

Rail Employer Reporting Instructions

Appendix I: Electronic File Format

Form BA-3, Annual Report of Creditable Compensation (Grand Total Record)

The information contained in this report, which is required by law under Section 9 of the Railroad Retirement Act (RRA) and Section 6 of the Railroad Unemployment Insurance Act (RUIA), is needed to pay RRA and RUIA benefits. The information is authorized for collection under OMB control number 3220-0008. This report is due at the Railroad Retirement Board by no later than the last day of February following the report year. Failure to report or the making of a false or fraudulent report can result in criminal prosecution or civil penalties, or both.

We estimate the electronic versions of this form transmitted by a secure email or a File Transfer Protocol (FTP) takes an average of 46 hours and 15 minutes per response to complete, including time for reviewing the instructions, getting the needed data, and reviewing the completed form. Federal agencies may not conduct or sponsor, and respondents are not required to respond to, a collection of information unless it displays a valid OMB number. If you wish, send comments regarding the accuracy of our estimate or any other aspect of this form, including suggestions for reducing completion time, to

Associate Chief Information Officer for Policy and Compliance
Railroad Retirement Board
844 North Rush Street
Chicago, IL 60611-1275.

The grand total record is required and should be the last record on the file. If sub-total records are used, the grand totals are the sum of the sub-totals.

NOTE: Reports submitted on CD ROM, secure email, or FTP must be accompanied by [Form G-440](#), "Report Specifications Sheet".

| Form BA-3 Grand Total Record Format | | |
|-------------------------------------|-----------------|---|
| Field Length | Record Position | Data and Instructions |
| 10 | 1-10 | "8888888888" (10 Eights - type of record code). |
| 5 | 11-15 | "GRAND" |
| 7 | 16-22 | A sub-total total item count for that particular sub-division of the report. |
| 13 | 23-35 | A grand total of creditable RUIA I compensation for that particular sub-division of the report (Used to qualify for benefits). |
| 13 | 36-48 | A grand total of creditable RUIA II compensation for that particular sub-division of the report (Used to determine maximum benefits). |

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(Grand Total Record)**

| Form BA-3 Grand Total Record Format | | |
|--|------------------------|--|
| Field Length | Record Position | Data and Instructions |
| 13 | 49-61 | A grand total of creditable Tier I RRA compensation for that particular sub-division of the report. |
| 13 | 62-74 | A grand total of creditable Tier II RRA compensation for that particular sub-division of the report. |
| 13 | 75-87 | A grand total of creditable Miscellaneous compensation for that particular sub-division of the report. |
| 13 | 88-100 | A grand total of creditable Sick Pay compensation for that particular sub-division of the report. |
| 200 | 101-300 | Blanks or zeros. |

NOTE: The file should be saved as a text (*.txt) file with the record format listed above.