

U.S. RAILROAD RETIREMENT BOARD OFFICE OF INSPECTOR GENERAL

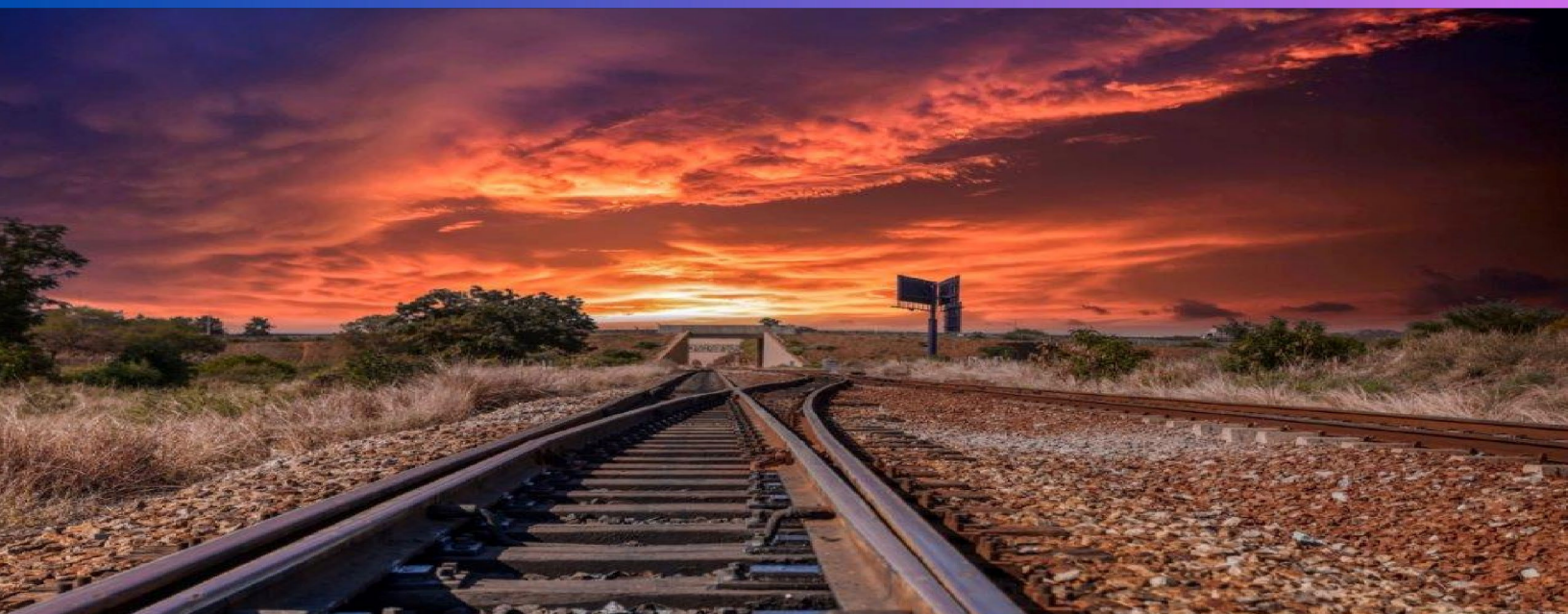


Strategic Plan 2025-2026

OVERVIEW

The Railroad Retirement Board (RRB) is an independent agency in the executive branch of the Federal Government. The RRB administers comprehensive disability, retirement, survivor, Medicare, unemployment, and sickness insurance benefit programs for the nation's railroad workers and their families.

The RRB's responsibilities are defined under the federal Railroad Retirement and Railroad Unemployment Insurance Acts, and it also has administrative responsibilities under the Social Security Act for certain benefit payments and railroad workers' Medicare Part B coverage. In fiscal year 2024, the RRB spent approximately \$17.4 billion of which \$14.3 billion was paid to approximately 475,000 beneficiaries.



OFFICE OF INSPECTOR GENERAL

The Inspector General (IG) is appointed by the President and confirmed by the Senate. The first IG of the RRB was appointed in January 1986. It is the IG's responsibility to promote economy, efficiency and effectiveness while keeping Congress and the RRB Board Members fully informed about problems and deficiencies in RRB programs and operations.

The Office of Audit prevents fraud, waste and abuse through independent audits, reviews and investigations to promote economy, efficiency and effectiveness in RRB programs and operations.

The Office of Investigations investigates allegations relating to RRB programs and operations and refers cases to prosecutors for either criminal or civil prosecution. Administrative matters are referred back to the RRB.

In all, OIG efforts protect the National Railroad Retirement Investment Trust.

CORE VALUES

Respect: Respect for the law, the public we serve and one another fosters a culture of professionalism and collaboration.

Integrity: Anchors our actions in honesty, accountability and transparency.

Service: Reflects our commitment to improving government programs and protecting tax payer resources.

