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# Quarterly Benefit Statistics

U.S. Railroad Retirement Board  
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Chicago, Illinois 60611-1275

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## Railroad Retirement and Unemployment Insurance Programs

Selected Current Statistics for **October - December 2018**

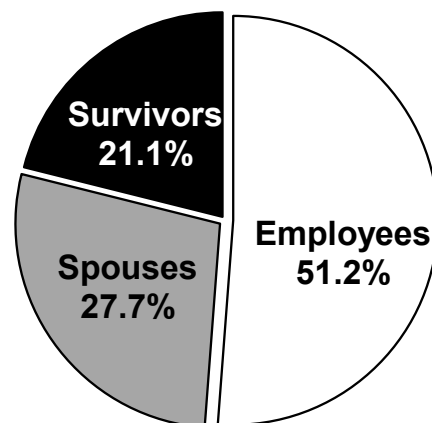
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### Railroad Retirement and Survivor Beneficiaries on the Rolls, by Type, December 2018

*(511,000 beneficiaries)*



**NOTE.**--Some 6,200 employees also received spouse annuities and approximately 2,100 employees also received survivor annuities.

**Table 1: Retirement and Survivor Programs, Benefit Statistics  
October - December 2018**

| Period   | Employee annuities  |                          |                        |  |            |                                |                     |                                 |
|--|---------------------|--------------------------|------------------------|--|------------|--------------------------------|---------------------|---------------------------------|
|  | Total <sup>1</sup>  |                          | Age                    |  |            | Supple-<br>mental <sup>3</sup> | Spouse<br>annuities | Divorced<br>spouse<br>annuities |
|  | Monthly<br>benefits | Monthly<br>beneficiaries | Awarded age<br>annuity | Disability<br>converted to<br>age annuity <sup>2</sup> | Disability |                                |                     |                                 |
| <b>Number in current-payment status at end of period</b>         |                     |                          |                        |  |            |                                |                     |                                 |
| December 2018  | 640,576             | 511,332                  | 189,560                | 47,731   | 24,449     | 119,901                        | 142,879             | 5,181                           |
| November 2018  | 640,673             | 511,400                  | 189,485                | 47,592   | 24,670     | 119,934                        | 142,775             | 5,188                           |
| October 2018   | 641,193             | 511,786                  | 189,560                | 47,525   | 24,868     | 120,062                        | 142,706             | 5,185                           |
| <b>Average amount in current-payment status at end of period</b> |                     |                          |                        |  |            |                                |                     |                                 |
| December 2018  | .....               | .....                    | \$2,886.21             | \$2,539.46   | \$2,810.05 | \$41.52                        | \$1,071.96          | \$675.23                        |
| November 2018  | .....               | .....                    | 2,822.39               | 2,479.97   | 2,747.22   | 41.52                          | 1,050.01            | 656.17                          |
| October 2018   | .....               | .....                    | 2,819.65               | 2,476.78   | 2,746.81   | 41.52                          | 1,049.52            | 654.41                          |
| <b>Number awarded during period</b>                              |                     |                          |                        |  |            |                                |                     |                                 |
| December 2018  | 2,057               | 1,776                    | 571                    | .....  | 102        | 281                            | 575                 | 38                              |
| November 2018  | 2,378               | 2,079                    | 593                    | .....  | 131        | 299                            | 786                 | 51                              |
| October 2018   | 2,719               | 2,383                    | 750                    | .....  | 147        | 336                            | 898                 | 57                              |
| 10/18 - 12/18  | 7,154               | 6,238                    | 1,914                  | .....  | 380        | 916                            | 2,259               | 146                             |
| 10/17 - 12/17  | 7,634               | 6,549                    | 2,004                  | .....  | 396        | 1,085                          | 2,378               | 166                             |
| <b>Average amount awarded during period<sup>4</sup></b>          |                     |                          |                        |  |            |                                |                     |                                 |
| December 2018  | .....               | .....                    | \$3,311.92             | .....  | \$2,452.86 | \$41.42                        | \$1,177.71          | \$712.16                        |
| November 2018  | .....               | .....                    | 3,253.00               | .....  | 2,930.01   | 40.90                          | 1,056.96            | 691.53                          |
| October 2018   | .....               | .....                    | 3,081.03               | .....  | 2,785.20   | 41.11                          | 1,043.37            | 595.01                          |
| <b>Benefit payments during period (thousands)<sup>5</sup></b>    |                     |                          |                        |  |            |                                |                     |                                 |
| December 2018  | \$1,063,971         | .....                    | \$536,561              | \$117,828  | \$71,886   | \$4,991                        | \$151,670           | \$3,555                         |
| November 2018  | 1,065,330           | .....                    | 536,299                | 117,491  | 74,864     | 4,994                          | 151,184             | 3,530                           |
| October 2018   | 1,064,496           | .....                    | 535,793                | 117,110  | 74,941     | 5,001                          | 151,110             | 3,525                           |
| 10/18 - 12/18  | 3,193,797           | .....                    | 1,608,653              | 352,429  | 221,691    | 14,986                         | 453,964             | 10,610                          |
| 10/17 - 12/17  | 3,135,248           | .....                    | 1,567,690              | 333,238  | 237,100    | 15,236                         | 440,416             | 9,971                           |

