b>	9,359	9,346	9,327	9,359	9,272
<b>EXTENDED UNEMPLOYMENT BENEFITS, WORKER, HOMEOWNERSHIP, AND BUSINESS ASSISTANCE ACT<sup>6</sup></b>					
<b>Balance at beginning of period</b>	\$132,274	\$132,498	\$132,470	\$132,926	\$139,963
Interest and other income	14	5	7	30	29
Benefits <sup>3,5</sup>	66	230	-21	735	2,644
<b>Balance at end of period</b>	132,221	132,274	132,498	132,221	137,348

<sup>4</sup> The American Recovery and Reinvestment Act of 2009 (Recovery Act), signed into law on February 17, 2009, contained an appropriation of \$20,000,000 to provide up to 13 additional weeks of unemployment benefits for certain railroad workers who exhaust their rights to the benefits normally provided under the Railroad Unemployment Insurance Act.

<sup>5</sup> Negative amounts due to recoveries exceeding benefit payments.

<sup>6</sup> Enacted on November 6, 2009, the Worker, Homeownership and Business Assistance Act of 2009 contained an appropriation of \$175,000,000 to extend the provisions of the Recovery Act by one year. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (enacted December 17, 2010), the Temporary Payroll Tax Cut Continuation Act of 2011 (enacted December 23, 2011), the Middle Class Tax Relief and Job Creation Act of 2012 (enacted February 22, 2012), and the American Taxpayer Relief Act of 2012 (enacted January 2, 2013) each continued the special unemployment benefit provision for periods ranging from two months to one year, for a total extension of three years.

**Detail may not add to totals shown because of rounding.**

## Table 5: Benefits and Beneficiaries -- March 2014

### RETIREMENT - SURVIVOR

<b>Total benefit payments - cash basis (unaudited)</b>	\$995,957,000
Regular benefits	987,745,000
Vested dual benefits	3,120,000
Supplemental annuities	5,092,000

	Number	Average
<b>Total benefits being paid at end of month</b>	661,000	.....
Retired employees':		
Regular	269,000	\$2,480
Supplemental	122,000	42
Spouses' and divorced spouses'	141,000	934
Aged widows' and widowers'	100,000	1,504
Other benefits	28,000	1,030
<b>Total beneficiaries being paid at end of month</b>	530,000	.....

### UNEMPLOYMENT-SICKNESS

	Unemployment <sup>1</sup>	Sickness
<b>Benefit payments - cash basis (unaudited)<sup>2</sup></b>	\$1,102,000	\$4,004,000
<b>Beneficiaries</b>	3,300	4,500
<b>Average payment per week<sup>2</sup></b>	\$314	\$315

<sup>1</sup> Starting in June 2009, includes temporary extended unemployment benefits authorized by the American Recovery and Reinvestment Act of 2009. Beginning in November 2009, temporary extended unemployment benefits are also being paid under the Worker, Homeownership, and Business Assistance Act of 2009, as amended.

<sup>2</sup> In accordance with the Balanced Budget and Deficit Control Act of 1985, as amended by the Budget Control Act of 2011, amounts reflect a reduction of 9.2% under sequestration for days of unemployment and sickness after February 28, 2013 and a reduction of 7.2% for days after September 30, 2013.