Ethics: Guides every decision we make, holding us to the highest standards of accountability and fairness.

*Together, we **RISE** to promote transparency, strengthen integrity and safeguard the effectiveness of federal programs.*



MISSION

The OIG promotes economy, efficiency and effectiveness in the program and operations of the RRB. The OIG completes its mission through audits and investigations that identify instances of fraud, waste and abuse within RRB programs and operations.

VISION

The OIG employs a dynamic workforce dedicated to optimizing RRB programs, while detecting and preventing fraud, waste and abuse in RRB's programs.

STRATEGIC GOALS

Strategic Goal #1

Protect the integrity of the RRB's programs, operations and trust fund through evidence-based audits and investigations designed to thwart RRB fraud, waste and abuse.

Strategic Goal #2

Streamline audits and investigations by proactively and responsibly using technology, adhering to professional standards to improve OIG oversight processes.

Strategic Goal #3

Evaluate agency programs and operations efficiently and advise management and Congress of action steps to improve agency performance.

STRATEGIC GOAL 1

Protect the integrity of the RRB's programs, operations and trust fund through risk, resource, and evidence-based audits and investigations designed to thwart RRB fraud, waste and abuse.

OBJECTIVES

1.1: Monitor the percentage of allegations evaluated within thirty days of the referral.

1.2: Prioritize oversight of high-risk RRB programs.

1.3: Proactively engage RRB program officials to seek their input for potential audits and investigations.

1.4: Prioritize Congressional and RRB requests.

1.5: The OIG will solicit suggestions for audits and reviews for the annual audit work plan from the agency's organizational components.

Performance Indicators 1



PI 1.1: Allegations of fraud evaluated within thirty days.

PI 1.2: The OIG will identify potential fraud based on proactive internal work.

PI 1.3: Percentage of RRB components solicited for input for oversight.

PI 1.4: Percentage of audits that are mandated by law.

PI 1.5: Require Individual Investigative Plans consistent with the Quality Standards of Investigations.

STRATEGIC GOAL 2

Streamline audits and investigations by proactively and responsibly using technology, and adhering to professional standards to improve the OIG oversight processes.

OBJECTIVES

2.1: Use data analytics and technology to detect fraud, waste and abuse within agency programs.

2.2: Conduct internal quality assurance reviews.

2.3: Maintain a training program that supplements our workforce with the knowledge, skills and abilities to meet current and future mission needs.

2.4: Continually assess internal processes and practices to maximize the efficiency and economy of operations, improve knowledge sharing and enhance internal communications.

2.5: Document quality and assurance for mission support functions.

Performance Indicators 2



PI 2.1: Percentage of OIG employees with data analytics knowledge, skills and abilities (KSA).

PI 2.2: The percentage of audit and investigations subject to internal quality assurance review.

PI 2.3: The OIG will fulfill one-hundred percent of the required yearly and recurring trainings necessary to perform investigative and audit duties.

PI 2.4: The OIG will require periodic reviews of the budget to ensure it is aligned with the strategic priorities.

PI 2.5(a): Percentage of quality assurance reports analyzed by OIG leadership.

PI 2.5(b): Analyze actions identified in quality assurance reviews in accordance with Office of Audit and Investigations policy.

STRATEGIC GOAL 3

Evaluate and report on agency programs and operations efficiently and advise management and Congress of action steps to improve agency performance.

OBJECTIVES

3.1: Improve OIG processes to ensure the timely completion of relevant and impactful audits and investigations.

3.2: Establish a reporting system that ensures the timely completion of relevant and impactful audit and investigations.

3.3: Establish a reporting system that ensures the timeliness of the Semiannual Report to the Chairman regarding corrective action for audit recommendations.

3.4: Serve as an objective, independent fact-finder for RRB programs and operations and issue timely reporting.

3.5: Deliver high-quality work products.

3.6: Provide timely findings and actionable recommendations to improve RRB programs and operations.

3.7: Track Agent workflow from allegation, referral, case opening and closing.

Performance Indicators 3



PI 3.1: OIG work products will be completed within deadlines.

PI 3.2: For audit reports, drafts will be issued to agency management within 240 days of entrance conference.

PI 3.3: Report to the RRB Chairman the frequency of corrective actions for audit recommendation as required by the IG Act.

PI 3.4, PI 3.5, PI 3.6: Percentage of discretionary audits designed to address significant risks associated with RRB's top management challenges or OIG's significant concerns.

PI 3.7: Percentage of investigations completed within five years.

CONCLUSION

This Strategic Plan identifies the goals and priorities of our office and works in conjunction with our annual Performance Plan. This dual process aids in the accurate measurement of our accomplishments and provides an opportunity for annual reflection.

We will continue to dedicate our audit and investigative programs and resources to protecting the integrity of the RRB's trust funds and offering recommendations for agency improvement.



REPORT FRAUD, WASTE AND ABUSE



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