<sup>1</sup>Includes dependent parents' annuities. Except for benefit payment data, excludes insurance lump-sum and residual payment figures. Benefit payments also include hospital insurance benefits for services in Canada. <sup>2</sup>A disability annuity ends when the retiree attains full retirement age, at which time the annuity converts to an age and service annuity. Consequently, these annuitants are receiving age and service annuities. Full retirement age gradually increases, from age 65 to 67, for those born between 1937 and 1960. It is age 67 for those born after 1959 and age 65 for those born before 1938. <sup>3</sup>Excludes partition payments to spouses and divorced spouses where the employee is deceased. Averages are after court-ordered partitions. <sup>4</sup>Regular employee and spouse annuity averages are preliminary estimates. <sup>5</sup>Data provided by the Bureau of Fiscal Operations.

**NOTE.**—MONTHLY BENEFITS in CURRENT-PAYMENT STATUS at the end of month include all benefits awarded to date and payable for the month. BENEFITS AWARDED consist of those certified for the first time on either a partial or final basis. BENEFIT PAYMENTS for a month, shown in both the benefit and financial statistics, consist of recurrent monthly checks dated the first of the month, plus retroactive and lump-sum payments made during the month, less returned checks (excluding those not yet distributed by Account), refunds of benefits paid previously, etc. Data are on a cash basis (unaudited) and are partly estimated.

