



Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2022



The Office of Audit (OA) conducts financial, performance, and compliance audits and evaluations of Railroad Retirement Board (RRB) programs. These responsibilities include performing the statutorily required annual audit of the RRB's financial statements and the evaluation of the agency's information security program pursuant to the Federal Information Security Modernization Act of 2014. In addition, OA regularly conducts audits and evaluations of the RRB's Medicare and benefit payment programs. Through its audit activities, OA keeps Board Members and the Congress informed of current and potential problems and deficiencies in the RRB's operations.

As of September 30, 2022, the Office of Audit had 465 open recommendations for improvement, 178 of which RRB Management did not concur. The OIG believes all 465 recommendations should be implemented. Please refer to the following table for specific information about each open audit recommendation. The dates on which the recommendations were initially made are the Audit Report Date.

This includes 465 open recommendations plus 4 implemented recommendations that have associated funds that are still being collected less 11 open recommendations from management information reports (MIR) that do not meet the criteria for semiannual reporting purposes.

| REPORT TITLE | Management Information Report - Financial Interchange: Status of Prior Recommendations for Change |
|-----------------------------|---|
| REPORT DATE | 9/28/1999 |
| REPORT NUMBER | 99-16 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | \$48,000,000 |
| COST TYPE | Funds Put to Better Use |
| REPORT TITLE | Evaluation of the RRB's Processing of Disability Earnings Cases |
| REPORT DATE | 2/11/2005 |
| REPORT NUMBER | 05-03 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | \$1,800,000 (Aggregate total from recommendations 1 and 2.) |
| COST TYPE | Funds Put to Better Use |

| REPORT TITLE | Evaluation of the RRB's Processing of Disability Earnings Cases |
|---|---|
| REPORT DATE | 2/11/2005 |
| REPORT NUMBER | 05-03 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | \$1,800,000 (Aggregate total from recommendations 1 and 2.) |
| COST TYPE | Funds Put to Better Use |
| REPORT TITLE | Review of the Termination and Suspension of Benefits Paid Under the Railroad Retirement Act |
| REPORT DATE | 5/24/2006 |
| REPORT NUMBER | 06-06 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | \$200,000 |
| COST TYPE | Funds Put to Better Use |
| REPORT TITLE | Fiscal Year 2007 Evaluation of Information Security at the Railroad Retirement Board |
| REPORT DATE | 9/27/2007 |
| REPORT NUMBER | 07-08 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Audit of Controls to Safeguard Sensitive Personally Identifiable Information |
| REPORT DATE | 9/27/2007 |
| REPORT NUMBER | 07-09 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |

| REPORT TITLE | Audit of Controls to Safeguard Sensitive Personally Identifiable Information |
|---|--|
| REPORT DATE | 9/27/2007 |
| REPORT NUMBER | 07-09 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Evaluation of Information Security for the RRB's Financial Interchange Major Application - Abstract |
| REPORT DATE | 9/26/2008 |
| REPORT NUMBER | 08-03 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Evaluation of Information Security for the RRB's Financial Interchange Major Application - Abstract |
| REPORT DATE | 9/26/2008 |
| REPORT NUMBER | 08-03 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Evaluation of Information Security for the RRB's Financial Interchange Major Application - Abstract |
| REPORT DATE | 9/26/2008 |
| REPORT NUMBER | 08-03 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Evaluation of Information Security for the RRB's Financial Interchange Major |
|-----------------------------|--|
| | Application - Abstract |
| REPORT DATE | 9/26/2008 |
| REPORT NUMBER | 08-03 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Fiscal Year 2008 Evaluation of Information Security at the RRB |
| | |
| REPORT DATE | 9/30/2008 |
| REPORT NUMBER | 08-05 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| | |
| REPORT TITLE | Review of Statistical Methods Employed in the Financial Interchange Determination |
| REPORT DATE | 5/4/2010 |
| REPORT NUMBER | 10-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Review of Statistical Methods Employed in the Financial Interchange Determination |
| REPORT DATE | 5/4/2010 |
| REPORT NUMBER | 10-06 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Audit of Unilateral Disability Freeze Determinations |
|--|--|
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | 8/12/2010 10-11 5 Management concurred with this recommendation. |
| REPORT TITLE | Audit of Controls Over Railroad Medicare Contract Costs |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | 4/20/2011 11-06 4 Management concurred with this recommendation. \$168,539 Questioned Costs |
| REPORT TITLE | Audit of Railroad Retirement Act Benefit Overpayments and Internal Controls |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | 6/29/2011 11-07 9 Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2011 Evaluation of Information Security at the Railroad Retirement Board - Abstract |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION | 1/5/2012 12-02 5 Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

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|------------------------------|--|
| REPORT TITLE | Fiscal Year 2011 Evaluation of Information Security at the Railroad Retirement Board - Abstract |
| REPORT DATE | 1/5/2012 |
| REPORT NUMBER | 12-02 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
|---|--|
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| | |
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System |
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| REPORT HILE | Continuous Monitoring - Abstract |
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of Job Duty Verification Procedures for Long Island Rail Road Occupational Disability Applicants |
| REPORT DATE | 1/15/2013 |
| REPORT NUMBER | 13-02 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Audit of Job Duty Verification Procedures for Long Island Rail Road Occupational Disability Applicants |
|--|--|
| | |
| REPORT DATE | 1/15/2013 |
| REPORT NUMBER | 13-02 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$3,800,000 (Aggregate total from recommendations 2, 3, 4, and 5.) |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Audit of Job Duty Verification Procedures for Long Island Rail Road Occupational Disability Applicants |
| REPORT DATE | 1/15/2013 |
| REPORT NUMBER | 13-02 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$3,800,000 (Aggregate total from recommendations 2, 3, 4, and 5.) |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Audit of Job Duty Verification Procedures for Long Island Rail Road Occupational Disability Applicants |
| REPORT DATE | 1/15/2013 |
| REPORT NUMBER | 13-02 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | \$3,800,000 (Aggregate total from recommendations 2, 3, 4, and 5.) Questioned Costs |
| REPORT TITLE | Fiscal Year 2012 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT DATE | 2/12/2013 |
| REPORT NUMBER | 13-04 |
| RECOMMENDATION NUMBER | 17 |
| | |
| MANAGEMENT DECISION | Management concurred with this recommendation. |

| REPORT TITLE | Fiscal Year 2012 Audit of Information Security at the Railroad Retirement Board - Abstract |
|--|--|
| REPORT DATE | 2/12/2013 |
| REPORT NUMBER | 13-04 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of the Railroad Retirement Board's Privacy Program |
| REPORT DATE | 9/20/2013 |
| REPORT NUMBER | 13-11 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| | |
| REPORT TITLE | Audit of the Railroad Retirement Board's Privacy Program |
| REPORT DATE | 9/20/2013 |
| | |
| REPORT NUMBER | 13-11 |
| REPORT NUMBER RECOMMENDATION NUMBER | 13-11 6 |
| RECOMMENDATION NUMBER | |
| RECOMMENDATION NUMBER | 6 |
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| RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | 6 |
| RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | 6 Management concurred with this recommendation. |
| RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | 6 Management concurred with this recommendation. |
| RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER | 6 Management concurred with this recommendation. Audit of the Railroad Retirement Board's Privacy Program 9/20/2013 13-11 |
| RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | 6 Management concurred with this recommendation. Audit of the Railroad Retirement Board's Privacy Program 9/20/2013 13-11 8 |
| RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION | 6 Management concurred with this recommendation. Audit of the Railroad Retirement Board's Privacy Program 9/20/2013 13-11 |
| RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | 6 Management concurred with this recommendation. Audit of the Railroad Retirement Board's Privacy Program 9/20/2013 13-11 8 |

