
Quarterly Benefit Statistics

U.S. Railroad Retirement Board
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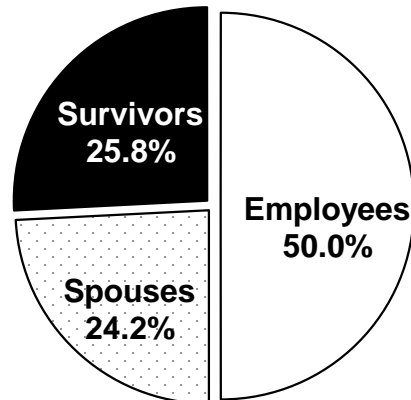
March 15, 2011

Railroad Retirement and Unemployment Insurance Programs

Selected Current Statistics for **October - December 2010**

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**Railroad Retirement and
Survivor Beneficiaries
on the Rolls, by Type,
December 2010**
(547,000 beneficiaries)



NOTE.—About 5,200 employees also received spouse annuities and some 2,500 employees also received survivor annuities.

**Table 1: Retirement and Survivor Programs, Benefit Statistics
October - December 2010**

Period	Total ¹		Employee annuities			Supple- mental ³	Spouse annuities	Divorced spouse annuities
	Monthly benefits	Monthly beneficiaries	Age	Disability ² Under full retirement age	Full retirement age and over			
Number in current-payment status at end of period								
December 2010	676,943	547,499	190,401	47,688	35,858	121,351	133,687	3,943
November 2010	676,711	547,265	190,417	47,738	35,719	121,369	133,517	3,913
October 2010	676,777	547,388	190,313	47,837	35,644	121,324	133,410	3,905
Average amount in current-payment status at end of period								
December 2010	\$2,196.59	\$2,421.58	\$1,963.95	\$41.63	\$830.05	\$506.86
November 2010	2,194.08	2,420.69	1,960.91	41.63	828.96	506.63
October 2010	2,190.67	2,419.84	1,957.86	41.63	827.92	505.30
Number awarded during period								
December 2010	2,360	2,017	443	261	343	691	46
November 2010	3,096	2,537	849	231	559	821	44
October 2010	3,375	2,708	916	219	667	932	37
10/10 - 12/10	8,831	7,262	2,208	711	1,569	2,444	127
10/09 - 12/09	9,060	7,435	2,272	729	1,625	2,533	164
Average amount awarded during period⁴								
December 2010	\$2,711.19	\$2,520.49	\$41.08	\$965.80	\$552.37
November 2010	2,683.18	2,542.33	41.71	926.12	496.24
October 2010	2,828.46	2,472.78	41.69	955.94	641.38
Benefit payments during period (thousands)								
December 2010	\$907,268	\$418,124	\$118,408	\$69,944	\$5,047	\$111,596	\$2,018
November 2010	906,924	418,238	118,708	69,660	5,054	111,567	2,007
October 2010	906,444	417,734	119,219	69,333	5,085	111,192	1,991
10/10 - 12/10	2,720,636	1,254,096	356,335	208,937	15,185	334,355	6,016
10/09 - 12/09	2,694,208	1,227,499	365,988	200,348	14,786	325,240	5,707

¹Includes dependent parents' annuities. Except for benefit payment data, excludes insurance lump-sum and residual payment figures. Benefit payments also include hospital insurance benefits for services in Canada. ²Full retirement age, also known as normal retirement age, is gradually increasing from age 65 to age 67 over a 22 year period. For those born 1/2/1943-1/1/1955, the normal retirement age is 66. ³Excludes partition payments to spouses and divorced spouses where the employee is deceased. Averages are after court-ordered partitions. ⁴Regular employee and spouse annuity averages are preliminary estimates.

NOTE--MONTHLY BENEFITS in CURRENT-PAYMENT STATUS at the end of month include all benefits awarded to date and payable for the month. BENEFITS AWARDED consist of those certified for the first time on either a partial or final basis. BENEFIT PAYMENTS for a month, shown in both the benefit and financial statistics, consist of recurrent monthly checks dated the first of the month, plus retroactive and lump-sum payments made during the month, less returned checks (excluding those not yet distributed by Account), refunds of benefits paid previously, etc. Data are on a cash basis (unaudited) and are partly estimated.