**Table 1: Retirement and Survivor Programs, Benefit Statistics  
October - December 2018 -- Continued**

| Survivor benefits  |                          |   |                             |                               |                              |            |          |                     |                   |                                 |
|--|--------------------------|---|-----------------------------|-------------------------------|------------------------------|------------|----------|---------------------|-------------------|---------------------------------|
| Period   | Annuities                |   |                             |                               |                              |            | Children | Insurance lump sums | Residual payments | Partition payments <sup>7</sup> |
|  | Aged widows and widowers | Disabled widows and widowers <sup>6</sup> | Widowed mothers and fathers | Remarried widows and widowers | Divorced widows and widowers |            |          |                     |                   |                                 |
| <b>Number in current-payment status at end of period</b>         |                          |   |                             |                               |                              |            |          |                     |                   |                                 |
| December 2018  | 84,149                   | 3,355                                     | 603                         | 2,888                         | 9,779                        | 8,163      | .....    | .....               | 1,916             |                                 |
| November 2018  | 84,300                   | 3,363                                     | 601                         | 2,891                         | 9,746                        | 8,201      | .....    | .....               | 1,905             |                                 |
| October 2018   | 84,506                   | 3,372                                     | 601                         | 2,893                         | 9,776                        | 8,224      | .....    | .....               | 1,893             |                                 |
| <b>Average amount in current-payment status at end of period</b> |                          |   |                             |                               |                              |            |          |                     |                   |                                 |
| December 2018  | \$1,742.70               | \$1,388.97                                | \$1,924.99                  | \$1,144.94                    | \$1,151.68                   | \$1,146.98 | .....    | .....               | \$319.04          |                                 |
| November 2018  | 1,728.67                 | 1,368.47                                  | 1,918.77                    | 1,113.09                      | 1,120.54                     | 1,117.12   | .....    | .....               | 317.25            |                                 |
| October 2018   | 1,724.46                 | 1,369.47                                  | 1,910.12                    | 1,112.29                      | 1,118.69                     | 1,113.03   | .....    | .....               | 317.46            |                                 |
| <b>Number awarded during period</b>                              |                          |   |                             |                               |                              |            |          |                     |                   |                                 |
| December 2018  | 383                      | 11  | 5                           | 8                             | 54                           | 29         | 129      | ---                 | .....             |                                 |
| November 2018  | 412                      | 3   | 9                           | 6                             | 53                           | 35         | 185      | ---                 | .....             |                                 |
| October 2018   | 410                      | 7   | 7                           | 16                            | 66                           | 25         | 166      | 1                   | .....             |                                 |
| 10/18 - 12/18  | 1,205                    | 21  | 21                          | 30                            | 173                          | 89         | 480      | 1                   | .....             |                                 |
| 10/17 - 12/17  | 1,248                    | 22  | 25                          | 34                            | 179                          | 96         | 537      | 1                   | .....             |                                 |
| <b>Average amount awarded during period<sup>4</sup></b>          |                          |   |                             |                               |                              |            |          |                     |                   |                                 |
| December 2018  | \$2,274.76               | \$1,812.24                                | \$1,426.50                  | \$1,281.75                    | \$1,153.52                   | \$1,269.92 | \$881    | ---                 | .....             |                                 |
| November 2018  | 2,246.99                 | 1,349.89                                  | 1,633.80                    | 1,194.33                      | 1,193.45                     | 1,579.75   | 898      | ---                 | .....             |                                 |
| October 2018   | 2,263.64                 | 1,511.07                                  | 2,058.34                    | 985.81                        | 1,184.75                     | 1,666.54   | 915      | \$3,261             | .....             |                                 |
| <b>Benefit payments during period (thousands)<sup>5</sup></b>    |                          |   |                             |                               |                              |            |          |                     |                   |                                 |
| December 2018  | \$146,530                | \$5,000                                   | \$1,218                     | \$3,238                       | \$11,077                     | \$9,666    | \$121    | ---                 | \$609             |                                 |
| November 2018  | 146,332                  | 4,670                                     | 1,236                       | 3,223                         | 11,066                       | 9,644      | 175      | ---                 | 601               |                                 |
| October 2018   | 146,261                  | 4,778                                     | 1,241                       | 3,261                         | 11,076                       | 9,518      | 156      | \$3                 | 701               |                                 |
| 10/18 - 12/18  | 439,123                  | 14,448                                    | 3,695                       | 9,722                         | 33,218                       | 28,829     | 452      | 3                   | 1,911             |                                 |
| 10/17 - 12/17  | 440,093                  | 14,757                                    | 3,755                       | 9,883                         | 31,870                       | 29,017     | 511      | 4                   | 1,638             |                                 |

<sup>6</sup>Number and average in current-payment status, and benefit payments during period, includes annuities to disabled widow(er)s age 60 and over now payable as aged widow(er)s' annuities. <sup>7</sup>Limited to partition payments to spouses and divorced spouses where the employee is deceased or not otherwise entitled to an annuity. Partition payments from employees on the rolls are included with the employees' annuities.

**NOTE**--(Continued from previous page.)

FOR WIDOWS and WIDOWERS aged 60 and over and WIDOWED MOTHERS and FATHERS, the number of benefits being paid and benefit payments include benefits temporarily being continued at spouse annuity rates, pending award of survivor annuities.

INSURANCE LUMP SUMS and RESIDUAL PAYMENTS are each counted only once with respect to an employee's death even though divided among 2 or more persons. Award data for insurance lump sums exclude deferred benefits, i.e., those payable a year after the employee's death.

Data on benefit payments are for CALENDAR MONTHS; all other data are for ACCOUNTING MONTHS ending on approximately the 29th of each month.