| REPORT TITLE | Audit of the Railroad Retirement Board's Privacy Program |
|--|---|
| REPORT DATE | 9/20/2013 |
| REPORT NUMBER | 13-11 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of the Railroad Retirement Board's Privacy Program |
| REPORT DATE | 9/20/2013 |
| REPORT NUMBER | 13-11 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Fiscal Year 2013 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT DATE | 3/4/2014 |
| REPORT NUMBER | 14-03 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Act Dual Spouse Annuity Adjustments |
| | |
| REPORT DATE | 3/20/2014 |
| REPORT NUMBER | 14-04 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | \$866,000 Funds Put to Better Use |
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| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement |
|------------------------------|--|
| | Board's Financial Management Information System - Abstract |
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| | |
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
|---|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 21 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System - Abstract |
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 22 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Railroad Retirement Board Lacks Adequate Controls over Creditable Compensation Related to Injury Settlements |
| REPORT DATE | 1/29/2015 |
| REPORT NUMBER | 15-03 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | The Railroad Retirement Board Lacks Adequate Controls over Creditable Compensation Related to Injury Settlements |
|---|---|
| REPORT DATE | 1/29/2015 |
| REPORT NUMBER | 15-03 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
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| REPORT TITLE | Fiscal Year 2014 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT DATE | 3/16/2015 |
| REPORT NUMBER | 15-04 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
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| REPORT TITLE | Fiscal Year 2014 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT TITLE | |
| | Board - Abstract |
| REPORT DATE | Board - Abstract 3/16/2015 |
| REPORT DATE REPORT NUMBER | Board - Abstract 3/16/2015 15-04 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Board - Abstract 3/16/2015 15-04 8 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | Board - Abstract 3/16/2015 15-04 8 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE | Board - Abstract 3/16/2015 15-04 8 Management concurred with this recommendation. Fiscal Year 2014 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE | Board - Abstract 3/16/2015 15-04 8 Management concurred with this recommendation. Fiscal Year 2014 Audit of Information Security at the Railroad Retirement Board - Abstract 3/16/2015 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE | Board - Abstract 3/16/2015 15-04 8 Management concurred with this recommendation. Fiscal Year 2014 Audit of Information Security at the Railroad Retirement Board - Abstract 3/16/2015 15-04 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Board - Abstract 3/16/2015 15-04 8 Management concurred with this recommendation. Fiscal Year 2014 Audit of Information Security at the Railroad Retirement Board - Abstract 3/16/2015 15-04 9 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER | Board - Abstract 3/16/2015 15-04 8 Management concurred with this recommendation. Fiscal Year 2014 Audit of Information Security at the Railroad Retirement Board - Abstract 3/16/2015 15-04 |

| REPORT TITLE | Fiscal Year 2014 Financial Statement Audit Letter to Management |
|--|---|
| REPORT DATE | 3/31/2015 |
| REPORT NUMBER | 15-05 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2014 Performance and Accountability Report |
| REPORT DATE | 5/15/2015 |
| REPORT NUMBER | 15-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2014 Performance and Accountability Report |
| REPORT DATE | 5/15/2015 |
| REPORT NUMBER | 15-06 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2014 Performance and Accountability Report |
|--|---|
| REPORT DATE | 5/15/2015 |
| REPORT NUMBER | 15-06 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2014 Performance and Accountability Report |
| REPORT DATE | 5/15/2015 |
| REPORT NUMBER | 15-06 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management agreed with the recommendations' substance, but did not concur with the organization in which it was directed. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$8,600,000 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2014 Performance and Accountability Report |
| REPORT DATE | 5/15/2015 |
| REPORT NUMBER | 15-06 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLERailroad Retirement Board Medicare Contract Oversight Did Not Effectively Mitigate Chiropractic Service RisksREPORT DATE6/4/2015REPORT NUMBER15-07RECOMMENDATION NUMBER7MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING COST TYPEImagement concurred with this recommendation. |
|---|
| REPORT NUMBER15-07RECOMMENDATION NUMBER7MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING |
| RECOMMENDATION NUMBER7MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING |
| MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING |
| TOTAL POTENTIAL COST SAVING |
| |
| COST TYPE |
| |
| |
| REPORT TITLE Railroad Retirement Board Medicare Contract Oversight Did Not Effectively Mitigate Chiropractic Service Risks |
| REPORT DATE 6/4/2015 |
| REPORT NUMBER 15-07 |
| RECOMMENDATION NUMBER 9 |
| MANAGEMENT DECISIONManagement does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING |
| COST TYPE |
| |
| REPORT TITLE Railroad Retirement Board Medicare Contract Oversight Did Not Effectively Mitigate Chiropractic Service Risks |
| REPORT DATE 6/4/2015 |
| REPORT NUMBER 15-07 |
| RECOMMENDATION NUMBER 10 |
| MANAGEMENT DECISIONManagement does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING \$14,000,000 |
| COST TYPE Questioned Costs |
| |
| |
| REPORT TITLE Railroad Retirement Board Medicare Contract Oversight Did Not EffectivelyMitigate Chiropractic Service Risks |
| |
| Mitigate Chiropractic Service Risks |
| Mitigate Chiropractic Service Risks REPORT DATE 6/4/2015 |
| Mitigate Chiropractic Service Risks REPORT DATE 6/4/2015 REPORT NUMBER 15-07 |
| REPORT DATE 6/4/2015 REPORT NUMBER 15-07 RECOMMENDATION NUMBER 11 |

| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
|--|--|
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
|--|---|
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| | |
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Select Financial Management Integrated System Business Process Controls Need Improvement |
| REPORT TITLE | |
| | Need Improvement |
| REPORT DATE | Need Improvement 11/30/2015 |
| REPORT DATE REPORT NUMBER | Need Improvement 11/30/2015 16-02 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Need Improvement 11/30/2015 16-02 8 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION | Need Improvement 11/30/2015 16-02 8 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | Need Improvement 11/30/2015 16-02 8 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | Need Improvement 11/30/2015 16-02 8 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Need Improvement 11/30/2015 16-02 8 Management concurred with this recommendation. Select Financial Management Integrated System Business Process Controls |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE | Need Improvement 11/30/2015 16-02 8 Management concurred with this recommendation. Select Financial Management Integrated System Business Process Controls Need Improvement |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE | Need Improvement 11/30/2015 16-02 8 Management concurred with this recommendation. Select Financial Management Integrated System Business Process Controls Need Improvement 11/30/2015 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER | Need Improvement 11/30/2015 16-02 8 Management concurred with this recommendation. Select Financial Management Integrated System Business Process Controls Need Improvement 11/30/2015 16-02 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Need Improvement 11/30/2015 16-02 8 Management concurred with this recommendation. Select Financial Management Integrated System Business Process Controls Need Improvement 11/30/2015 16-02 9 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION | Need Improvement 11/30/2015 16-02 8 Management concurred with this recommendation. Select Financial Management Integrated System Business Process Controls Need Improvement 11/30/2015 16-02 9 |

| REPORT TITLE | Select Financial Management Integrated System Business Process Controls Need Improvement |
|------------------------------|--|
| REPORT DATE | 11/30/2015 |
| REPORT NUMBER | 16-02 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Select Financial Management Integrated System Business Process Controls Need Improvement |
| REPORT DATE | 11/30/2015 |
| REPORT NUMBER | 16-02 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | · |
| COST TYPE | |
| | |
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
|--|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| | |
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
|------------------------------|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| | |
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| | |
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | |
| | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | Management concurred with this recommendation. |
| | Management concurred with this recommendation. |

| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
|-----------------------------|---|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 20 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 23 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2015 Performance and Accountability Report |
|--|---|
| REPORT DATE | 5/13/2016 |
| REPORT NUMBER | 16-07 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2015 Performance and Accountability Report |
| REPORT DATE | 5/13/2016 |
| REPORT NUMBER | 16-07 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2015 Performance and Accountability Report |
| REPORT DATE | 5/13/2016 |
| REPORT NUMBER | 16-07 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2022

| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
|--|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
|--|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$30,400,000 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
|--|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$33,800,000 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
|--|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER RECOMMENDATION NUMBER | 16-10 13 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
|--|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 23 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 24 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$19,500 |
| COST TYPE | Funds Put to Better Use |