**Table 1: Retirement and Survivor Programs, Benefit Statistics
October - December 2010 -- Continued**

Survivor benefits

Period	Annuities					Children	Insurance lump sums	Residual payments	Partition payments ⁵
	Aged widows and widowers	Disabled widows and widowers	Widowed mothers and fathers	Remarried widows and widowers	Divorced widows and widowers				
Number in current-payment status at end of period									
December 2010	114,200	4,246	787	4,153	9,665	10,187	745
November 2010	114,326	4,248	791	4,137	9,603	10,160	741
October 2010	114,645	4,253	786	4,148	9,597	10,161	723
Average amount in current-payment status at end of period									
December 2010	\$1,336.69	\$1,112.66	\$1,659.71	\$899.03	\$881.27	\$938.72	\$289.24
November 2010	1,334.31	1,109.97	1,657.32	900.05	881.15	939.46	287.96
October 2010	1,331.11	1,107.66	1,649.39	897.55	881.43	938.52	287.76
Number awarded during period									
December 2010	434	17	10	20	61	34	276	2
November 2010	483	8	8	13	54	25	241	----
October 2010	479	15	10	10	60	30	281	2
10/10 - 12/10	1,396	40	28	43	175	89	798	4
10/09 - 12/09	1,375	48	21	30	172	91	887	7
Average amount awarded during period⁴									
December 2010	\$1,803.75	\$1,451.11	\$1,514.48	\$978.30	\$919.00	\$1,124.04	\$905	\$750
November 2010	1,795.30	1,550.90	1,639.33	1,081.54	791.61	1,407.81	901	----
October 2010	1,638.29	1,120.91	1,680.41	1,220.10	885.55	1,189.26	901	806
Benefit payments during period (thousands)									
December 2010	\$153,011	\$4,934	\$1,419	\$3,759	\$8,583	\$9,905	\$266	\$2	\$222
November 2010	152,744	4,779	1,431	3,732	8,500	10,013	244	----	213
October 2010	152,862	4,832	1,440	3,735	8,523	9,988	274	2	204
10/10 - 12/10	458,617	14,545	4,290	11,226	25,606	29,906	784	4	639
10/09 - 12/09	465,730	14,556	4,170	11,541	25,085	30,712	843	10	1,887

⁵Limited to partition payments to spouses and divorced spouses where the employee is deceased or not otherwise entitled to an annuity. Partition payments from employees on the rolls are included with the employees' annuities.

NOTE--(Continued from previous page.)

FOR WIDOWS and WIDOWERS aged 60 and over and WIDOWED MOTHERS and FATHERS, the number of benefits being paid and benefit payments include benefits temporarily being continued at spouse annuity rates, pending award of survivor annuities.

INSURANCE LUMP SUMS and RESIDUAL PAYMENTS are each counted only once with respect to an employee's death even though divided among 2 or more persons. Award data for insurance lump sums exclude deferred benefits, i.e., those payable a year after the employee's death.

Data on benefit payments are for CALENDAR MONTHS; all other data are for ACCOUNTING MONTHS ending on approximately the 29th of each month.

Table 2: Retirement and Survivor Programs, Financial Statistics
October - December 2010 (In thousands)
Cash Basis (Unaudited)

Item	December 2010	November 2010	October 2010	October 2010 - December 2010	October 2009 - December 2009
RAILROAD RETIREMENT ACCOUNT					
Balance at beginning of period¹	\$393,172	\$485,210	\$519,012	\$519,012	\$418,184
Income, total	484,815	405,037	463,660	1,353,512	1,619,998
Payroll taxes ²	160,645	176,039	167,337	504,022	474,476
Income tax transfers ³	78,000	78,000	77,000
Reimbursements for payment of SSA benefits	112,409	114,023	113,341	339,773	329,157
Transfers from National RR Investment Trust ⁴	211,000	114,000	104,000	429,000	737,000
Transfer from SSEB Account ⁴
Undistributed recoveries of benefit payments ⁵	-29	39	-4	6	161
Uncashed check credits from U.S. Treasury ⁶	-4	34	45	75	55
Interest on investments ⁷	794	902	941	2,636	2,149
Outgo, total	496,718	497,076	497,461	1,491,255	1,449,776
Benefit payments-regular	373,968	373,579	373,205	1,120,753	1,078,354
Benefit payments-supplemental	5,051	5,058	5,089	15,197	14,819
Payments of SSA benefits	112,484	114,107	113,372	339,964	336,314
Loans to Railroad Unemployment Insurance Account	5,400
Administrative expenses ⁸	4,809	4,172	5,215	14,196	13,880
Funding for Office of Inspector General	405	160	581	1,146	1,010
Balance at end of period¹	381,269	393,172	485,210	381,269	588,406
NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST					
Cash and investment balance at end of period⁹	\$24,872,271	\$23,826,621	\$24,314,121	\$24,872,271	\$23,341,066
DUAL BENEFITS PAYMENTS ACCOUNT¹⁰					
Balance at beginning of period	-\$9,657	-\$4,855
Congressional apportionments ¹¹	(12)	(12)	-\$1,000	-\$1,000	\$15,994
Income tax transfers ³	1,000	1,000	1,000
Vested dual benefit payments	4,755	4,802	4,855	14,412	16,194
Balance at end of period	-14,412	-9,657	-4,855	-14,412	800