**Table 2: Retirement and Survivor Programs, Financial Statistics**  
**October - December 2018 (In thousands)**  
**Cash Basis (Unaudited)**

| Item  | December<br>2018 | November<br>2018 | October<br>2018 | October 2018 -<br>December 2018 | October 2017 -<br>December 2017 |
|---|------------------|------------------|-----------------|---------------------------------|---------------------------------|
| <b>RAILROAD RETIREMENT ACCOUNT</b>                              |                  |                  |                 |                                 |                                 |
| <b>Balance at beginning of period<sup>1</sup></b>               | \$698,848        | \$723,378        | \$656,125       | \$656,125                       | \$446,656                       |
| <b>Income, total</b>  | 342,396          | 599,050          | 686,860         | 1,628,306                       | 1,792,676                       |
| Payroll taxes <sup>2</sup>                                      | 189,813          | 196,690          | 244,958         | 631,462                         | 643,947                         |
| Income tax transfers <sup>3</sup>                               | .....            | .....            | 170,000         | 170,000                         | 105,000                         |
| Reimbursements for payment of SSA benefits                      | 151,441          | 153,805          | 153,800         | 459,046                         | 422,339                         |
| Transfers from National RR Investment Trust <sup>4</sup>        | .....            | 247,000          | 117,000         | 364,000                         | 618,000                         |
| Undistributed recoveries of benefit payments <sup>5</sup>       | 6                | 20               | 2               | 28                              | 43                              |
| Uncashed check credits from U.S. Treasury <sup>6</sup>          | 57               | 31               | 36              | 125                             | 86                              |
| Interest on investments <sup>7</sup>                            | 1,079            | 1,503            | 1,064           | 3,646                           | 3,261                           |
| <b>Outgo, total</b>   | 617,595          | 623,580          | 619,607         | 1,860,782                       | 1,783,160                       |
| Benefit payments  | 458,479          | 458,687          | 458,606         | 1,375,772                       | 1,344,336                       |
| Payments of SSA benefits  | 151,558          | 153,643          | 153,784         | 458,985                         | 421,322                         |
| Administrative expenses <sup>8</sup>                            | 6,752            | 10,443           | 6,411           | 23,607                          | 16,080                          |
| Funding for Office of Inspector General                         | 807              | 807              | 807             | 2,420                           | 1,421                           |
| <b>Balance at end of period<sup>1</sup></b>                     | 423,649          | 698,848          | 723,378         | 423,649                         | 456,171                         |
| <b>NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST</b>            |                  |                  |                 |                                 |                                 |
| <b>Cash and investment balance at end of period<sup>9</sup></b> | \$24,112,511     | \$25,099,099     | \$25,132,789    | \$24,112,511                    | \$26,803,241                    |
| <b>DUAL BENEFITS PAYMENTS ACCOUNT<sup>10</sup></b>              |                  |                  |                 |                                 |                                 |
| <b>Balance at beginning of period</b>                           | \$342            | \$177            | .....           | .....                           | .....                           |
| Congressional apportionments <sup>11</sup>                      | 1,651            | 1,668            | \$1,700         | \$5,019                         | (12)                            |
| Income tax transfers <sup>3</sup>                               | .....            | .....            | .....           | .....                           | .....                           |
| Vested dual benefit payments                                    | 1,480            | 1,502            | 1,523           | 4,506                           | \$5,190                         |
| <b>Balance at end of period</b>                                 | 513              | 342              | 177             | 513                             | -5,190                          |

**Table 2: Retirement and Survivor Programs, Financial Statistics  
October - December 2018 (In thousands)  
Cash Basis (Unaudited) -- Continued**

| Item  | December<br>2018 | November<br>2018 | October<br>2018 | October 2018 -<br>December 2018 | October 2017 -<br>December 2017 |
|---|------------------|------------------|-----------------|---------------------------------|---------------------------------|
| <b>SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT</b>         |                  |                  |                 |                                 |                                 |
| <b>Balance at beginning of period</b>                     | \$1,118,499      | \$1,195,421      | \$1,122,406     | \$1,122,406                     | \$917,572                       |
| <b>Income, total</b>                                      | 591,489          | 532,695          | 679,666         | 1,803,849                       | 1,831,868                       |
| Payroll taxes <sup>2</sup>                                | 206,140          | 206,155          | 250,071         | 662,366                         | 674,771                         |
| Income tax transfers <sup>3</sup>                         | .....            | .....            | 60,000          | 60,000                          | 73,000                          |
| Financial interchange advances <sup>13</sup>              | 383,140          | 324,399          | 367,753         | 1,075,293                       | 1,079,167                       |
| RRB-SSA financial interchange transfer                    | .....            | .....            | .....           | .....                           | .....                           |
| Interest on investments <sup>7</sup>                      | 2,209            | 2,140            | 1,842           | 6,190                           | 4,931                           |
| <b>Outgo, total</b>                                       | 607,019          | 609,616          | 606,650         | 1,823,285                       | 1,792,582                       |
| Benefit payments  | 604,012          | 605,141          | 604,366         | 1,813,519                       | 1,785,721                       |
| Repayment of financial interchange advances <sup>13</sup> | .....            | .....            | .....           | .....                           | .....                           |
| RRB-CMS financial interchange transfer                    | .....            | .....            | .....           | .....                           | .....                           |
| Administrative expenses <sup>8</sup>                      | 2,686            | 4,154            | 1,963           | 8,804                           | 6,292                           |
| Funding for Office of Inspector General                   | 321              | 321              | 321             | 963                             | 569                             |
| <b>Balance at end of period</b>                           | 1,102,969        | 1,118,499        | 1,195,421       | 1,102,969                       | 956,858                         |