| REPORT TITLE | Fiscal Year 2016 Financial Statement Audit Letter to Management |
|---|--|
| REPORT DATE | 2/16/2017 |
| REPORT NUMBER | 17-03 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Fiscal Year 2016 Financial Statement Audit Letter to Management |
| REPORT DATE | 2/16/2017 |
| REPORT NUMBER | 17-03 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
|-----------------------------|---|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
|-----------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$51,589 |
| COST TYPE | Questioned Costs |
| | |
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 19 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |

| REPORT TITLE | Audit of the Deilysed Detigoneent Decyd's Compliance with the Insurance |
|--|---|
| REPORT IIILE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in Fiscal Year 2016 Performance and Accountability Report |
| REPORT DATE | 5/12/2017 |
| REPORT NUMBER | 17-05 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in Fiscal Year 2016 Performance and Accountability Report |
| REPORT DATE | 5/12/2017 |
| REPORT NUMBER | 17-05 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$19,000,000 |
| COST TYPE | Questioned Costs |
| | |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
|---|--|
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |

| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
|--|--|
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | Management concurred with this recommendation. |
| COST TYPE | |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
|---|---|
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 22 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |

| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
|---|---|
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 23 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 24 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT TITLE | |
| | Board |
| REPORT DATE | Board 6/16/2017 |
| REPORT DATE REPORT NUMBER | Board 6/16/2017 17-06 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Board 6/16/2017 17-06 25 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | Board 6/16/2017 17-06 25 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Board 6/16/2017 17-06 25 Management concurred with this recommendation. Fiscal Year 2016 Audit of Information Security at the Railroad Retirement |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE | Board 6/16/2017 17-06 25 Management concurred with this recommendation. Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE | Board 6/16/2017 17-06 25 Management concurred with this recommendation. Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board 6/16/2017 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER | Board 6/16/2017 17-06 25 Management concurred with this recommendation. Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board 6/16/2017 17-06 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Board 6/16/2017 17-06 25 Management concurred with this recommendation. Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board 6/16/2017 17-06 26 |

| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
|--|--|
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 27 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 28 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT TITLE | |
| | Board |
| REPORT DATE | Board 6/16/2017 |
| REPORT DATE REPORT NUMBER | Board 6/16/2017 17-06 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Board 6/16/2017 17-06 31 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | Board 6/16/2017 17-06 31 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Board 6/16/2017 17-06 31 Management concurred with this recommendation. |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE | Board 6/16/2017 17-06 31 Management concurred with this recommendation. Priority Audit Memorandum - Contractor Training Not Assigned |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE | Board 6/16/2017 17-06 31 Management concurred with this recommendation. Priority Audit Memorandum - Contractor Training Not Assigned 7/31/2017 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER | Board 6/16/2017 17-06 31 Management concurred with this recommendation. Priority Audit Memorandum - Contractor Training Not Assigned 7/31/2017 PAM 17-01 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Board 6/16/2017 17-06 31 Management concurred with this recommendation. Priority Audit Memorandum - Contractor Training Not Assigned 7/31/2017 PAM 17-01 1 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION | Board 6/16/2017 17-06 31 Management concurred with this recommendation. Priority Audit Memorandum - Contractor Training Not Assigned 7/31/2017 PAM 17-01 1 |

| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
|--|--|
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
|--|--|
| | |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER RECOMMENDATION NUMBER | 17-07 4 |
| | |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
|--|--|
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
|--|--|
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
|--|--|
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 19 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2022

| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
|---|--|
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2022

| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
|---|--|
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
|--|--|
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 17-08 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | Management concurred with this recommendation. |
| COST TYPE | |
| | |
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Fiscal Year 2017 Financial Statement Audit Letter to Management |
| REPORT DATE | 2/8/2018 |
| REPORT NUMBER | 18-04 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
|---|--|
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
|---|---|
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |

| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
|---|---|
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |

| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
|---|--|
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 21 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |

| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
|--|--|
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 Fiscal Year 2018 |
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| | |

| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 Fiscal Year 2018 |
|--|--|
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 Fiscal Year 2018 |
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 Fiscal Year 2018 |
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information |
| | Security Modernization Act of 2014 Fiscal Year 2018 |
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 Fiscal Year 2018 |
|---|---|
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 20 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 Fiscal Year 2018 |
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 21 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 Fiscal Year 2018 |
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 24 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 Fiscal Year 2018 |
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 25 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 Fiscal Year 2018 |
|---|---|
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 26 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 Fiscal Year 2018 |
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 27 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 Fiscal Year 2018 |
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 29 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 Fiscal Year 2018 |
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 30 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 Fiscal Year 2018 |
|---|--|
| REPORT DATE | 12/19/2018 |
| REPORT NUMBER | 19-03 |
| RECOMMENDATION NUMBER | 31 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Write-off and Waiver Processes were not Fully Efficient, Effective, or Adequate |
| REPORT DATE | 2/20/2019 |
| REPORT NUMBER | 19-05 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| | |
| REPORT TITLE | Railroad Retirement Board Write-off and Waiver Processes were not Fully Efficient, Effective, or Adequate |
| REPORT DATE | 2/20/2019 |
| REPORT NUMBER | 19-05 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Railroad Retirement Board Write-off and Waiver Processes were not Fully Efficient, Effective, or Adequate |
| REPORT DATE | 2/20/2019 |
| REPORT NUMBER | 19-05 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2022

| REPORT TITLE | Railroad Retirement Board Write-off and Waiver Processes were not Fully Efficient, Effective, or Adequate |
|--|--|
| REPORT DATE | 2/20/2019 |
| REPORT NUMBER | 19-05 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Fiscal Year 2018 Financial Statement Audit Letter to Management |
| REPORT DATE | 2/20/2019 |
| REPORT NUMBER | 19-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Fiscal Year 2018 Financial Statement Audit Letter to Management |
| REPORT DATE | 2/20/2019 |
| REPORT NUMBER | 19-06 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Fiscal Year 2018 Financial Statement Audit Letter to Management |
|--|--|
| REPORT DATE | 2/20/2019 |
| REPORT NUMBER | 19-06 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Selected General Information System Controls at the Railroad Retirement Board Were Not Always Adequate |
| REPORT DATE | 5/14/2019 |
| REPORT NUMBER | 19-07 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Selected General Information System Controls at the Railroad Retirement Board Were Not Always Adequate |
| REPORT DATE | 5/14/2019 |
| REPORT NUMBER | 19-07 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad Retirement Board |
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad |
|--|---|
| | Retirement Board |
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad Retirement Board |
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad Retirement Board |
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad Retirement Board |
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad Retirement Board |
|--|--|
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad Retirement Board |
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad Retirement Board |
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad Retirement Board |
|---|--|
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad Retirement Board |
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad Retirement Board |
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management did not concur with this recommendation. |

| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad Retirement Board |
|--|--|
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad Retirement Board |
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management did not concur with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Improvements Needed for the Unapplied Cash Process at the Railroad Retirement Board |
| REPORT DATE | 5/16/2019 |
| REPORT NUMBER | 19-08 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments |
|--|---|
| | Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
|--|---|
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments |
|--|---|
| | Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments |
|--|---|
| | Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
|--|---|
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
|--|---|
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act in Fiscal Year 2018 Performance and Accountability Report |
| REPORT DATE | 5/30/2019 |
| REPORT NUMBER | 19-09 |
| RECOMMENDATION NUMBER | 19 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
|--|--|
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
|--|--|
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
|------------------------------|--|
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$2,238,324 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$319,157 |
| COST TYPE | Questioned Costs |
| | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$683,905 |
| COST TYPE | Questioned Costs |

| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
|-----------------------------|--|
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$86,445 |
| COST TYPE | Questioned Costs |
| | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$24,389 |
| COST TYPE | Questioned Costs |
| | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
|--|--|
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$26,506 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$10,459 |
| COST TYPE | Questioned Costs |

| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
|--|--|
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 20 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$5,592 |
| COST TYPE | Questioned Costs |

| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
|--|--|
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 21 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$5,418 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 22 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 23 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
|--|--|
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 24 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 25 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 26 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$84,535 |
| COST TYPE | Questioned Costs |

| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
|--|--|
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 27 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$381 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 28 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$27,917 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 29 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
|--|--|
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 30 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | \$2,763 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 31 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 32 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services |
|--|---|
| | Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 33 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Medicare Controls Over Evaluation and Management Services Were Not Fully Adequate - Abstract |
| REPORT DATE | 8/5/2019 |
| REPORT NUMBER | 19-10 |
| RECOMMENDATION NUMBER | 34 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| | |
| REPORT TITLE | The Acquisition Management Superior at the Dailroad Datirement Deard |
| | The Acquisition Management Function at the Railroad Retirement Board Was Not Fully Adequate or Effective |
| REPORT DATE | |
| REPORT DATE REPORT NUMBER | Was Not Fully Adequate or Effective |
| | Was Not Fully Adequate or Effective 9/27/2019 |
| REPORT NUMBER | Was Not Fully Adequate or Effective 9/27/2019 19-14 |
| REPORT NUMBER | Was Not Fully Adequate or Effective 9/27/2019 19-14 2 |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION | Was Not Fully Adequate or Effective 9/27/2019 19-14 2 |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | Was Not Fully Adequate or Effective 9/27/2019 19-14 2 Management concurred with this recommendation. |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | Was Not Fully Adequate or Effective 9/27/2019 19-14 2 |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Was Not Fully Adequate or Effective 9/27/2019 19-14 2 Management concurred with this recommendation. The Acquisition Management Function at the Railroad Retirement Board |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE | Was Not Fully Adequate or Effective 9/27/2019 19-14 2 Management concurred with this recommendation. The Acquisition Management Function at the Railroad Retirement Board Was Not Fully Adequate or Effective |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE | Was Not Fully Adequate or Effective 9/27/2019 19-14 2 Management concurred with this recommendation. The Acquisition Management Function at the Railroad Retirement Board Was Not Fully Adequate or Effective 9/27/2019 |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER | Was Not Fully Adequate or Effective 9/27/2019 19-14 2 Management concurred with this recommendation. The Acquisition Management Function at the Railroad Retirement Board Was Not Fully Adequate or Effective 9/27/2019 19-14 |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Was Not Fully Adequate or Effective 9/27/2019 19-14 2 Management concurred with this recommendation. The Acquisition Management Function at the Railroad Retirement Board Was Not Fully Adequate or Effective 9/27/2019 19-14 3 |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2022

| REPORT TITLE | The Acquisition Management Function at the Railroad Retirement Board |
|---|--|
| | Was Not Fully Adequate or Effective |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-14 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | The Acquisition Management Function at the Railroad Retirement Board Was Not Fully Adequate or Effective |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-14 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Acquisition Management Function at the Railroad Retirement Board Was Not Fully Adequate or Effective |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-14 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Acquisition Management Function at the Railroad Retirement Board Was Not Fully Adequate or Effective |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-14 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | The Acquisition Management Function at the Railroad Retirement Board Was Not Fully Adequate or Effective |
|--|--|
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-14 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Acquisition Management Function at the Railroad Retirement Board Was Not Fully Adequate or Effective |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-14 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | The Acquisition Management Function at the Railroad Retirement Board Was Not Fully Adequate or Effective |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-14 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Acquisition Management Function at the Railroad Retirement Board |
| | Was Not Fully Adequate or Effective |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-14 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| | The Acquisition Management Sunction at the Deilyand Detirement Deard |
|--|---|
| REPORT TITLE | The Acquisition Management Function at the Railroad Retirement Board Was Not Fully Adequate or Effective |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-14 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| COST TYPE | |
| REPORT TITLE | The Acquisition Management Function at the Railroad Retirement Board Was Not Fully Adequate or Effective |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-14 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | The Implementation of the Disability Program Improvemennt Plan at the Railroad Retirement Board Did Not Result in a Fully Established Fraud Risk Assessment Process |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-15 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| | The Implementation of the Disphility Dreamer Improvement Disk at the |
| REPORT TITLE | The Implementation of the Disability Program Improvemennt Plan at the Railroad Retirement Board Did Not Result in a Fully Established Fraud Risk Assessment Process |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-15 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| | Railroad Retirement Board Did Not Result in a Fully Established Fraud Risk Assessment Process |
|--|---|
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-15 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | The Railroad Retirement Board Disability Programs Do not Effectively Consider Fraud Risk Indicators in the Disability Decision Process |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-16 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Railroad Retirement Board Disability Programs Do not Effectively |
| | Consider Fraud Risk Indicators in the Disability Decision Process |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-16 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | The Railroad Retirement Board Disability Programs Do not Effectively Consider Fraud Risk Indicators in the Disability Decision Process |
|--|--|
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-16 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Use of Medical Experts During Disability Determinations at the Railroad Retirement Board Can Be Improved |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-17 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | The Use of Medical Experts During Disability Determinations at the Railroad Retirement Board Can Be Improved |
| REPORT DATE | 9/27/2019 |
| REPORT NUMBER | 19-17 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Audit of Railroad Retirement Board's Digital Accountability and Transparency Act of 2014 Submission for First Quarter of Fiscal Year 2019 |
|---|--|
| REPORT DATE | 11/6/2019 |
| REPORT NUMBER | 20-01 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Audit of Railroad Retirement Board's Digital Accountability and Transparency Act of 2014 Submission for First Quarter of Fiscal Year 2019 |
| REPORT DATE | 11/6/2019 |
| REPORT NUMBER | 20-01 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Digital Accountability and Transparency Act of 2014 Submission for First Quarter of Fiscal Year 2019 |
| REPORT DATE | 11/6/2019 |
| REPORT NUMBER | 20-01 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Report on the Railroad Retirement Board's Financial Statements Fiscal Year 2019 |
| REPORT DATE | 11/15/2019 |
| REPORT NUMBER | 20-02 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Report on the Railroad Retirement Board's Financial Statements Fiscal Year 2019 |
|--|--|
| REPORT DATE | 11/15/2019 |
| REPORT NUMBER | 20-02 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Report on the Railroad Retirement Board's Financial Statements Fiscal Year 2019 |
| REPORT DATE | 11/15/2019 |
| REPORT NUMBER | 20-02 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 |
| REPORT DATE | 12/18/2019 |
| REPORT NUMBER | 20-04 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 |
| REPORT DATE | 12/18/2019 |
| REPORT NUMBER | 20-04 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |

| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 |
|---|--|
| REPORT DATE | 12/18/2019 |
| REPORT NUMBER | 20-04 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 |
| REPORT DATE | 12/18/2019 |
| REPORT NUMBER | 20-04 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 |
| REPORT TITLE | |
| | Modernization Act of 2014 for Fiscal Year 2019 |
| REPORT DATE | Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 |
| REPORT DATE REPORT NUMBER | Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 20-04 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 20-04 6 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION | Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 20-04 6 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 20-04 6 Management concurred with this recommendation. |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 20-04 6 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 20-04 6 Management concurred with this recommendation. Performance Audit of RRB's Compliance with the Federal Information |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE | Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 20-04 6 Management concurred with this recommendation. Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE | Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 20-04 6 Management concurred with this recommendation. Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER | Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 20-04 6 Management concurred with this recommendation. Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 20-04 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 20-04 6 Management concurred with this recommendation. Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 12/18/2019 20-04 7 |

| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 |
|---|--|
| REPORT DATE | 12/18/2019 |
| REPORT NUMBER | 20-04 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 |
| REPORT DATE | 12/18/2019 |
| REPORT NUMBER | 20-04 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 |
| REPORT DATE | 12/18/2019 |
| REPORT NUMBER | 20-04 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 |
| REPORT DATE | 12/18/2019 |
| REPORT NUMBER | 20-04 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 |
|---|--|
| REPORT DATE | 12/18/2019 |
| REPORT NUMBER | 20-04 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 |
| REPORT DATE | 12/18/2019 |
| REPORT NUMBER | 20-04 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information |
| | Modernization Act of 2014 for Fiscal Year 2019 |
| REPORT DATE | 12/18/2019 |
| REPORT NUMBER | 20-04 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 |
| REPORT DATE | 12/18/2019 |
| REPORT NUMBER | 20-04 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 17 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT TITLE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 18 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE REPORT DATE 12/18/2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 19 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE Reliforad Retirement Board's Telework Program Needs Improvement REPORT TITLE Reliforad Retirement Board's Telework Program Needs Improvement REPORT TATE 2/0-05 REPORT TATE 2 MANAGEMENT DECISION Management concurred with this recommendation. | | |
|---|-----------------------------|--|
| REPORT NUMBER 20-04 RECOMMENDATION NUMBER 17 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING Verformance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 18 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING Verformance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT NUMBER 20-04 REPORT TITLE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 20-04 RECOMMENDATION NUMBER 19 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING Verformance Audit of RB's Telework Program Needs Improvement REPORT TITLE Railroad Retirement Board's Telework Program Needs Improvement REPORT TITLE 4/24/2020 REPORT NUMBER 2 MANAGEMENT DECISION Management concurred with this recommendation. REPORT DATE 4/24/2020 | REPORT TITLE | · |
| RECOMMENDATION NUMBER 17 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING Wanagement concurred with this recommendation. COST TYPE Performance Audit of RB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 18 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE | REPORT DATE | 12/18/2019 |
| MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 18 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT DATE 12/18/2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 19 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE Railroad Retirement Board's Telework Program Needs Improvement REPORT DATE 4/24/2020 REPORT NUMBER 2 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING 2 MANAGEMENT DECISION | REPORT NUMBER | 20-04 |
| TOTAL POTENTIAL COST SAVING COST TYPE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 18 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 19 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING Management concurred with this recommendation. REPORT TITLE Railroad Retirement Board's Telework Program Needs Improvement REPORT TITLE 4/24/2020 REPORT NUMBER 2 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING 2 MANAGEMENT DECISION Management concurred with this recommendation. | RECOMMENDATION NUMBER | 17 |
| COST TYPE REPORT TITLE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 18 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 REPORT NUMBER 20-04 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 19 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE Railroad Retirement Board's Telework Program Needs Improvement REPORT DATE 4/24/2020 REPORT DATE 20-05 RECOMMENDATION NUMBER 2 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING 20-05 REPORT NUMBER 2 MANAGEMENT DECISION | MANAGEMENT DECISION | Management concurred with this recommendation. |
| REPORT TITLE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 18 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 19 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE REPORT NUMBER 20-04 RECOMMENDATION NUMBER 19 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE Railroad Retirement Board's Telework Program Needs Improvement REPORT DATE 4/24/2020 REPORT NUMBER 2 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING 2 | | |
| Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 18 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 19 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE Railroad Retirement Board's Telework Program Needs Improvement REPORT DATE 4/24/2020 REPORT DATE 20-05 REPORT NUMBER 20-05 REPORT NUMBER 20-05 REPORT NUMBER 2 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING 2 MANAGEMENT DATE 4/24/2020 REPORT NUMBER 2 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING 2 MANAGEMENT DECISION Management conc | COST TYPE | |
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| REPORT NUMBER RECOMMENDATION NUMBER20-04MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING COST TYPEPerformance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019REPORT DATE12/18/2019REPORT NUMBER20-04RECOMMENDATION NUMBER19MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING COST TYPE20-04REPORT TITLEManagement concurred with this recommendation.REPORT TITLE4/24/2020REPORT TITLE4/24/2020REPORT NUMBER2REPORT NUMBER2REPORT DATE4/24/2020REPORT NUMBER2REPORT NUMBER2MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING2REPORT TITLE4/24/2020REPORT DATE4/24/2020REPORT NUMBER2MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING2 | REPORT TITLE | |
| RECOMMENDATION NUMBER 18 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING Vanagement concurred with this recommendation. REPORT TYPE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 19 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE Railroad Retirement Board's Telework Program Needs Improvement REPORT DATE 4/24/2020 REPORT NUMBER 20-05 REPORT NUMBER 2 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING 20-05 REPORT DATE 4/24/2020 REPORT NUMBER 2 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING 2 | REPORT DATE | 12/18/2019 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPEManagement concurred with this recommendation.REPORT TITLEPerformance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019REPORT DATE12/18/2019REPORT NUMBER20-04RECOMMENDATION NUMBER19MANAGEMENT DECISION COST TYPEManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING COST TYPERailroad Retirement Board's Telework Program Needs ImprovementREPORT NUMBER20-05REPORT NUMBER20-05REPORT TITLE4/24/2020REPORT NUMBER2NANAGEMENT DECISION4/30REPORT NUMBER20-05REPORT NUMBER2MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING20-05REPORT NUMBER2REPORT NUMBER2NANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING2 | REPORT NUMBER | 20-04 |
| TOTAL POTENTIAL COST SAVING COST TYPEPerformance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019REPORT DATE12/18/2019REPORT NUMBER20-04RECOMMENDATION NUMBER19MANAGEMENT DECISION COST TYPEManagement concurred with this recommendation.REPORT TITLERailroad Retirement Board's Telework Program Needs ImprovementREPORT TITLE4/24/2020REPORT NUMBER COST TYPE20-05REPORT NUMBER COST TYPE20-05REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | RECOMMENDATION NUMBER | 18 |
| COST TYPE REPORT TITLE Performance Audit of RRB's Compliance with the Federal Information Modernization Act of 2014 for Fiscal Year 2019 REPORT DATE 12/18/2019 REPORT NUMBER 20-04 RECOMMENDATION NUMBER 19 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE Railroad Retirement Board's Telework Program Needs Improvement REPORT TITLE 4/24/2020 REPORT NUMBER 20-05 RECOMMENDATION NUMBER 2 MANAGEMENT DECISION Management concurred with this recommendation. | MANAGEMENT DECISION | Management concurred with this recommendation. |
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| REPORT NUMBER RECOMMENDATION NUMBER20-04MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPEManagement concurred with this recommendation.REPORT TITLERailroad Retirement Board's Telework Program Needs ImprovementREPORT DATE | REPORT TITLE | |
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| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPEManagement concurred with this recommendation.REPORT TITLERailroad Retirement Board's Telework Program Needs ImprovementREPORT DATE4/24/2020REPORT NUMBER20-05RECOMMENDATION NUMBER2MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVINGManagement concurred with this recommendation. | REPORT NUMBER | 20-04 |
| TOTAL POTENTIAL COST SAVING COST TYPEReport savingREPORT TITLERailroad Retirement Board's Telework Program Needs ImprovementREPORT DATE4/24/2020REPORT NUMBER20-05RECOMMENDATION NUMBER2NANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVINGVolume 1000000000000000000000000000000000000 | RECOMMENDATION NUMBER | 19 |
| COST TYPEREPORT TITLERailroad Retirement Board's Telework Program Needs ImprovementREPORT DATE4/24/2020REPORT NUMBER20-05RECOMMENDATION NUMBER2MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVINGVIII Concurred with this recommendation. | MANAGEMENT DECISION | Management concurred with this recommendation. |
| REPORT TITLERailroad Retirement Board's Telework Program Needs ImprovementREPORT DATE4/24/2020REPORT NUMBER20-05RECOMMENDATION NUMBER2MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING | TOTAL POTENTIAL COST SAVING | |
| REPORT DATE4/24/2020REPORT NUMBER20-05RECOMMENDATION NUMBER2MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING | COST TYPE | |
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| REPORT NUMBER20-05RECOMMENDATION NUMBER2MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING | REPORT TITLE | Railroad Retirement Board's Telework Program Needs Improvement |
| RECOMMENDATION NUMBER 2 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING | REPORT DATE | 4/24/2020 |
| MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING | REPORT NUMBER | 20-05 |
| TOTAL POTENTIAL COST SAVING | RECOMMENDATION NUMBER | 2 |
| | MANAGEMENT DECISION | Management concurred with this recommendation. |
| COST TYPE | TOTAL POTENTIAL COST SAVING | |
| | COST TYPE | |