Table 2: Retirement and Survivor Programs, Financial Statistics
October - December 2010 (In thousands)
Cash Basis (Unaudited) -- Continued

Item	December 2010	November 2010	October 2010	October 2010 - December 2010	October 2009 - December 2009
SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT					
Balance at beginning of period	\$837,709	\$843,683	\$804,013	\$804,013	\$803,783
Income, total	547,294	519,604	565,766	1,632,664	1,585,889
Payroll taxes ²	199,470	211,116	193,167	603,753	570,447
Income tax transfers ³	39,000	39,000	33,000
Financial interchange advances ¹³	346,326	307,078	332,209	985,614	978,655
RRB-SSA financial interchange transfer
Interest on investments ⁷	1,498	1,410	1,389	4,298	3,787
Outgo, total	526,013	525,578	526,095	1,577,686	1,592,581
Benefit payments	523,493	523,485	523,295	1,570,274	1,584,841
Repayment of financial interchange advances ¹³
RRB-CMS financial interchange transfer
Transfer to Railroad Retirement Account ⁴
Administrative expenses ⁸	2,324	2,016	2,520	6,859	7,228
Funding for Office of Inspector General	196	77	280	553	511
Balance at end of period	858,991	837,709	843,683	858,991	797,092
ECONOMIC RECOVERY PAYMENTS, AMERICAN RECOVERY AND REINVESTMENT ACT¹⁴					
Balance at beginning of period	\$5,046	\$5,071	\$5,071	\$5,071	5,458
Congressional apportionments
Benefits	17	26	-1	42	243
Balance at end of period	5,029	5,046	5,071	5,029	5,216

¹Balances include liabilities for uncashed checks. As of the end of December 2010, liabilities were \$11,998,000. ²Net of U.S. Treasury adjustments for payroll tax refunds to certain carriers and their employees for prior periods. ³Amounts include U.S. Treasury adjustments for prior period income tax reconciliations. ⁴Under the Railroad Retirement and Survivors' Improvement Act of 2001, as amended, the portion of the RR Account not needed to pay current administrative expenses is to be transferred to the National Railroad Retirement Investment Trust (Trust). The Trust may transfer funds back to the RR Account for payment of benefits. The balance of the SSEB Account not needed to pay current benefits and administrative expenses is to be transferred to the Trust or to the RR Account. ⁵Net of amounts distributed by account. ⁶Net of returns of uncashed check credits. Includes undistributed canceled checks under 1-year limited payability. ⁷Net of adjustments for payroll tax refunds (see note 2). ⁸Reflects adjustments for prior periods. ⁹Source: National Railroad Retirement Investment Trust. ¹⁰Total vested dual benefits paid during a fiscal year are limited to the amount appropriated to the Dual Benefits Payments Account for that year. Any amounts not spent are returned to the U.S. Treasury. The benefit appropriation for fiscal year 2011 is expected to be \$57.0 million, including income tax transfers. The appropriation for fiscal year 2010 was \$64.0 million, including income tax transfers. Funds for October through December 2010 were provided under a partial year continuing resolution. ¹¹Includes a small amount of interest on uncashed checks. ¹²Less than \$500. ¹³Includes interest. ¹⁴The American Recovery and Reinvestment Act of 2009, signed into law on February 17, 2009, provided for a one-time economic recovery payment of \$250 to be paid to most adults (including disabled adult children) who receive railroad retirement benefits. An appropriation of \$135,000,000 for these payments was received in March 2009.

NOTE.--Data relate to CALENDAR month. Detail may not add to totals shown because of rounding.