<sup>1</sup>Balances include liabilities for uncashed checks. As of the end of December 2018, liabilities were \$14,912,000. <sup>2</sup>Net of U.S. Treasury adjustments for payroll tax refunds to certain carriers and their employees for prior periods. <sup>3</sup>Amounts include U.S. Treasury adjustments for prior period income tax reconciliations. <sup>4</sup>Under the Railroad Retirement and Survivors' Improvement Act of 2001, as amended, the portion of the RR Account not needed to pay current administrative expenses is to be transferred to the National Railroad Retirement Investment Trust (Trust). The Trust may transfer funds back to the RR Account for payment of benefits. The balance of the SSEB Account not needed to pay current benefits and administrative expenses is to be transferred to the Trust or to the RR Account. <sup>5</sup>Net of amounts distributed by account. <sup>6</sup>Net of returns of uncashed check credits. Includes undistributed canceled checks under 1-year limited payability. <sup>7</sup>Net of adjustments for payroll tax refunds (see note 2). <sup>8</sup>Reflects adjustments for prior periods. <sup>9</sup>Source: National Railroad Retirement Investment Trust. <sup>10</sup>Total vested dual benefits paid during a fiscal year are limited to the amount appropriated to the Dual Benefits Payments Account for that year. Any amounts not spent are returned to the U.S. Treasury. The appropriation for fiscal year 2018 was \$22.0 million, including income tax transfers. The appropriation for fiscal year 2017 was \$25.0 million, including income tax transfers. Funds for October through December 2017 were provided under a partial year continuing resolution. <sup>11</sup>Includes a small amount of interest on uncashed checks. <sup>12</sup>Less than \$500. <sup>13</sup>Includes interest.

**NOTE**--Data relate to CALENDAR month.

**SOURCE:** Bureau of Fiscal Operations

Detail may not add to totals shown because of rounding.

**Table 3: Unemployment and Sickness Programs, Benefit Statistics  
October - December 2018**

| Period                          | Normal benefit accounts |        |           | Beneficiaries |                 |                   |
|---------------------------------|-------------------------|--------|-----------|---------------|-----------------|-------------------|
|                                 | Applications received   | Opened | Exhausted | Total         | Normal benefits | Extended benefits |
| <b>Unemployment<sup>1</sup></b> |                         |        |           |               |                 |                   |
| December 2018                   | 798                     | 382    | 43        | 2,368         | 2,350           | 37                |
| November 2018                   | 642                     | 394    | 24        | 2,211         | 2,205           | 15                |
| October 2018                    | 688                     | 496    | 15        | 2,237         | 2,215           | 57                |
| 7/18 - 12/18                    | 5,413                   | 3,699  | 198       | 4,312         | 4,215           | 321               |
| 7/17 - 12/17                    | 7,191                   | 5,258  | 203       | 5,958         | 5,866           | 350               |
| <b>Sickness</b>                 |                         |        |           |               |                 |                   |
| December 2018                   | 971                     | 774    | 58        | 4,545         | 4,505           | 52                |
| November 2018                   | 1,172                   | 976    | 53        | 4,786         | 4,766           | 43                |
| October 2018                    | 1,182                   | 1,087  | 16        | 4,770         | 4,719           | 101               |
| 7/18 - 12/18                    | 11,496                  | 8,810  | 356       | 9,414         | 9,253           | 683               |
| 7/17 - 12/17                    | 12,779                  | 9,813  | 380       | 10,412        | 10,242          | 698               |