| REPORT TITLE | Railroad Retirement Board's Telework Program Needs Improvement |
|--|--|
| REPORT DATE | 4/24/2020 |
| REPORT NUMBER | 20-05 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Retirement Board's Telework Program Needs Improvement |
| REPORT DATE | 4/24/2020 |
| REPORT NUMBER | 20-05 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Retirement Board's Telework Program Needs Improvement |
| REPORT DATE | 4/24/2020 |
| REPORT NUMBER | 20-05 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Railroad Retirement Board's Telework Program Needs Improvement |
|--|--|
| REPORT DATE | 4/24/2020 |
| REPORT NUMBER | 20-05 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Reporting in the Fiscal Year 2019 PAR |
| REPORT DATE | 5/12/2020 |
| REPORT NUMBER | 20-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Reporting in the Fiscal Year 2019 PAR |
| REPORT DATE | 5/12/2020 |
| REPORT NUMBER | 20-06 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Reporting in the Fiscal Year 2019 PAR |
|-----------------------------|--|
| REPORT DATE | 5/12/2020 |
| REPORT NUMBER | 20-06 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Reporting in the Fiscal Year 2019 PAR |
| REPORT DATE | 5/12/2020 |
| REPORT NUMBER | 20-06 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Audit of Railroad Retirement Board's Compliance with Improper Payments Reporting in the Fiscal Year 2019 PAR |
| REPORT DATE | 5/12/2020 |
| REPORT NUMBER | 20-06 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Controls over Medicare Premium Penalties and Refunds Can Be Improved |
| REPORT DATE | 6/4/2020 |
| REPORT NUMBER | 20-07 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | \$13,044.00 |
| COST TYPE | Questioned Costs |

| REPORT TITLE | Controls over Medicare Premium Penalties and Refunds Can Be Improved |
|------------------------------|--|
| REPORT DATE | 6/4/2020 |
| REPORT NUMBER | 20-07 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | Reported with #1 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Controls over Medicare Premium Penalties and Refunds Can Be Improved |
| REPORT DATE | 6/4/2020 |
| REPORT NUMBER | 20-07 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | Reported with #1 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Controls over Medicare Premium Penalties and Refunds Can Be Improved |
| REPORT DATE | 6/4/2020 |
| REPORT NUMBER | 20-07 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management partially concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | Reported with #1 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Controls over Medicare Premium Penalties and Refunds Can Be Improved |
| REPORT DATE | 6/4/2020 |
| REPORT NUMBER | 20-07 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | Reported with #1 |
| COST TYPE | Questioned Costs |

| REPORT TITLE | Controls over Medicare Premium Penalties and Refunds Can Be Improved |
|--|---|
| REPORT DATE | 6/4/2020 |
| REPORT NUMBER | 20-07 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management partially concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | Reported with #1 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Controls over Medicare Premium Penalties and Refunds Can Be Improved |
| REPORT DATE | 6/4/2020 |
| REPORT NUMBER | 20-07 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | \$87,011.00 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Controls over Medicare Premium Penalties and Refunds Can Be Improved |
| REPORT DATE | 6/4/2020 |
| REPORT NUMBER | 20-07 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management partially concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | Reported with #7 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Report on the Railroad Retirement Board's Financial Statements Fiscal Year 2020 |
| REPORT DATE | 11/16/2020 |
| REPORT NUMBER | 21-01 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information |
|---|---|
| | Security Modernization Act of 2014 for Fiscal Year 2020 |
| REPORT DATE | 1/14/2021 |
| REPORT NUMBER | 21-03 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for Fiscal Year 2020 |
| REPORT DATE | 1/14/2021 |
| REPORT NUMBER | 21-03 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for Fiscal Year 2020 |
| REPORT DATE | 1/14/2021 |
| REPORT NUMBER | 21-03 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for Fiscal Year 2020 |
| REPORT DATE | 1/14/2021 |
| REPORT NUMBER | 21-03 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for Fiscal Year 2020 |
|---|--|
| REPORT DATE | 1/14/2021 |
| REPORT NUMBER | 21-03 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for Fiscal Year 2020 |
| REPORT DATE | 1/14/2021 |
| REPORT NUMBER | 21-03 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for Fiscal Year 2020 |
| REPORT TITLE | - |
| | Security Modernization Act of 2014 for Fiscal Year 2020 |
| REPORT DATE | Security Modernization Act of 2014 for Fiscal Year 2020 |
| REPORT DATE REPORT NUMBER | Security Modernization Act of 2014 for Fiscal Year 2020 1/14/2021 21-03 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Security Modernization Act of 2014 for Fiscal Year 2020 1/14/2021 21-03 8 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION | Security Modernization Act of 2014 for Fiscal Year 2020 1/14/2021 21-03 8 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | Security Modernization Act of 2014 for Fiscal Year 2020 1/14/2021 21-03 8 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | Security Modernization Act of 2014 for Fiscal Year 2020 1/14/2021 21-03 8 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Security Modernization Act of 2014 for Fiscal Year 2020 1/14/2021 21-03 8 Management concurred with this recommendation. Performance Audit of RRB's Compliance with the Federal Information |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE | Security Modernization Act of 2014 for Fiscal Year 2020 1/14/2021 21-03 8 Management concurred with this recommendation. Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for Fiscal Year 2020 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE | Security Modernization Act of 2014 for Fiscal Year 2020 1/14/2021 21-03 8 Management concurred with this recommendation. Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for Fiscal Year 2020 1/14/2021 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER | Security Modernization Act of 2014 for Fiscal Year 2020 1/14/2021 21-03 8 Management concurred with this recommendation. Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for Fiscal Year 2020 1/14/2021 21-03 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Security Modernization Act of 2014 for Fiscal Year 2020 1/14/2021 21-03 8 Management concurred with this recommendation. Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for Fiscal Year 2020 1/14/2021 21-03 9 |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2022

| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for Fiscal Year 2020 |
|--|--|
| REPORT DATE | 1/14/2021 |
| REPORT NUMBER RECOMMENDATION NUMBER | 21-03 10 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for Fiscal Year 2020 |
| REPORT DATE | 1/14/2021 |
| REPORT NUMBER RECOMMENDATION NUMBER | 21-03 11 |
| | |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | Management concurred with this recommendation. The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2020 |
| TOTAL POTENTIAL COST SAVING COST TYPE | The Railroad Retirement Board was Not Compliant with the Payment |
| TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2020 |
| TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2020 5/17/2021 |
| TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2020 5/17/2021 21-05 |

| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2020 |
|--|--|
| REPORT DATE | 5/17/2021 |
| REPORT NUMBER | 21-05 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board conitnues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2020 |
| REPORT DATE | 5/17/2021 |
| REPORT NUMBER | 21-05 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board conitnues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2020 |
| REPORT DATE | 5/17/2021 |
| REPORT NUMBER | 21-05 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board conitnues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2020 |
|--|--|
| REPORT DATE | 5/17/2021 |
| REPORT NUMBER | 21-05 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board conitnues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2020 |
| REPORT DATE | 5/17/2021 |
| REPORT NUMBER | 21-05 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board conitnues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2020 |
| REPORT DATE | 5/17/2021 |
| REPORT NUMBER | 21-05 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board conitnues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2020 |
|---|---|
| REPORT DATE | 5/17/2021 |
| REPORT NUMBER | 21-05 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board conitnues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Railroad Retirement Board's Disability Briefing Document Process Was Not Fully Effective |
| REPORT DATE | 8/16/2021 |
| REPORT NUMBER | 21-07 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management non concurred with this recommendation. This recommendation will remain open because the Office of Inspector General for the Railroad Retirement Board continues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation |

| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
|-----------------------------|---|
| REPORT DATE | |
| REPORT DATE | 9/1/2021 21-08 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management did not concur with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management did not concur with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| | |
| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
|-----------------------------|---|
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management partially concurred with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management did not concur with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
|--|---|
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management partially concurred with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management did not concur with this recommendation |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| | |
| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management partially concurred with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management partially concurred with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
|-----------------------------|---|
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management did not concur with this recommendation |
| TOTAL POTENTIAL COST SAVING | \$322,502 |
| COST TYPE | Questioned Costs |
| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management concurred with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| | |
| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management did not concur with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER | 21-08 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION | Management partially concurred with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Railroad Retirement Board Bureau of Fiscal Operations Sections' Functions Need Improvement |
|---|---|
| REPORT DATE | 9/1/2021 |
| REPORT NUMBER RECOMMENDATION NUMBER | 21-08 18 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management did not concur with this recommendation |
| REPORT TITLE | Audit of the Updated Information Technology Initiatives Legacy Systems Re- platform Services |
| REPORT DATE | 9/23/2021 |
| REPORT NUMBER RECOMMENDATION NUMBER | 21-09 1 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Audit of the Updated Information Technology Initiatives Legacy Systems Re- platform Services |
| REPORT DATE | 9/23/2021 |
| REPORT NUMBER RECOMMENDATION NUMBER | 21-09 2 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Audit of the Updated Information Technology Initiatives Legacy Systems Re- platform Services |
| REPORT DATE | 9/23/2021 |
| REPORT NUMBER RECOMMENDATION NUMBER | 21-09 3 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |

| REPORT TITLE | Audit of the Updated IT Initiatives Legacy Systems Modernization Services: Re-engineering Mission Essential |
|-----------------------------|--|
| REPORT DATE | 9/23/2021 |
| REPORT NUMBER | 21-10 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Audit of the Updated IT Initiatives Legacy Systems Modernization Services: Re-engineering Mission Essential |
| REPORT DATE | 9/23/2021 |
| REPORT NUMBER | 21-10 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Audit of the Updated IT Initiatives Legacy Systems Modernization Services: Re-engineering Mission Essential |
| REPORT DATE | 9/23/2021 |
| REPORT NUMBER | 21-10 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Pending |
| TOTAL POTENTIAL COST SAVING | \$807,444 |
| COST TYPE | Questioned Costs |

| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
|--|--|
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Pending |
| TOTAL POTENTIAL COST SAVING | \$366,216 |
| COST TYPE | Questioned Costs |

| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
|--|--|
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board continues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
|--|--|
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Pending |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management partially concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
|--|---|
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board continues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
|--|--|
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management partially concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
|------------------------------|--|
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board continues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board continues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 19 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |

| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
|---|---|
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER RECOMMENDATION NUMBER | 21-11 20 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board continues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 21 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board continues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER | 21-11 |
| RECOMMENDATION NUMBER | 22 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |

| REPORT TITLE | Improvements Needed for the Designated Change Process at the Railroad Retirement Board |
|--|---|
| REPORT DATE | 9/29/2021 |
| REPORT NUMBER RECOMMENDATION NUMBER | 21-11 23 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. This recommendation remains open because the Office of Inspector General for the Railroad Retirement Board continues to see the need for the recommended corrective action. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of the RRB's DATA Act Submission for the First Quarter of Fiscal Year 2021 |
| REPORT DATE | 11/5/2021 |
| REPORT NUMBER | 22-01 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Audit of the RRB's DATA Act Submission for the First Quarter of Fiscal Year 2021 |
| REPORT DATE | 11/5/2021 |
| REPORT NUMBER | 22-01 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management did not concur with this recommendation |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for FY 2021. |
|---|--|
| REPORT DATE | 1/4/2022 |
| REPORT NUMBER | 22-04 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for FY 2021. |
| REPORT DATE | 1/4/2022 |
| REPORT NUMBER | 22-04 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information |
| | Security Modernization Act of 2014 for FY 2021. |
| REPORT DATE | 1/4/2022 |
| REPORT NUMBER | 22-04 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for FY 2021. |
| REPORT DATE | 1/4/2022 |
| REPORT NUMBER | 22-04 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

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|---|--|
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for FY 2021. |
| REPORT DATE | 1/4/2022 |
| REPORT NUMBER | 22-04 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for FY 2021. |
| REPORT DATE | 1/4/2022 |
| REPORT NUMBER | 22-04 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information |
| | Security Modernization Act of 2014 for FY 2021. |
| REPORT DATE | 1/4/2022 |
| REPORT NUMBER | 22-04 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for FY 2021. |
| REPORT DATE | 1/4/2022 |
| REPORT NUMBER | 22-04 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for FY 2021. |
|---|---|
| REPORT DATE | 1/4/2022 |
| REPORT NUMBER | 22-04 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Performance Audit of RRB's Compliance with the Federal Information Security Modernization Act of 2014 for FY 2021. |
| REPORT DATE | 1/4/2022 |
| REPORT NUMBER RECOMMENDATION NUMBER | 22-04 10 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | Railroad Retirement Board Audit Compliance Section Did Not Provide Adequate Audit Coverage of Railroad Employers |
| REPORT DATE | 2/15/2022 |
| REPORT NUMBER | 22-05 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management did not concur with this recommendation |
| TOTAL POTENTIAL COST SAVING | 1376513 |
| COST TYPE | 2 |
| REPORT TITLE | Railroad Retirement Board Audit Compliance Section Did Not Provide Adequate Audit Coverage of Railroad Employers |
| REPORT DATE | 2/15/2022 |
| REPORT NUMBER | 22-05 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2022

| REPORT TITLE | Railroad Retirement Board Audit Compliance Section Did Not Provide Adequate Audit Coverage of Railroad Employers |
|--|---|
| REPORT DATE | 2/15/2022 |
| REPORT NUMBER | 22-05 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Railroad Retirement Board Audit Compliance Section Did Not Provide Adequate Audit Coverage of Railroad Employers |
| REPORT DATE | 2/15/2022 |
| REPORT NUMBER | 22-05 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | RRB Did Not Implement Sufficient Internal Controls in the Mobile Phones Deployed as a Result of the Pandemic |
| REPORT DATE | 3/17/2022 |
| REPORT NUMBER | 22-07 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management partially concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| | |
| REPORT TITLE | RRB Did Not Implement Sufficient Internal Controls in the Mobile Phones Deployed as a Result of the Pandemic |
| REPORT DATE | 3/17/2022 |
| REPORT NUMBER | 22-07 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management did not concur with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2022

| REPORT TITLE | RRB Did Not Implement Sufficient Internal Controls in the Mobile Phones |
|-----------------------------|--|
| | Deployed as a Result of the Pandemic |
| REPORT DATE | 3/17/2022 |
| REPORT NUMBER | 22-07 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management did not concur with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| | |
| REPORT TITLE | RRB Did Not Implement Sufficient Internal Controls in the Mobile Phones Deployed as a Result of the Pandemic |
| REPORT DATE | 3/17/2022 |
| REPORT NUMBER | 22-07 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | RRB Did Not Implement Sufficient Internal Controls in the Mobile Phones Deployed as a Result of the Pandemic |
| REPORT DATE | 3/17/2022 |
| REPORT NUMBER | 22-07 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | 298220.02 |
| COST TYPE | Funds Put to Better Use |
| REPORT TITLE | RRB Did Not Implement Sufficient Internal Controls in the Mobile Phones Deployed as a Result of the Pandemic |
| REPORT DATE | 3/17/2022 |
| REPORT NUMBER | 22-07 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | see recommendation #5 |
| COST TYPE | Funds Put to Better Use |

| REPORT TITLE | RRB Did Not Implement Sufficient Internal Controls in the Mobile Phones Deployed as a Result of the Pandemic |
|---|--|
| REPORT DATE | 3/17/2022 |
| REPORT NUMBER | 22-07 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | 12139.31 |
| COST TYPE | Funds Put to Better Use |
| REPORT TITLE | RRB Did Not Implement Sufficient Internal Controls in the Mobile Phones Deployed as a Result of the Pandemic |
| REPORT DATE | 3/17/2022 |
| REPORT NUMBER | 22-07 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | RRB Did Not Implement Sufficient Internal Controls in the Mobile Phones Deployed as a Result of the Pandemic |
| REPORT DATE | 3/17/2022 |
| REPORT NUMBER | 22-07 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management concurred with this recommendation. |
| REPORT TITLE | RRB Did Not Implement Sufficient Internal Controls in the Mobile Phones Deployed as a Result of the Pandemic |
| REPORT DATE | 3/17/2022 |
| REPORT NUMBER | 22-07 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | Management did not concur with this recommendation. |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2022