**Table 3: Unemployment and Sickness Programs, Benefit Statistics
October - December 2010**

Period	Normal benefit accounts			Beneficiaries		
	Applications received	Opened	Exhausted	Total	Normal benefits	Extended benefits
Unemployment¹						
December 2010	1,488	731	123	4,139	3,884	367
November 2010	849	597	126	3,538	3,308	353
October 2010	744	628	75	3,463	3,128	461
7/10 - 12/10	8,768	5,595	546	7,824	6,917	2,099
7/09 - 12/09	16,943	17,167	1,120	20,265	19,172	3,290
Sickness						
December 2010	1,048	1,061	64	5,455	5,427	52
November 2010	1,376	1,143	51	5,509	5,493	39
October 2010	1,356	1,171	20	5,565	5,530	86
7/10 - 12/10	13,190	10,635	350	11,241	11,102	614
7/09 - 12/09	13,236	10,842	369	11,518	11,368	603
Number of payments						
Period	Number of payments			Averages ²		Benefit payments (thousands)
	Total	Normal benefits	Extended benefits	Benefit days	Benefit per week	
Unemployment¹						
December 2010	7,563	6,831	732	8.9	\$325.25	\$4,957
November 2010	6,523	5,848	675	9.0	324.65	3,752
October 2010	6,382	5,490	892	8.9	326.40	3,813
7/10 - 12/10	41,948	32,986	8,962	8.8	325.30	24,641
7/09 - 12/09	136,283	115,482	20,801	8.8	315.80	78,855
Sickness						
December 2010	10,485	10,366	119	9.0	\$326.90	\$5,354
November 2010	10,143	10,067	76	9.2	326.75	4,773
October 2010	10,245	10,082	163	9.2	326.90	5,732
7/10 - 12/10	60,894	58,410	2,484	9.0	327.00	28,144
7/09 - 12/09	62,431	59,975	2,456	9.0	277.00	29,137

¹ Starting in June 2009, includes temporary extended unemployment benefits authorized by the American Recovery and Reinvestment Act of 2009. Beginning in November 2009, temporary extended unemployment benefits are also being paid under the Worker, Homeownership, and Business Assistance Act of 2009, as amended by the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010. ² Benefit days--average benefit days per registration period. Benefit per week--equal to 5 times average daily benefit.

NOTE--An unemployment claimant files only one APPLICATION for a benefit year. A sickness claimant files an APPLICATION at the beginning of each period of continuing sickness. NORMAL BENEFIT ACCOUNTS are opened when the first payment is made.

The number of BENEFICIARIES is the count of persons receiving unemployment or sickness benefits in the period. Those receiving both normal and extended benefits for unemployment or sickness are counted only once in the total for each type.

PAYMENTS generally cover 14-day registration periods. Benefits are payable for days over 7 during an employee's first 14-day registration period. Sickness benefits are paid for days of sickness after the 4th consecutive day of sickness in the first claim in each period of continuing sickness; for subsequent registration periods in the same period of continuing sickness, payments are made for all days of sickness over 4 whether or not consecutive. Unemployment benefits are paid for days of unemployment over 4. However, in the case of unemployment benefits due to a legal authorized strike, unemployment benefits are not paid until after a 14-day waiting period. Non-strikers unemployed due to an illegal strike must also serve a 14-day waiting period.

(Continued on next page.)

Table 4: Unemployment and Sickness Programs, Financial Statistics
October - December 2010 (In thousands)
Cash Basis (Unaudited)

Item	December 2010	November 2010	October 2010	October 2010 - December 2010	October 2009 - December 2009
RAILROAD UNEMPLOYMENT INSURANCE ACCOUNT					
Balance at beginning of period	\$35,023	\$31,986	\$29,269	\$29,269	\$38,123
Income, total	-472	11,323	12,030	22,881	21,781
Contributions	-426	10,904	11,962	22,440	15,994
Loans from RR Account	5,400
Interest on investments	313	9	23	346	202
Undistributed recoveries of benefit payments ¹	-360	411	44	95	185
Transfers from Administration Fund
Outgo, total	10,072	8,286	9,313	27,671	52,005
Unemployment benefit payments	4,621	3,475	3,442	11,538	37,125
Sickness benefit payments	5,354	4,773	5,732	15,859	14,452
Funding for Office of Inspector General	97	38	139	274	429
Balance at end of period	24,479	35,023	31,986	24,479	7,899
RAILROAD UNEMPLOYMENT INSURANCE ADMINISTRATION FUND					
Balance at beginning of period	\$11,342	\$10,218	\$8,421	\$8,421	\$9,465
Income, total	602	2,136	3,063	5,801	5,409
Contributions	497	2,136	3,063	5,696	5,284
Interest on investments	105	105	124
Outgo, total	1,167	1,012	1,265	3,445	3,402
Administrative expenses	1,167	1,012	1,265	3,445	3,402
Transfers to RUI Account
Balance at end of period	10,777	11,342	10,218	10,777	11,471

¹ Net of distributed amounts.