| Period                          | Number of payments |                 |                   | Averages <sup>2</sup> |                               | Benefit payments <sup>3,4</sup><br>(thousands) |
|---------------------------------|--------------------|-----------------|-------------------|-----------------------|-------------------------------|--|
|                                 | Total              | Normal benefits | Extended benefits | Benefit days          | Benefit per week <sup>3</sup> |  |
| <b>Unemployment<sup>1</sup></b> |                    |                 |                   |                       |                               |  |
| December 2018                   | 3,886              | 3,830           | 56                | 9.2                   | \$359.30                      | \$418  |
| November 2018                   | 4,228              | 4,206           | 22                | 9.3                   | 359.15                        | 2,794  |
| October 2018                    | 4,331              | 4,235           | 96                | 9.1                   | 357.55                        | 3,242  |
| 7/18 - 12/18                    | 23,397             | 22,143          | 1,254             | 9.1                   | 356.00                        | 13,129   |
| 7/17 - 12/17                    | 31,592             | 30,184          | 1,408             | 9.1                   | 333.10                        | 22,148   |
| <b>Sickness</b>                 |                    |                 |                   |                       |                               |  |
| December 2018                   | 7,857              | 7,757           | 100               | 9.1                   | \$358.15                      | \$5,871  |
| November 2018                   | 9,314              | 9,224           | 90                | 9.1                   | 359.05                        | 3,761  |
| October 2018                    | 9,331              | 9,148           | 183               | 9.2                   | 357.75                        | 5,602  |
| 7/18 - 12/18                    | 51,231             | 48,486          | 2,745             | 9.0                   | 457.70                        | 27,664   |
| 7/17 - 12/17                    | 58,624             | 55,725          | 2,899             | 9.0                   | 336.15                        | 29,198   |

<sup>1</sup> Temporary extended benefits under the American Recovery and Reinvestment Act of 2009 had to begin by December 31, 2009. Temporary extended benefits under the Worker, Homeownership and Business Assistance Act of 2009, as amended, had to begin by December 31, 2013. Current activity primarily reflects recoveries.

<sup>2</sup> Benefit days--average benefit days per registration period. Benefit per week--equal to 5 times average daily benefit.

<sup>3</sup> In accordance with the Balanced Budget and Emergency Deficit Control Act of 1985, as amended by the Budget Control Act of 2011, amounts reflect a reduction of 7.3% under sequestration for days of unemployment and sickness after September 30, 2014, a reduction of 6.8% for days after September 30, 2015, 6.9% for days payable after September 30, 2016, 6.6% for days payable after September 30, 2017, and 6.2% for days payable after September 30, 2018.

<sup>4</sup> Data provided by the Bureau of Fiscal Operations.

**NOTE**--An unemployment claimant files only one APPLICATION for a benefit year. A sickness claimant files an APPLICATION at the beginning of each period of continuing sickness.

NORMAL BENEFIT ACCOUNTS are opened when the first payment is made.

The number of BENEFICIARIES is the count of persons receiving unemployment or sickness benefits in the period. Those receiving both normal and extended benefits for unemployment or sickness are counted only once in the total for each type.

PAYMENTS generally cover 14-day registration periods. Benefits are payable for days over 7 during an employee's first 14-day registration period. Sickness benefits are paid for days of sickness after the 4th consecutive day of sickness in the first claim in each period of continuing sickness; for subsequent registration periods in the same period of continuing sickness, payments are made for all days of sickness over 4 whether or not consecutive. Unemployment benefits are paid for days of unemployment over 4. However, in the case of unemployment benefits due to a legal authorized strike, unemployment benefits are not paid until after a 14-day waiting period. Non-strikers unemployed due to an illegal strike must also serve a 14-day waiting period.

(Continued on next page.)