| REPORT TITLE | RRB Did Not Implement Sufficient Internal Controls in the Mobile Phones Deployed as a Result of the Pandemic |
|--|--|
| REPORT DATE | 3/17/2022 |
| REPORT NUMBER | 22-07 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management partially concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2021 |
| REPORT DATE | 6/16/2022 |
| REPORT NUMBER | 22-08 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2021 |
| REPORT DATE | 6/16/2022 |
| REPORT NUMBER | 22-08 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2021 |
|--|--|
| REPORT DATE | 6/16/2022 |
| REPORT NUMBER | 22-08 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2021 |
| REPORT DATE | 6/16/2022 |
| REPORT NUMBER | 22-08 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2021 |
| REPORT DATE | 6/16/2022 |
| REPORT NUMBER | 22-08 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |

| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2021 |
|--|--|
| REPORT DATE | 6/16/2022 |
| REPORT NUMBER | 22-08 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING COST TYPE | |
| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2021 |
| REPORT DATE | 6/16/2022 |
| REPORT NUMBER | 22-08 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2021 |
| REPORT DATE | 6/16/2022 |
| REPORT NUMBER | 22-08 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
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| REPORT TITLE | The Railroad Retirement Board was Not Compliant with the Payment Integrity Information Act for Fiscal Year 2021 |
| REPORT DATE | 6/16/2022 |
| REPORT NUMBER | 22-08 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| REPORT TITLE | Controls Over Recoverable Unemployment and Sickness Overpayments Need Improvement |
| REPORT DATE | 6/24/2022 |
| REPORT NUMBER | 22-09 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| REPORT TITLE | Controls Over Recoverable Unemployment and Sickness Overpayments Need Improvement |
| | |
| REPORT DATE | 6/24/2022 |
| REPORT DATE REPORT NUMBER | 6/24/2022 22-09 |
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| REPORT NUMBER | 22-09 |
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| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION | 22-09 2 |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | 22-09 2 |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING | 22-09 2 |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE | 22-09 2 Management concurred with this recommendation. |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE | 22-09 2 Management concurred with this recommendation. |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE | 22-09 2 Management concurred with this recommendation. Controls Over Recoverable Unemployment and Sickness Overpayments Need Improvement 6/24/2022 |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER | 22-09 2 Management concurred with this recommendation. Controls Over Recoverable Unemployment and Sickness Overpayments Need Improvement 6/24/2022 22-09 |
| REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | 22-09 2 Management concurred with this recommendation. Controls Over Recoverable Unemployment and Sickness Overpayments Need Improvement 6/24/2022 22-09 3 |

| REPORT TITLEControls Over Recoverable Unemployment and Sickness Overpayments Need ImprovementREPORT DATE6/24/2022REPORT NUMBER22-09RECOMMENDATION NUMBER4MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING183858COST TYPEQuestioned Costs |
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| REPORT NUMBER22-09RECOMMENDATION NUMBER4MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING183858 |
| RECOMMENDATION NUMBER4MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING183858 |
| MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING183858 |
| TOTAL POTENTIAL COST SAVING 183858 |
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| COST TYPE Questioned Costs |
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| |
| REPORT TITLE Controls Over Recoverable Unemployment and Sickness Overpayments Need Improvement Need Improvement |
| REPORT DATE 6/24/2022 |
| REPORT NUMBER 22-09 |
| RECOMMENDATION NUMBER 5 |
| MANAGEMENT DECISION Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING |
| COST TYPE |
| |
| REPORT TITLE Controls Over Recoverable Unemployment and Sickness Overpayments Need Improvement Need Improvement |
| REPORT DATE 6/24/2022 |
| |
| REPORT NUMBER 22-09 |
| REPORT NUMBER22-09RECOMMENDATION NUMBER6 |
| |
| RECOMMENDATION NUMBER 6 |
| RECOMMENDATION NUMBER 6 MANAGEMENT DECISION Management concurred with this recommendation. |
| RECOMMENDATION NUMBER6MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING |
| RECOMMENDATION NUMBER6MANAGEMENT DECISIONManagement concurred with this recommendation.TOTAL POTENTIAL COST SAVING |
| RECOMMENDATION NUMBER 6 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE REPORT TITLE Controls Over Recoverable Unemployment and Sickness Overpayments |
| RECOMMENDATION NUMBER 6 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE Controls Over Recoverable Unemployment and Sickness Overpayments Need Improvement |
| RECOMMENDATION NUMBER 6 MANAGEMENT DECISION Management concurred with this recommendation. TOTAL POTENTIAL COST SAVING COST TYPE Controls Over Recoverable Unemployment and Sickness Overpayments Need Improvement REPORT DATE 6/24/2022 |
| RECOMMENDATION NUMBER6MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPEManagement concurred with this recommendation.REPORT TITLEControls Over Recoverable Unemployment and Sickness Overpayments Need ImprovementREPORT DATE6/24/2022REPORT NUMBER22-09 |
| RECOMMENDATION NUMBER6MANAGEMENT DECISION TOTAL POTENTIAL COST SAVING COST TYPEManagement concurred with this recommendation.REPORT TITLEControls Over Recoverable Unemployment and Sickness Overpayments Need ImprovementREPORT DATE6/24/2022REPORT NUMBER RECOMMENDATION NUMBER22-0977 |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2022

| REPORT TITLE | Controls Over Recoverable Unemployment and Sickness Overpayments Need Improvement |
|--|---|
| REPORT DATE | 6/24/2022 |
| REPORT NUMBER | 22-09 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVING | |
| COST TYPE | |
| | |
| | |
| REPORT TITLE | The RRB Did Not Have Detailed Project Plans to Expend Information Technology Modernization Funds |
| REPORT TITLE | |
| | Technology Modernization Funds |
| REPORT DATE | Technology Modernization Funds |
| REPORT DATE REPORT NUMBER | Technology Modernization Funds 6/29/2022 22-10 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER | Technology Modernization Funds 6/29/2022 22-10 1 |
| REPORT DATE REPORT NUMBER RECOMMENDATION NUMBER MANAGEMENT DECISION | Technology Modernization Funds 6/29/2022 22-10 1 Management partially concurred with this recommendation. |

In addition to the reports identified on the previous pages with hyperlinks to our audit library, OA has released the following reports and/or memoranda that are restricted.

'Audit of Controls to Safeguard Sensitive Personally Identifiable Information' (Report No. 07-09). The purpose of this audit was to assess the effectiveness of the agency's policies, procedures, and practices to adequately safeguard sensitive Personally Identifiable Information (PII). This report was restricted from public distribution because of its sensitive nature. Specifically, we noted that the RRB needs to establish a more comprehensive security program to fully ensure the physical security of sensitive PII at all RRB offices including sanitization and disposal of documents and equipment containing PII, security of sensitive PII when in transit, and accountability of equipment which may contain PII.

'Priority Audit Memorandum - Contractor Training Not Assigned' (PAM 17-01). The purpose of this memorandum was to ensure timely completion of security awareness and safeguarding controlled unclassified information training by RRB contractors. This memorandum was restricted from public distribution because of its sensitive nature.

With regard to Total Potential Cost Savings, the Inspector General Act of 1978 defines 'Funds Put to Better Use' as those associated with a recommendation by the Office that funds could be used more efficiently if management of an establishment took actions to implement and complete the recommedation. The OIG considers 'Funds Put to Better Use' to be the known dollar value of errors detected in our audit tests. The Inspector General Act of 1978 defines 'Questioned Costs' as those that result from an alleged violation governing the expenditure of funds, a cost that is not supported by adequate documentation, or expenditure for a purpose that is unnecessary or unreasonable. The OIG considers sample projections based on an estimated number of error cases to be 'Questioned Costs.' An audit report can have both 'Questioned Costs' and 'Funds Put to Better Use.'