NOTE.--(Continued from previous page.)

BENEFIT PAYMENTS are on a cash basis (unaudited) and represent amounts paid during the period including retroactive payments, less recoveries distributed to beneficiary accounts, refunds, and cancellations of previous payments.

ADMINISTRATION FUND balances reflect current adjustments to income and disbursements for previous years.
 All unemployment and sickness data relate to CALENDAR MONTHS.

Detail may not add to totals shown because of rounding.

Table 4: Unemployment and Sickness Programs, Financial Statistics
October - December 2010 (In thousands)
Cash Basis (Unaudited) -- Continued

Item	December 2010	November 2010	October 2010	October 2010 - December 2010	October 2009 - December 2009
LOANS DUE RAILROAD RETIREMENT ACCOUNT					
Balance at beginning of period	\$47,615	\$47,498	\$47,378	\$47,378
Loans from Railroad Retirement Account	\$5,400
Interest accrued	121	117	121	359	9
Repayments from UI Account, total
Principal
Interest
Balance at end of period	47,737	47,615	47,498	47,737	5,409
EXTENDED UNEMPLOYMENT BENEFITS, AMERICAN RECOVERY AND REINVESTMENT ACT²					
Balance at beginning of period	\$8,953	\$8,940	\$8,938	\$8,938	\$9,751
Congressional apportionments
Interest and other income	(3)	(3)	(3)	(3)	(3)
Benefits	-6	-13	-2	-21	1,043
Balance at end of period	8,959	8,953	8,940	8,959	8,709
EXTENDED UNEMPLOYMENT BENEFITS, WORKER, HOMEOWNERSHIP, AND BUSINESS ASSISTANCE ACT⁴					
Balance at beginning of period	\$154,979	\$155,269	\$155,643	\$155,643
Congressional apportionments	\$175,000
Interest and other income	(3)	(3)	(3)	(3)
Benefits	342	290	373	1,006
Balance at end of period	154,637	154,979	155,269	154,637	175,000

²The American Recovery and Reinvestment Act of 2009, signed into law on February 17, 2009, contained an appropriation of \$20,000,000 to provide up to 13 additional weeks of unemployment benefits for certain railroad workers who exhaust their rights to the benefits normally provided under the Railroad Unemployment Insurance Act.

³ Less than \$500.

⁴ Enacted on November 6, 2009, the Worker, Homeownership and Business Assistance Act of 2009 contained an appropriation of \$175,000,000 to extend the provisions of the Recovery Act by one year. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010, enacted December 17, 2010, extended the special unemployment benefit provisions for an additional year.

Detail may not add to totals shown because of rounding.

Table 5: Benefits and Beneficiaries -- December 2010

RETIREMENT - SURVIVOR

Total benefit payments - cash basis (unaudited)	\$907,268,000
Regular benefits	897,462,000
Vested dual benefits	4,755,000
Supplemental annuities	5,051,000

	Number	Average
Total benefits being paid at end of month	677,000
Retired employees':		
Regular	274,000	\$2,205
Supplemental	121,000	42
Spouses' and divorced spouses'	138,000	821
Aged widows' and widowers'	114,000	1,337
Other benefits	30,000	942
Total beneficiaries being paid at end of month	547,000

UNEMPLOYMENT-SICKNESS

	Unemployment¹	Sickness
Benefit payments - cash basis (unaudited)	\$4,957,000	\$5,354,000
Beneficiaries	4,100	5,500
Average payment per week	\$325	\$327

¹ Starting in June 2009, includes temporary extended unemployment benefits authorized by the American Recovery and Reinvestment Act of 2009. Beginning in November 2009, temporary extended unemployment benefits are also being paid under the Worker, Homeownership, and Business Assistance Act of 2009, as amended by the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010.