**Table 4: Unemployment and Sickness Programs, Financial Statistics**  
**October - December 2018 (In thousands)**  
**Cash Basis (Unaudited)**

| Item   | December<br>2018 | November<br>2018 | October<br>2018 | October 2018 -<br>December 2018 | October 2017 -<br>December 2017 |
|--|------------------|------------------|-----------------|---------------------------------|---------------------------------|
| <b>RAILROAD UNEMPLOYMENT INSURANCE ACCOUNT</b>             |                  |                  |                 |                                 |                                 |
| <b>Balance at beginning of period</b>                      | \$112,220        | \$119,445        | \$100,843       | \$100,843                       | \$73,610                        |
| <b>Income, total</b>                                       | 1,500            | -498             | 27,623          | 28,626                          | 26,505                          |
| Contributions <sup>1,2</sup>                               | 1,256            | -444             | 27,659          | 28,470                          | 25,951                          |
| Interest on investments                                    | 528              | 16               | 9               | 553                             | 637                             |
| Undistributed recoveries of benefit payments <sup>3</sup>  | -283             | -69              | -44             | -397                            | -83                             |
| Transfers from RUI Administration Fund                     | .....            | .....            | .....           | .....                           | .....                           |
| <b>Outgo, total</b>  | 6,461            | 6,727            | 9,021           | 22,210                          | 28,138                          |
| Unemployment benefit payments <sup>4</sup>                 | 418              | 2,794            | 3,246           | 6,458                           | 12,374                          |
| Sickness benefit payments <sup>4</sup>                     | 5,871            | 3,761            | 5,602           | 15,234                          | 15,479                          |
| Funding for Office of Inspector General                    | 173              | 173              | 173             | 518                             | 284                             |
| <b>Balance at end of period</b>                            | 107,259          | 112,220          | 119,445         | 107,259                         | 71,978                          |
| <b>RAILROAD UNEMPLOYMENT INSURANCE ADMINISTRATION FUND</b> |                  |                  |                 |                                 |                                 |
| <b>Balance at beginning of period</b>                      | \$11,106         | \$12,191         | \$8,467         | \$8,467                         | \$11,483                        |
| <b>Income, total</b>                                       | -1,161           | 1,150            | 5,683           | 5,673                           | 6,750                           |
| Contributions <sup>1</sup>                                 | -1,203           | 1,150            | 5,683           | 5,630                           | 6,641                           |
| Interest on investments                                    | 43               | .....            | .....           | 43                              | 108                             |
| <b>Outgo, total</b>  | 1,445            | 2,235            | 1,959           | 5,640                           | 3,263                           |
| Administrative expenses                                    | 1,445            | 2,235            | 1,959           | 5,640                           | 3,263                           |
| Transfers to RUI Account                                   | .....            | .....            | .....           | .....                           | .....                           |
| <b>Balance at end of period</b>                            | 8,500            | 11,106           | 12,191          | 8,500                           | 14,970                          |

<sup>1</sup> December contribution amounts reflect quarterly re-allocation of contributions between the RUI Account and the RUI Administration Fund.

<sup>2</sup> The negative amount for November is an accounting adjustment.

<sup>3</sup> Net of distributed amounts.

<sup>4</sup> In accordance with the Balanced Budget and Emergency Deficit Control Act of 1985, as amended by the Budget Control Act of 2011, amounts reflect a reduction of 6.8% under sequestration for days of unemployment and sickness after September 30, 2015, 6.9% for days after September 30, 2016, 6.6% for days after September 30, 2017, and 6.2% for days after September 30, 2018.

**NOTE.**--(Continued from previous page.)

BENEFIT PAYMENTS are on a cash basis (unaudited) and represent amounts paid during the period including retroactive payments, less recoveries distributed to beneficiary accounts, refunds, and cancellations of previous payments.

ADMINISTRATION FUND balances reflect current adjustments to income and disbursements for previous years.

All unemployment and sickness data relate to CALENDAR MONTHS.

**Detail may not add to totals shown because of rounding.**

**Table 4: Unemployment and Sickness Programs, Financial Statistics**  
**October - December 2018 (In thousands)**  
**Cash Basis (Unaudited) -- Continued**

| Item  | December<br>2018 | November<br>2018 | October<br>2018 | October 2018 -<br>December 2018 | October 2017 -<br>December 2017 |
|---|------------------|------------------|-----------------|---------------------------------|---------------------------------|
| <b>EXTENDED UNEMPLOYMENT BENEFITS, AMERICAN RECOVERY AND REINVESTMENT ACT<sup>5</sup></b>             |                  |                  |                 |                                 |                                 |
| <b>Balance at beginning of period</b>   | \$9,501          | \$9,501          | \$9,499         | \$9,499                         | \$9,477                         |
| Interest and other income   | (6)              | (6)              | (6)             | 1                               | -5                              |
| Benefits <sup>7</sup>   | (6)              | (6)              | -2              | -1                              | -3                              |
| <b>Balance at end of period</b>   | 9,501            | 9,501            | 9,501           | 9,501                           | 9,481                           |
| <b>EXTENDED UNEMPLOYMENT BENEFITS, WORKER, HOMEOWNERSHIP, AND BUSINESS ASSISTANCE ACT<sup>8</sup></b> |                  |                  |                 |                                 |                                 |
| <b>Balance at beginning of period</b>   | \$133,281        | \$133,281        | \$133,279       | \$133,279                       | \$133,167                       |
| Interest and other income   | -3               | (6)              | 1               | 1                               | 2                               |
| Benefits <sup>7</sup>   | (6)              | (6)              | -2              | -2                              | -12                             |
| <b>Balance at end of period</b>   | 133,281          | 133,281          | 133,281         | 133,281                         | 133,181                         |

<sup>5</sup> The American Recovery and Reinvestment Act of 2009, signed into law on February 17, 2009, contained an appropriation of \$20,000,000 to provide up to 13 additional weeks of unemployment benefits for certain railroad workers who exhaust their rights to the benefits normally provided under the Railroad Unemployment Insurance Act. Benefits had to begin by December 31, 2009.

<sup>6</sup> Less than \$500.

<sup>7</sup> Negative amounts due to recoveries exceeding benefit payments.

<sup>8</sup> Enacted on November 6, 2009, the Worker, Homeownership and Business Assistance Act of 2009 contained an appropriation of \$175,000,000 to extend the provisions of the Recovery Act by one year. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (enacted December 17, 2010), the Temporary Payroll Tax Cut Continuation Act of 2011 (enacted December 23, 2011), the Middle Class Tax Relief and Job Creation Act of 2012 (enacted February 22, 2012), and the American Taxpayer Relief Act of 2012 (enacted January 2, 2013) each continued the special unemployment benefit provision for periods ranging from two months to one year, for a total extension of three years. Benefits had to begin by December 31, 2013.

**Source: Bureau of Fiscal Operations**

**Detail may not add to totals shown because of rounding.**



**Table 5: Benefits and Beneficiaries -- December 2018**

**RETIREMENT - SURVIVOR**

|  |                 |
|--|-----------------|
| <b>Total benefit payments - cash basis (unaudited)<sup>1</sup></b> | \$1,063,971,000 |
| Regular and Supplemental benefits                                  | 1,062,490,000   |
| Vested dual benefits   | 1,480,000       |

|   | <b>Number</b> | <b>Average</b> |
|---|---------------|----------------|
| <b>Total benefits being paid at end of month</b>      | 641,000       | .....          |
| Retired employees':                                   |               |                |
| Regular   | 262,000       | \$2,816        |
| Supplemental  | 120,000       | 42             |
| Spouses' and divorced spouses'                        | 148,000       | 1,058          |
| Aged widows' and widowers'                            | 84,000        | 1,743          |
| Other benefits  | 27,000        | 1,137          |
| <b>Total beneficiaries being paid at end of month</b> | 511,000       | .....          |

**UNEMPLOYMENT-SICKNESS**

|  | <b>Unemployment<sup>2</sup></b> | <b>Sickness</b> |
|--|---------------------------------|-----------------|
| <b>Benefit payments - cash basis (unaudited)<sup>1,3</sup></b> | \$418,000                       | \$5,871,000     |
| <b>Beneficiaries</b>   | 2,400                           | 4,500           |
| <b>Average payment per week<sup>3</sup></b>                    | \$359                           | \$358           |

<sup>1</sup> Data provided by the Bureau of Fiscal Operations.

<sup>2</sup> Starting in June 2009, includes temporary extended unemployment benefits authorized by the American Recovery and Reinvestment Act of 2009. Benefits had to begin by December 31, 2009. Beginning in November 2009, temporary extended unemployment benefits are also being paid under the Worker, Homeownership, and Business Assistance Act of 2009, as amended. Benefits had to begin by December 31, 2013.

<sup>3</sup> In accordance with the Balanced Budget and Emergency Deficit Control Act of 1985, as amended by the Budget Control Act of 2011, amounts reflect a reduction of 6.8% under sequestration for days of unemployment and sickness after September 30, 2015, a reduction of 6.9% for days after September 30, 2016, 6.6% for days after September 30, 2017, and 6.2% for days after September 30, 2018.